Exhibit C_Garten Deposition

Page 1 1 ALAN GARTEN, ESQ. 2 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD 3 4 DONALD J. TRUMP 5 Opposer, Opposition No. 6 7 TRUMP YOUR COMPETITION, INC. 91217618 8 Applicant. 9 10 11 12 TRANSCRIPT OF ALAN GARTEN, ESQ. November 12, 2015 13 14 10:08 a.m. 15 16 17 Deposition of ALAN GARTEN, ESQ., taken 18 by Opposer, at the offices of Hughes Hubbard & 19 Reed LLP, One Battery Park Plaza, New York, New 20 York, before Brandon Rainoff, a Federal 21 Certified Realtime Reporter and Notary Public of 22 the State of New York. 23 24 25

Page 2 1 ALAN GARTEN, ESQ. 2 APPEARANCES 3 4 HUGHES HUBBARD & REED LLP 5 Attorneys for Opposer 6 One Battery Park Plaza 7 New York, New York 10004-1482 212. 837. 6000 8 9 BY: NATASHA N. REED, ESQ. 10 212. 837. 6847 11 reed@hugheshubbard.com 12 LENA C. SALTOS, ESQ. 13 212. 837. 6494 14 saltos@hugheshubbard.com 15 16 ROD UNDERHILL, ATTORNEY AT LAW 17 Attorneys for Applicant P. O. Box 1238 18 19 Julian, California 92036-1238 20 619. 540. 0631 21 BY: ROD UNDERHILL, ESQ. 22 mp3rod@aol.com 23 24 25

1		ALAN CADTEN ESO
1		ALAN GARTEN, ESQ.
2		N D E X O F E X H I B I T S
3	(Stipulated	d by counsel as offered into evidence)
4		
5	Exhibit 1	Single-page document entitled: US PTO13
6		Service Mark Principal Register, dated
7		March 16, 1999 (no Bates Nos.)
8		
9	Exhibit 2	Multipage document bearing images38
10		(no Bates Nos.)
11		
12	Exhibit 3	Multipage document bearing images56
13		(no Bates Nos.)
14		
15	Exhibit 4	Single-page document bearing image64
16		(no Bates No.)
17		
18	Exhibit 5	Three-page document bearing heading on67
19		first page:
20		http://www.trumpyourcompetition.com/
21		(no Bates No.)
22		(110 2000 1101)
23		
24		
25		

Page 5

1 ALAN GARTEN, ESQ. 2 PROCEEDING 3 4 Thursday, November 12, 2015 5 New York, New York 6 10:08 a.m. 7 8 ALAN GARTEN, ESQ., 9 having been duly sworn, was examined and 10 testified as follows: 11 MS. REED: I just want to make a 12 couple of stipulations before we start. 13 The first one is that the parties 14 stipulate that any exhibits that are marked and 15 identified today will be deemed as being offered 16 into evidence. 17 MR. UNDERHILL: So stipulated. 18 MS. REED: And the second is that the 19 parties have not actually entered into a formal 20 protective order. 21 But the parties agree that this 22 proceeding is governed by the standard 23 protective order issued by the Trademark Trial 24 and Appeal Board of the U.S. Patent and 25 Trademark Office.

		Page 6
1	ALAN GARTEN, ESQ DIRECT - BY MS. REED	
2	MR. UNDERHILL: So stipulated.	
3	MS. REED: I also want to add that	
4	today, Mr. Alan Garten is testifying in the	
5	opposer's testimony period.	
6	And Mr. Underhill, who represents the	
7	applicant, is free to cross-examine Mr. Garten.	
8	And he's free to ask him any question he would	
9	like to ask, even beyond the scope of our direct	
10	examination provided, obviously, that it	
11	doesn't elicit anything that's attorney-client	
12	privileged; and that privilege is not waived.	
13	MR. UNDERHILL: I'm not stipulating to	
14	that as an offer by counsel.	
15	Thank you.	
16	MS. REED: Okay.	
17	DIRECT EXAMINATION	
18	BY MS. REED:	
19	Q. Mr. Garten, are you currently	
20	employed?	
21	A. Yes.	
22	Q. Where do you work?	
23	A. The Trump Organization.	
24	Q. How long have you worked for The Trump	
25	Organization?	

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1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	A. Since December, 2006.
3	Q. What is your current position at The
4	Trump Organization?
5	A. Executive vice-president and general
6	counsel.
7	Q. Have you always had that position at
8	The Trump Organization?
9	A. No.
10	When I started, I was assistant
11	general counsel.
12	And around three years ago, I was
13	promoted to executive vice-president and general
14	counsel.
15	Q. Can you briefly explain the nature of
16	your responsibilities at the company?
17	A. Sure.
18	So I am responsible I'm the general
19	counsel and responsible for overseeing virtually
20	all legal matters from transactions, to
21	litigation, to employment, to intellectual
22	property.
23	And as it relates to today,
24	intellectual property would include brand
25	management of the Trump brand and all of its

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1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	marks including, you know, the Trump house
3	mark, and all of its derivative marks, and
4	everything within the trademark portfolio
5	brand management and enforcement of the
6	trademarks everything, sort of, from soup to
7	nuts associated with intellectual property
8	matters; along with everything else on the legal
9	side, you know, which is commercial litigation,
10	all types of litigation, virtually everything
11	legal-related.
12	Q. You mentioned transactional.
13	So can you describe what that type of
14	work entails?
15	A. I'm involved in transactional matters
16	for the company acquisitions you know, lots
17	of different types of transactional matters.
18	Q. Do those transactional matters involve
19	trademarks or licensing at all?
20	A. Sure.
21	When I first actually started with the
22	company, my primary task was transactions and I
23	worked on a ton of licensing matters.
24	Today I'm still involved in the
25	licensing part of the business both from a

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1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	business perspective, advising my client on
3	business issues, on how to enter into license
4	deals and license the brand; and also from a
5	legal perspective, consulting and overseeing our
6	legal team and entering into different licensing
7	deals whether they be real estate licensing
8	deals, product licensing deals runs the
9	gamut.
10	Q. You said: Advising your client.
11	Who is your client?
12	A. I'm employed by The Trump
13	Organization.
14	The Trump Organization is principally
15	owned by Donald Trump and is run by Mr. Trump,
16	and his members of his family, as well as
17	other executives at the company.
18	Q. Can you tell us who the other members
19	of his family are that run the company?
20	A. Sure.
21	So the members of his family who are
22	involved are his three eldest children, Donald,
23	Jr., Ivanka and Eric Trump.
24	Q. What is Ivanka Trump's position at the
25	company?

Page 10

ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 1 2 A. All three of them are executive 3 vice-presidents. 4 Q. You mentioned your responsibilities at 5 The Trump Organization including all legal 6 matters. 7 Can you tell me just generally how you 8 perform your responsibilities? 9 A. Sure. 10 So it's sort of a twofold approach. 11 We have our own internal team. 12 we've got, you know, members of our team. We've 13 got other lawyers on our team. And we've got 14 paralegals, assistants. And we all sort of work 15 collectively to, you know, oversee legal matters 16 at the company. 17 When it comes to intellectual 18 property, we've got a paralegal who is solely 19 dedicated to intellectual property matters. We 20 have a separate paralegal who is just a 21 trademark paralegal. And then we have other 22 people involved -- another attorney principally 23 involved -- who assists me in overseeing -- both 24 overseeing the portfolio itself and a lot on 25 brand management and enforcement; which often

Page 11 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 1 2 includes sending cease-and-desist letters, you 3 know, commencing actions where we believe we see 4 infringement, filing oppositions to trademark 5 applications, policing the registration of domain names. 6 7 Again, it is, sort of, all aspects of 8 intellectual property. 9 In addition to our internal team, then 10 we work with outside counsel -- whether it be Hughes, Hubbard & Reed and other firms -- within 11 12 the U.S. and also around the world. Q. Are there other senior executives that 13 14 are involved in the day-to-day management of 15 trademark licensing or trademark portfolio 16 management? 17 A. So I would say that, when it comes to 18 trademark portfolio management, Eric Trump, Mr. 19 Trump's son, is sort of the one executive who is 20 principally involved in overseeing the trademark 21 portfolio and taking steps to protect it and 22 enforce our intellectual property rights. 23 Other members of the family -- Donald, 24 Jr. and Ivanka -- are involved sort of in terms 25 of licensing deals.

1	1 ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	But Eric Trump is really the person
3	who is principally involved in overseeing what I
4	do on the intellectual property front.
5	Q. How is, if at all, Mr. Trump involved
6	in the day-to-day activities of managing the
7	portfolio and licensing trademark licensing?
8	A. He's not.
9	He's you know, the company is is
10	sort of a conglomerate of various business
11	1 interests. And Mr. Trump does not get involved
12	in the sort of that's my job to oversee the
13	gortfolio and work with Eric Trump when
14	4 necessary. But principally that's my job, and
15	sort of that's delegated to me.
16	So he's not involved in the
17	7 day-to-day.
18	Q. Generally, can you tell me what, if
19	anything, that you did to prepare for today's
20	O testimony?
21	A. Reviewed select documents and briefly
22	spoke with counsel.
23	Q. Can you state the address of The Trump
24	Organization your business address for the
25	5 record?
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	Tago To
1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	A. Trump Organization main offices are
3	725 Fifth Avenue, New York, New York, in Trump
4	Tower.
5	MS. REED: I'd like to offer Exhibit
6	1.
7	(Exhibit 1, Single-page document
8	entitled: US PTO Service Mark Principal
9	Register, dated March 16, 1999 (no Bates Nos.),
10	marked for identification)
11	BY MS. REED:
12	Q. Mr. Garten, do you recognize this
13	document that's marked as Exhibit 1?
14	A. Yes, I do.
15	Q. What is it?
16	A. It is the registration with the United
17	States Patent and Trademark Office of the mark
18	Trump or The Trump Organization.
19	Q. Do you see on the registration where
20	it states: First Use?
21	And then it says: In Commerce?
22	A. Yes.
23	Q. Can you tell us what year is listed
24	there?
25	A. It says: December 31, 1964.

	Aran darten November 12, 2010	Page 14
1	ALAN GARTEN, ESQ DIRECT - BY MS. REED	
2	Q. Do you know what that date represents?	
3	A. That date corresponds to when The	
4	Trump Organization was first created by Mr.	
5	Trump when I believe when his I believe it	
6	coincides when he I know it does.	
7	It's the date when he formed the	
8	company, and registered the mark, and when he,	
9	sort of, went out on his own in the real estate	
10	business.	
11	Q. You said: When he also registered the	
12	mark.	
13	What do you mean by that?	
14	A. Well, I guess that's when he first	
15	started using the mark. That's when he first	
16	started using the name "The Trump Organization"	
17	to refer to his business.	
18	I see it was registered later.	
19	But the first time he used it was in	
20	1964 when he went on his own; formed the	
21	company.	
22	Q. Do you see in the Exhibit 1 where it	
23	says: For the word "For:" then there is a	
24	description?	
25	A. Yes.	

1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	Q. Can you read that description for the
3	record?
4	A. It says: For: Real estate planning,
5	laying out, development and construction
6	services of residential, industrial and
7	commercial properties services in Class 37.
8	Q. Is The Trump Organization currently
9	involved in offering those services under The
10	Trump Organization trademark?
11	A. Yes.
12	Q. Are there any other goods and services
13	that are offered under The Trump Organization
14	trademark?
15	A. Yes.
16	The company now, you know, today is
17	The Trump Organization essentially refers to the
18	larger enterprise which started out in, you
19	know, 1964 as predominantly a real estate
20	development construction and planning company;
21	and has now expanded into a multitude of other
22	areas on the real estate side.
23	Just on the real estate side, that
24	would be real estate development, real estate
25	construction, real estate brokerage, real estate

Page 16 1 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 2 management, real estate licensing, hotel 3 development, condominium development, golf 4 course development. 5 Then you've got casinos -- a casino business -- businesses. You've got product 6 7 licensing. 8 So there is a whole host of products 9 which have the Trump house mark, I guess you 10 could call it. 11 You've got other sub-businesses. 12 There is Trump University. There is 13 entertainment services. So you've got Trump 14 Productions, which produces television shows: 15 was and is a producer of the television show The Apprentice, which ran for, you know, 14 seasons. 16 17 Trump Organization was involved, and 18 was -- up until about two or three months ago --19 50% owner of the Miss Universe organization. 20 And The Trump Organization and Trump brand was 21 used in connection with that organization. 22 You've got books. Mr. Trump has 23 written, you know, 15, 20 -- more than 20 books, 24 which prominently use the Trump name and

associated logos and derivative marks.

25

Page 17 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 1 2 Trying to think what else I'm 3 missing -- product licensing, hotel -- yeah, 4 that's sort of it. 5 Q. In --Oh, wine -- I'm sorry -- winery -- you 6 7 have the winery -- yeah. 8 Q. On the product licensing front, can 9 you give examples of products that bear the 10 Trump house mark, as you called it? A. 11 Sure. 12 So over the years, Mr. Trump has 13 licensed the mark for a wide variety of 14 products -- from shirts and ties and suits, to 15 fragrances, to vodka, to steaks, to water, 16 golf-related apparel. 17 Talking just products? 18 There is Trump home furnishings. 19 There is Trump tea. I'm sure there is others that are 20 21 missing. 22 Q. 0kav. 23 Are all of these -- excuse me. 24 Strike that and rephrase. 25 What trademark is used to, I guess, to

Page 18 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 1 2 sell these products? 3 Or promote these services? 4 A. All of these products use the Trump --5 what we call the Trump house mark, the basic Trump mark. 6 7 Then often there will be sort of derivations of the Trump mark. 8 9 So, for example, you know, all of his 10 books -- almost all of his books have 11 prominently the Trump name. And many of the 12 titles will be "Trump" plus something else: 13 Trump: The Art of a Deal, Trump, you know --14 whatever it is. 15 And then also on -- we were just 16 talking about products. You can have Trump tea, 17 Trump steaks, Trump vodka. Those are sort of 18 the basic house mark -- use of the house mark. 19 Then you've got hundreds of 20 derivations of the Trump house mark. For 21 example, I could give a multitude of examples --22 Q. We'll go through some of the examples. 23 But so, when you say "the Trump house 24 mark" -- and you sort of explained it -- what do 25 you mean "the Trump house mark" then

	Page 19	
ESQ DIRECT - BY MS. REED		
ESQ DIRECT - BY MS. REED		

ALAN GARTEN, 1 2 "derivatives of the mark"? By "Trump house mark," I mean the name 3 A. "Trump." 4 And by "derivatives of it," I mean 5 Trump International Hotel & Tower, Trump 6 7 national golf course, Trump estates, Trump International Realty, Trump Mortgage. 8 9 We are talking about hundreds of marks 10 that have been registered over the years. 11 Q. Approximately how much money in gross 12 revenues would you say the organization has 13 generated in connection with offering goods and 14 services under the Trump house mark in the 15 United States? You could talk about, you know, 16 17 international, worldwide. 18 Then what portion of that would be 19 sales in the U.S.? 20 A. It is difficult to sort of put an 21 exact number on it. 22 But over the years, you are talking 23 about in the -- probably in the billions of dollars. 24 25 On a year-to-year basis worldwide, it

Page 20 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 1 2 would be in the hundreds of millions of dollars. 3 Probably in, you know, you know, I 4 would say in last year, you are talking about 5 upwards -- or in excess -- of maybe \$400 million. 6 7 In the U.S. it would be about 65 to 8 70 -- probably 70% of that is generated in the 9 United States. 10 Q. And how do you know this? 11 A. I'm general counsel of the company. 12 So I'm familiar with the licensing -- I'm familiar with all aspects of the use of the mark 13 14 in every which way. 15 I am aware of -- I worked on and 16 continue to work on how the mark is used --17 whether it be in a licensing deal to a third 18 party, whether it be to offer and sell products 19 that we ourselves develop or create. 20 Like, if we are going to develop a 21 condominium building in Chicago -- which we have 22 done -- or Las Vegas, then sell off units, I'm 23 involved in both legal aspect and the business 24 I work closely with every member of the 25 company, including our accounting department.

		Page 21
1	ALAN GARTEN, ESQ DIRECT - BY MS. REED	
2	receive from time to time e-mails which have	
3	breakdowns of how revenues are being generated	
4	and which projects they are coming from and	
5	where sales are coming from.	
6	So I'm pretty familiar with all of the	
7	financial aspects of the company.	
8	Q. Does the company and/or its licensees	
9	do anything to promote the goods and services	
10	under the Trump house mark?	
11	A. Yeah a lot of what we do is sales	
12	and marketing efforts.	
13	We are offering generally products of	
14	different kinds whether it be, you know, home	
15	products or condominium units, real estate	
16	memberships to clubs golf clubs, private	
17	member clubs, social clubs.	
18	So a lot of what goes into that is	
19	sales and marketing efforts.	
20	We oversee sales and marketing of our	
21	own projects.	
22	I gave the example of Chicago and Las	
23	Vegas. So we would oversee the sales and	
24	marketing of that ourselves.	
25	When it comes to deals where we are	
I		

Page 22 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 1 2 licensing the Trump mark -- let's say, for 3 example, on a real estate deal -- that would be 4 a deal where a third party is developing a 5 project, but we -- sort of to police and protect the mark and use of our brand, our deals all 6 7 have pretty rigorous approval requirements where 8 all sales and marketing is subject to our 9 approval. 10 And there are detailed procedures in all of our documents which has sort of an 11 12 approval process. Everything has to be run 13 through us. 14 So we are very involved in sales and 15 marketing -- not just for products that we are involved in ourselves, but for projects where 16 17 other people are developing. We are on top of 18 it and police it. 19 Q. So when you say "sales and marketing" or "marketing" -- what does that entail? 20 21 Is that advertising? 22 What is that, exactly --23 A. Yeah -- it can come in sort of a lot 24 of different forms depending on the product, 25 depending upon a lot of different factors.

1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	So there is sort of what I would call
3	traditional sales and marketing, which is
4	magazines, or radio, or television,
5	newspapers things of that nature.
6	And then there is, you know, more I
7	guess modern technology-based types of
8	advertising social media, Internet, website,
9	etc e-mail blasts, things like that.
10	And we are pretty strict and we go to
11	great efforts we have our own internal
12	marketing team to enforce and police the
13	activities, both by us and by other parties.
14	Q. Approximately how much money has your
15	organization and/or its licensees spent, let's
16	say, within the last year on marketing sales,
17	marketing and advertising goods and services
18	under the Trump name, both worldwide and in the
19	U.S., if you can
20	A. Hard to pinpoint because every deal is
21	sort of a separate entity.
22	And but you are talking about in
23	the tens of millions of dollars per year
24	yeah.
25	Q. Is that worldwide advertising?

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1	ALAN GARTEN, ESQ DIRECT - BY MS. REED	
2	Or is that just for the U.S.?	
3	A. You'd easily be talking about in the	
4	tens of millions of dollars worldwide, of which	
5	in terms of U.S., first, internationally I would	
6	say 65 to 70% sort of the same percentage	
7	it would be a corresponding percentage to the	
8	amount of deals the amount of revenue	
9	generated from the use of the brand.	
10	It would correspond to you know, 65	
11	to 70% of that would probably be in the U.S. and	
12	rest of it outside.	
13	Traditionally, the company has been a	
14	U. Sbased company.	
15	In recent years, there has been a lot	
16	of efforts to expand internationally. It's been	
17	pretty successful. And that's a growing sort of	
18	element of what we do.	
19	But it's traditionally a U.Sbased	
20	project with most of our efforts in the U.S.	
21	Q. I'll ask you the same question: How	
22	do you know that you have spent in the tens of	
23	millions of dollars in advertising?	
24	A. Because I've worked you know, I've	
25	worked for the company for the last almost 10	

1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	years. And, you know, I'm involved in all this.
3	In fact, I work extremely closely with
4	the marketing people, because I do all of the
5	oversight and review of all the marketing
6	efforts to make sure that they are legally
7	compliant and proper from a business standpoint.
8	So whether it be me sort of providing
9	guidance to our marketing people, or me, myself,
10	by hand, sort of overseeing and approving
11	ultimately approving all the marketing and
12	making sure it has the proper language and
13	protections, all of it ultimately goes through
14	me anyway.
15	Q. Does that include the expenses that
16	are spent for advertising?
17	A. I'm not involved in the approval of
18	expenses.
19	But I'm aware of sort of the companies
20	that we have worked with and the marketing
21	efforts.
22	So yeah, so I'm pretty familiar
23	with that whole process.
24	Q. So you mentioned the company and
25	Mr. Trump do business under the Trump house mark

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1	ALAN GARTEN, ESQ DIRECT - BY MS. REED	
2		
	for a variety of goods and services.	
3	So let's just go through each of	
4	those.	
5	A. Sure.	
6	Q. You mentioned real estate and	
7	development.	
8	Can you give examples of the use of	
9	either the Trump house mark alone or as a	
10	derivative mark for commercial and residential	
11	real estate properties?	
12	A. Yeah I mean, just in New York	
13	alone, you could look at 20 different or	
14	more properties that use the Trump name	
15	whether it's The Trump Building at 40 Wall	
16	Street, which is right around the corner from	
17	here. You've got Trump Tower on Fifth Avenue.	
18	You've got Trump World Tower. You've got Trump	
19	Plaza on Third Avenue and 63rd Street. You have	
20	Trump Park. I think there is Trump Park East.	
21	I mean, there are countless Trump, you	
22	know, buildings.	
23	You've got Trump Place on the upper	
24	west side.	
25	I'm sure I'm missing many.	

Page 27

1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	Then you those all use the Trump
3	house mark in conjunction, you know, with some
4	other term.

Then you've got derivative marks,
which around the country, you are talking about
the golf courses are all branded Trump National
Golf Club, and then usually an identifier -location identifier. So you've got Trump
National Golf Club Palm Beach, Trump National
Golf Club DC, Bedminster, Briarcliff,
Philadelphia.

I think there is now 15-16 golf
courses around the world. And they all use the

Courses around the world. And they all use the Trump National Golf Club mark.

Internationally, you've got Trump Golf Links Scotland, clubs in Turnbury, and other

19 On the hotel side, you know, going 20 on --

21 Q. You --

places as well.

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A. -- you have got Trump International
Hotel & Tower, Chicago, Trump Hotel -- I believe
now it's called Trump Hotel Las Vegas, Trump
Soho Hotel, you know, in lower Manhattan,

1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	Trump Trump International Hotel Central Park
3	West on the upper west side.
4	Q. What about for casinos?
5	A. Casino there was Trump Plaza.
6	There still is the Trump Taj Mahal in Atlantic
7	City. There is also a casino in Trump
8	International Hotel & Tower Panama has a casino
9	as well.
10	Q. You also mentioned wines and
11	vineyards.
12	Can you give an example of a Trump
13	formative mark for wines or vineyards?
14	A. Yeah so the Trump name is used
15	Mr. Trump himself and through various companies
16	he controls and his family controls own a winery
17	in Charlottesville, Virginia, known as Trump
18	Winery. I think it's called Trump Winery
19	Charlottesville.
20	And at Trump Winery they have several
21	different sort of products being offered. One
22	is there is a huge hotel with the Trump mark.
23	There is Trump wine, which is manufactured and
24	distributed along the east coast, with, you
25	know, on the labels, big, prominent letters

	Tago 27
1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	"Trump" across it.
3	There is a Trump wine tasting room.
4	There is tremendous event business all at the
5	Trump Winery.
6	Q. What about entertainment-related
7	services?
8	You mentioned "Trump" was being used
9	for that as well.
10	Can you give examples?
11	A. So on the entertainment front, you
12	have got Trump Productions, which was a producer
13	for The Apprentice and still develops, produces
14	a series of television shows right now.
15	You have got Trump pageants, which is
16	a principal owner of the Miss Universe
17	organization.
18	You've got the Trump name is also
19	used in connection with books.
20	Q. You mentioned previously Trump Models?
21	A. Trump Model Management right.
22	Trump Model Management is a modeling agency
23	that's based in New York which yes, which
24	also is which obviously uses the Trump mark.
25	Q. For Trump Productions, you mentioned

	1 ago oo
1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	that that was a company that produced The
3	Apprentice?
4	A. Yeah so Trump Productions still
5	exists. And although The Apprentice is not
6	running now, Trump Productions was a producer
7	along with Mark Burnett productions, the creator
8	of The Apprentice so actually, if you watch
9	the end of The Apprentice, it always says Mark
10	Burnett, it will say Trump Productions so it
11	gets production credit. And it, you know, it
12	was in the business of co-producing with Mark
13	Burnett.
14	In addition, Trump Productions has
15	produced other TV shows as well some reality
16	TV shows. There are some that are in
17	development.
18	And I believe also Trump
19	Production was involved in the production of
20	the Miss Universe Miss Universe, Miss USA,
21	Miss Teen USA pageants.
22	Q. For The Apprentice, can you just tell
23	us briefly what that show was about?
24	A. Sure.
25	So the Apprentice was ran 14

	Atan darten November 12, 2013	
		Page 31
1	ALAN GARTEN, ESQ DIRECT - BY MS. REED	
2	seasons.	
3	It's not currently in production. It	
4	is actually in production with other another	
5	host in the United States.	
6	Mr. Trump you know, as most people	
7	know was the host of the show and co-creator	
8	of the show with Mark Burnett. It ran on NBC	
9	for 14 seasons. It's now running in development	
10	with a new host. It also runs internationally.	
11	And sort of the the idea behind the	
12	concept for the show was for contestants to	
13	compete with one another to become Mr. Trump's	
14	apprentice; and to exemplify their business	
15	skills and negotiating skills; and compete	
16	against each other to show who was sort of, you	
17	know, best qualified to be his apprentice.	
18	Q. You mentioned beverages. I know you	
19	spoke of wine.	
20	Are there any other beverages using	
21	the Trump house mark or a formative derivative?	
22	A. There is currently a Trump water.	
23	There is currently Trump Tea products.	
24	There is there was a Trump Vodka,	

which no longer is for sale. It was sold in --

25

1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	Trump vodka actually was sold in the U.S.
3	And there was actually someone
4	tried to sell it in Israel. We actually brought
5	an infringement action against the company in
6	Israel and litigated it in court in Israel.
7	Water, wine, tea, vodka that's all
8	I can think of.
9	Q. You mentioned also apparel.
10	Can you give examples of the use of
11	the Trump house mark or a derivative of the
12	Trump house mark for apparel?
13	A. In apparel, the Trump mark and house
14	mark has been successful very successful
15	and used quite a bit. You have a series of
16	deals.
17	You had and still have a deal
18	for the manufacturing of shirts shirts, ties
19	and suits. Shirts and ties were manufactured
20	with the Trump name. I think it's called
21	actually the Donald Trump Signature it is
22	called the Donald Trump Signature Collection.
23	And that was manufactured suits and
24	ties were manufactured by or are still
25	manufactured by Phillips-Van Huesen, which is

1	ALAN CADTEN FOO DIDECT DV MC DEED
1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	one of the largest sort of suit and tie
3	manufacturers in the world and distributed in
4	Macy's and other places.
5	Suits were manufactured by a company
6	called Marcraft.
7	And I'm not sure who manufactures them
8	now offhand.
9	There are Trump golf shirts, every
10	which are sold not only in Trump Tower. There
11	are actually Trump golf shirts. There are Trump
12	sweatshirts. There are Trump hats.
13	There are a lot of different apparel
14	products. And they are sold today. You can buy
15	them online at the Trump golf website. You can
16	buy them in Trump Tower. You can buy them at
17	some of the Trump hotels.
18	And you can buy them in the pro shops
19	at every golf club where they make up you
20	know, there is a pretty sizable amount of
21	apparel products. And at those clubs, you've
22	got everything you could possibly imagine
23	from a golf club with the Trump name on it.
24	Q. Right.
25	A. And a lot of it is you got, you
l	

1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	know, equipment, and hats, and golf balls, and
3	everything can you imagine.
4	Q. You also mentioned fragrances.
5	Can you give an example of the use of
6	the Trump mark house mark or a derivative
7	for fragrances?
8	A. Yeah so the Trump mark has been
9	used on a fragrance sold by, you know,
10	manufactured by a third party fragrance maker
11	pursuant to a license deal where Mr. Trump
12	licensed his name and brand. And I believe it's
13	called Empire, which is his fragrance.
14	His daughter, Ivanka Trump, also has
15	her own fragrance the name of which escapes
16	me. I think it's called Ivanka and through a
17	separate licensing deal of her name brand and
18	likeness.
19	Q. The last category, you mentioned home
20	furnishings.
21	So can you give me an example of the
22	use of the Trump mark house mark or
23	derivative on home furnishings?
24	A. So over the years, there is a lot of
25	different home-related projects.

Page 35 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 1 2 There is a line called Trump Home. where the Trump mark is licensed along with I 3 4 believe some derivative marks to brand a series 5 of home furnishing products. You've got Trump mattresses manufactured by Serta, sold through the country, 7 throughout the world. 8 9 There are -- Trump Home, Trump 10 mattresses -- I believe there are other home 11 products. 12 There are candles. I think that would 13 sort of fall within the accessory -- home 14 accessory -- there are Trump candles. 15 I'm sure there is more that I'm 16 missing. Who owns -- or who is the owner of the 17 Q. 18 Trump house mark or all these various formative 19 Trump marks? 20 A. Donald Trump. 21 Q. Donald Trump. 22 Why does Mr. Trump personally own the 23 marks? 24 A. For tax planning purposes. 25 I'm not a tax guy -- but, yeah.

	Tago oo
1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	Q. Let's talk about the Trump books that
3	you mentioned.
4	A. Sure.
5	Q. You mentioned earlier that the Trump
6	house mark is used prominently on many of Mr.
7	Trumps books.
8	Just speaking about the books
9	generally who writes Mr. Trump's books?
10	A. So Mr. Trump either writes them
11	himself or writes them, like, with usually a
12	coauthor, and go ahead.
13	(Pause)
14	Q. Are you familiar with his books?
15	A. Yes.
16	He has authored, I believe, more than
17	20 different types of books over the years.
18	I would say the common denominator in
19	all of them is that they are all business books.
20	They are all sort of focused on business,
21	business issues, how to succeed in the business
22	world, how to succeed in real estate, how to
23	negotiate, how to, you know, beat your
24	competitors.
25	You know, all the books have used

Page 37

		Page 3/	, ¬
1	ALAN GARTEN, ESQ DIRECT - BY MS. REED		
2	his the house mark prominently in a number of		
3	ways either just sort of as when it lists him		
4	as the author. That's always prominently		
5	displayed, usually with picture. He's usually		
6	on the cover I mean, on the cover of every		
7	book.		
8	And a lot of books most of the		
9	books use the Trump house mark with some		
10	other sort of title, like: Trump: The Art of		
11	the Deal, which was his first book.		
12	You are talking about over the last,		
13	you know, 20-some-odd years he's written, you		
14	know, a lot of books 20 books. And they all		
15	have been largely successful.		
16	Q. You said The Art of the Deal was his		
17	first book.		
18	Do you know when that was published?		
19	A. Early 90's '92, I think. That was		

7. Larry 70 0 72, 1 cmm. mac was

20 I think, to date his most successful book.

21 A lot of his books have appeared on

the New York Times best seller list.

23 I believe that one was on the New York

Times best seller for in excess of, like, 50

25 weeks. Sold like a million copies.

Page 38

1 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 2 His current book, which came out a 3 week ago, called Crippled America, which 4 features a prominent picture of himself on the 5 cover; his name on the bottom -- excuse me. (Pause) 6 7 Yeah -- his most recent book, which is 8 called Crippled America, came out last week. 9 And he had a book signing. 10 I believe -- I'm told it's on the New 11 York Times best seller list already. He had a 12 book signing last week in Trump Tower, which was 13 supposed to go from 11:00 to 1:00. It wound up 14 going until, like, 4 o'clock. He sat there and 15 signed, you know, a couple thousand books. MS. REED: Mark this as Exhibit 2. 16 17 (Exhibit 2, Multipage document bearing 18 images (no Bates Nos.), marked for 19 identification) BY MS. REED: 20 21 Q. I just handed you Exhibit 2. Do you recognize this Exhibit -- feel 22 23 free to take time to look through the pages. 24 (Pause) 25 Yeah -- so I do recognize this. A. These

		Page 39
1	ALAN GARTEN, ESQ DIRECT - BY MS. REED	
2	are the covers of many of his books.	
3	I believe there are more. But this is	
4	definitely a representative sample.	
5	And as I said, they all you know,	
6	the common thread is they all use the Trump	
7	house mark extensively.	
8	Trump: The Art of Deal; Trump: How	
9	to Get Rich.	
10	So they all have the name Trump the	
11	Trump house mark in the title, as well as,	
12	obviously, you know, when it lists the author.	
13	Q. So let's go through each of the book	
14	covers.	
15	So the first one can you read the	
16	title and then describe how the Trump house mark	
17	is being used on the cover?	
18	And I'll ask the same question for	
19	each of the pages.	
20	A. Yeah so the title of the book is	

A. Yeah -- so the title of the book is

Trump: The Art of the Deal. The house mark is

used to, I would say, establish the brand and
gain the attention of the reader.

It, you know -- it's used the way it

is -- it appears consistent, not only with the

1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	way it appears on a lot of these covers, but
3	also on a lot of Mr. Trump's properties.
4	Q. Can you describe what it looks like
5	how it appears?
6	A. Yeah so the way it appears on
7	Trump: The Art of the Deal and others is, you
8	know, large, thick, gold you know, rich
9	gold lettering. And that has sort of become
10	the that's sort of become synonymous, I
11	think, with Mr. Trump as a business person and
12	as a public figure around the world both, you
13	know obviously, in the United States for
14	many, many years; internationally as well.
15	It's also this kind of gold thick,
16	gold, rich lettering in this sort of font is
17	used prominently on books. It's used
18	prominently on buildings.
19	The building that I work in Trump
20	Tower if you have been to the front of it on
21	Fifth Avenue, it has very thick, prominent, gold
22	lettering almost identical in font to this. It
23	stands out.
24	It's it's, you know, it's become a
25	worldwide tourist attraction. And every day I

Page	41
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1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	leave my building, people take pictures either
3	across the street or right in front in front
4	of that gold lettering. That has sort of become
5	part of not sort of. It has become part of
6	his worldwide brand.
7	Q. Let's turn to page 2 of Exhibit 2.
8	Can you read the title of this book
9	cover and describe again the use of the Trump
10	mark the appearance of it?
11	A. So the book is called: Trump: How to
12	Get Rich: Big Deals from the Star of The
13	Apprentice. And this book was written by Mr.
14	Trump with Meredith McIver, who co-writes a lot
15	of his books.
16	And again, the name the Trump house
17	mark is used to sort of it's used in big,
18	gold, prominent, thick lettering consistent with
19	how its used in Trump: The Art of the Deal, and
20	other books, and other properties. And it's,
21	you know, sort of done that way to convey, you
22	know, strength, and power and, you know, Mr.
23	Trump's, you know, competitive nature in
24	business.
25	And all these books, as I said before,

1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	are about how to succeed in business, how to
3	how to, you know, overcome, and beat, and
4	succeed over your competitors.
5	Q. Let's turn to page 3 of Exhibit 2.
6	Again, can you read the title of this
7	book and describe how the house mark appears on
8	the cover?
9	A. The book is called: Trump: The Art
10	of the Comeback. And the name appears very
11	consistent with the other books that we just
12	went through large, gold, prominent, thick
13	lettering.
14	Q. Next page again, can you read the
15	title of the book and describe how the house
16	mark appears on this cover?
17	A. The book is called: Think Like a
18	Champion. And the Trump name is used
19	prominently to indicate the author, which is
20	Donald Trump. And again, the Trump house mark
21	is used in the same way as the other books
22	you know, big, thick, gold lettering, the same
23	as on buildings, the same on as sales and
24	marketing materials in the United States and
25	around the world.

	Artan dar con Novombol 12, 2010	Page 43
1	ALAN GARTEN, ESQ DIRECT - BY MS. REED	
2	Q. Can you read the do you see on this	
3	page that there is sort of a notation in a	
4	circle?	
5	A. Yeah.	
6	Q. Can you read what that says?	
7	A. Yeah.	
8	It says: An informal education in	
9	business and life which sort of conveys the	
10	subject matter of the book, which is Mr. Trump	
11	giving his his advice in business and how to	
12	succeed in business, how to succeed in life,	
13	how to prevail over your competitors and win in	
14	business, and life, and negotiating, and	
15	business deals.	
16	Q. Next page again, read the title of	
17	the book and how the house mark appears on the	
18	cover page of the book.	
19	A. So the title of this book is: Think	
20	Big and Kick Ass in Business and Life. The	
21	Trump house mark appears prominently at the very	
22	top in big, thick, prominent letters consistent	
23	with how it appears in all the other books.	
24	And, again, these are all these	
25	books all are providing readers with, you know,	

1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	tips and advice on how to prevail and succeed in
3	business and in life.
4	Q. For this book, you said the title was:
5	Think Big and Kick Ass.
6	Is that then you described the
7	Trump mark.
8	Is the Trump house mark part of the
9	title?
10	Or is that how was the Trump mark
11	actually being used for this book?
12	A. I think it's being used in two ways.
13	A lot of his books use the house mark
14	as part of the title. Then they also have
15	the they have the Trump name as part of the
16	name of the author.
17	This book has "Trump" along the top.
18	It says: Trump and Bill Zanker,
19	President/Founder of The Learning Annex.
20	It is used I would say, in this
21	situation it's being used both as part of the
22	title, no different than Trump: The Art of the
23	Deal.
24	This is really: Trump: Think Big and
25	Kick Ass. And it's also used prominently to
1	

Page 45 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 1 2 show, you know, that he is involved in the 3 authorship of this book. 4 Q. 0kay. 5 Let's turn to the next page of Exhibit 2. 6 7 Can you describe -- read the title of 8 the book and describe how the house mark appears 9 on the cover? 10 A. This book is called: Think Big. looks to be another version of the prior book. 11 12 And it is used -- the Trump house mark is used 13 in the exact same way as I described for the 14 prior. 15 Q. Next page? Read the title and describe how the 16 17 trademark appears? 18 A. This book is called: Trump Strategies 19 for Real Estate: Billionaire Lessons for the 20 Small Investor. And this book is -- again, in 21 this book, you've got the Trump house mark being 22 used prominently in the title consistent with

It is

everything we've talked about before.

another example of using the Trump house mark

and having it appear in big, thick, prominent

23

24

25

Page 46

1 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 2 letters to grab the reader's attention. The 3 lettering is largely similar to the way it's 4 used in all these other books and on various 5 real estate projects and other, you know, licensing products around the world. 6 7 Trump Tower is the example I keep 8 giving -- sort of very similar to that as well. 9 Q. Next page. 10 Read the title of the book and 11 describe how the house mark is being used on the 12 cover of the book. 13 The title is: Trump: Think Like a Α. 14 Billionaire: Everything You Need to Know About 15 Success, Real Estate and Life. It is authored 16 by Donald Trump with Meredith McIver. 17 house mark is being used in two ways, again. 18 One is as part of the title of the 19 book: Trump: Think Like a Billionaire. 20 also used prominently in gold in, again, 21 prominent, thick lettering as part of the name 22 of the author. And this book -- like all the 23 other books -- sort of is another in the series 24 of business and, you know, books on how to 25 succeed in business, and prevail, and trump your

		Page 47
1	ALAN GARTEN, ESQ DIRECT - BY MS. REED	
2	competition.	
3	Q. Okay.	
4	The next page, please do the same.	
5	Read the title of the book and	
6	describe how the Trump house mark is being used	
7	on the cover of the book.	
8	A. This is called: Trump: Never Give	
9	Up: How I Turned My Biggest Challenges into	
10	Success. It's written by Donald Trump and	
11	Meredith Mclver, again. I would say much the	
12	same as I said before which is its used in	
13	two ways.	
14	One is part of the title: Trump:	
15	Never Give Up. It's also used, you know, to	
16	indicate who the author is. And it is, again,	
17	used in, you know, thick prominent letters. In	
18	this case, it is surrounded by gold. Gold, you	
19	know, is sort of a color that Mr. Trump has	
20	had his brand has become known for around the	
21	world.	
22	Yeah and this book, like the	
23	others, is, again, about his big business	
24	business stories from Mr. Trump about his	
25	business experiences, how he has prevailed over	

Page 48 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 1 2 other parties in business and succeeded, you 3 This one is called: How I Turned My know. 4 Biggest Challenges Into Success. I think this 5 book actually has a lot of case studies on particular projects that he worked on and how he 6 7 succeeded in those projects. The next page -- read the title and 8 Q. describe how the Trump house mark appears on the 9 10 cover of this book. 11 This book is called: Trump 101: The Α. 12 Way to Success. It's authored by Donald J. 13 Trump with Meredith McIver. And this book --14 again the Trump name is used prominently, again, 15 as part of the title and as part of the author. 16 Same thing as before. It's always used in, you 17 know, prominent, thick lettering to grab the 18 reader's attention to let them know that this is 19 a book by Donald Trump. In this case, in the 20 name of the title, it is used also very 21 prominently as before. Again, the gold appears 22 as part of the author's name: Donald J. Trump. 23 Gold, as I said before -- synonymous with his 24 brand. 25 (Pause)

Page 49 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 1 2 Q. Next page, read the title of the book 3 please, if you can, and describe how the house 4 mark appears on the cover of this book. 5 This book is called: Trump: The Wav to the Top: The Best Business Advice I Ever 6 7 Received. The name appears in the title on the 8 cover in the very similar, big, thick, prominent 9 lettering. Though the name Trump is in black, 10 it is surrounded by gold. And it appears in the subtitle: The Best Business Advice I Ever 11 12 Received is -- it looks to be another shade of 13 gold, consistent with everything I've said 14 before. 15 Q. Next page, describe -- read the title 16 of the book and describe how the house mark appears on the cover of this book. 17 18 A. The book is called: Trump: Surviving 19 at the Top, Donald J. Trump with Charles --20 looks like -- Leerhsen. The Trump house mark is 21 used consistent with how I've described it 22 before -- very prominent, thick lettering, both 23 in the name of the title -- Trump: Surviving at 24 the Top -- and also down below in the name of 25 the author.

Page 50 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 1 2 What stands out to me is in this case, 3 the lettering is very consistent with the type 4 of lettering and the style of lettering that is 5 used on books, properties. It's almost 6 identical to how it appears on the top of -- on 7 the front of Trump Tower, which is the 8 headquarters of The Trump Organization and sort 9 of the building that made Mr. Trump, you know, 10 what he is today. Q. 11 Two more to go. 12 Next one? Next book is called: Trump: 13 A. The Best 14 Real Estate Advice | Ever Received: 100 Top 15 Experts Share Their Strategies. 16 understanding is this book is a compilation of 17 stories from different successful business 18 people, how they have succeeded in business, 19 offering their strategies, with -- I believe 20 there is a forward of his other -- this includes 21 also stories from Mr. Trump himself. Again, the 22 house mark used very prominently -- very thick 23 lettering at the top, which is where virtually 24 the name appears in all these books as part of 25 the title -- lettering in a font and style very

	Afair darteir Novelliber 12, 2015	
		Page 51
1	ALAN GARTEN, ESQ DIRECT - BY MS. REED	
2	consistent to everything that we've seen before.	
3	Q. The last book please read the title	
4	and describe how the house mark appears on the	
5	cover of this book.	
6	A. The book is called: Trump: The Art	
7	of Survival, written by Donald J. Trump and	
8	Charles Leerhsen. The brand the house mark	
9	is used as the name of part of the name of	
10	the book. The lettering is very consistent with	
11	what we have seen before thick, prominent,	
12	bold lettering, you know, right across the top.	
13	Again, this is very consistent with	
14	how the Trump name and house mark is used in	
15	to mark it products real estate products,	
16	personal products; used on, you know,	
17	business on buildings like Trump Tower.	
18	Q. So you mentioned some of the books	
19	contain the house mark in bold, gold lettering.	
20	A. Right.	
21	Q. Why does Mr. Trump use gold lettering	
22	for some of his books.	
23	And you mentioned properties some	
24	of his properties as well?	

I think gold over the years -- he's

25

A.

1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	used gold over the years from the beginning
3	of his career throughout to convey power,
4	strength, to, you know, a sort of aggressive
5	approach to business that has become, you know,
6	sort of his brand part of his brand, and
7	synonymous with him, and what people expect from
8	him all over the world.
9	My building, Trump Tower, has you
10	know, the entrance is wrapped in gold. And when
11	you come through the front doors, the lettering
12	"Trump Tower" appears very prominently much
13	the way it appears in this book. And that is
14	sort of as a sign of strength.
15	It has become, you know that sort
16	of way of conveying the brand has become, you
17	know, world known and has made our building a
18	tourist attraction.
19	When you walk into the building the
20	gold the gold color is everywhere. It is on
21	the called lintels the pieces that, you
22	know, as you come in, there are sort of pieces
23	that extend up to the top of the ceiling which
24	divide the window panes.
25	The flooring is I believe it's
1	

1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	called bronzino which is a gold sort of based
3	marble. It's everywhere. There is gold
4	mirroring in the building. There is a waterfall
5	with I believe it has gold behind it. All of
6	the trim throughout the building is gold gold
7	in color. If you come up to my office on the
8	26th floor where Mr. Trump sits I sit down
9	the hall you walk in. It says The Trump
10	Organization in gold. Behind it is sort of a
11	gold panel. There is I believe there is a
12	gold couch. Gold is is what he, for since
13	the beginning of his career, has used to
14	establish his brand as a reflection of sort of
15	the basic pillars on which he operates in the
16	business world, which strength, and power,
17	and, you know, a competitive instinct.
18	Q. And you sort of described generally
19	what these books that we went over are about.
20	But can you sort of provide more
21	detail about what the general topic or subject
22	matter is for these various books?
23	A. Yeah the books are all sort of
24	business related. They are all on how to
25	succeed in business, how to succeed in life.

Page 54 1 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 2 From working with Mr. Trump over the 3 past 10 years, I can tell you he is sort of a 4 nonstop guy. He works around the clock. He, you 5 know, is very aggressive in business. He's very aggressive in life. 6 7 MR. UNDERHILL: Relevancy objection. 8 A. He likes to prevail. He likes to 9 prevail over those who he is on the other side 10 of the table against -- whether it be 11 negotiating, whether it be any kind of deal or 12 project he's working on. And he --13 MR. UNDERHILL: Objection, relevancy. 14 THE WITNESS: You want to let me 15 finish? 16 Then you can --17 MR. UNDERHILL: I am sorry. Go ahead. 18 A. -- and the books are all sort of 19 reflective of that insight. 20 The mantra, I would say -- which is to 21 prevail, and always do your best, and do the 22 best you can, and get the best of a deal over 23 those who are adverse to you or your 24 competitors. 25 MR. UNDERHILL: Objection, relevancy,

Page 55

ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 1 2 narrative. 3 BY MS. REED: 4 Q. Can you describe the type of consumers 5 that buy these books? I think it sort of runs the gamut. 7 I know his books -- like The Art of 8 the Deal -- is used in -- as part of 9 educational, for educational purposes in 10 universities. It's his first book, his most well-known book. It's regarded by many as, you 11 12 know, sort of a business Bible. So you've got people buying his books for educational purposes 13 14 to use as parts of education. 15 You've got the general business 16 world -- people who are interested in business, 17 people starting out in business, people seeking 18 his advice in business -- yeah. 19 Q. You mentioned The Art of the Deal was 20 his first book and most popular book? 21 A. Yeah -- he's had a lot of business -he's had a lot of successes with his books to 22 23 The Art of the Deal to this day remains 24 sort of his most well-known book, and a New York 25 Times best seller 50-plus weeks, over a million

Page 56

1 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 2 copies sold -- very successful book. 3 Q. 0kay. 4 MS. REED: I want to enter another 5 exhibit into evidence. (Exhibit 3, Multipage document bearing 7 images (no Bates Nos.), marked for identification) 8 BY MS. REED: 9 10 Q. Mr. Garten, you mentioned use of the 11 Trump house mark on various properties in gold 12 lettering. 13 Can you describe -- or, sorry -- do 14 you recognize what was handed over to you as 15 Exhibit 3? And if so, can you describe what is 16 contained in this exhibit? 17 18 A. This appears to be a sort of snapshot 19 from the Trump website -- or a link to the Trump 20 website -- which is for -- looks like it's 21 dedicated to the building of Trump Tower. 22 And these are the letters I was 23 referring to in the sort of font and gold 24 styling that I was referring to earlier. 25 You are reading the first page of this Q.

	1.4.6	
1	ALAN GARTEN, ESQ DIRECT - BY MS. REED	
2	Exhibit 3, correct?	
3	A. Yes, page 1 of Exhibit 3.	
4	Q. Can you turn to page 2?	
5	A. Yes.	
6	Q. Can you describe what this page shows?	
7	A. This is a snapshot from the website	
8	for the Trump Taj Mahal hotel and casino in	
9	Atlantic City. Again, you've got the Trump name	
10	and lettering on the upper-left corner of page 2	
11	in gold consistent with what we have talked	
12	about before.	
13	Q. Turn to page 3 or actually let's	
14	keep turning to the page that reads: Trump	
15	Entertainment Resorts at the top of the page.	
16	Can you describe what this shows?	
17	A. This is a link this is a snapshot	
18	from a website. Appears to be from the Trump	
19	Entertainment Resorts website.	
20	Trump Entertainment Resorts is a	
21	publicly traded company that operates and owns	
22	various casinos Atlantic City, including the	
23	Trump Taj Mahal.	ļ
24	Q. Can you describe the use of the Trump	
25	house mark in connection with Trump	

1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	Entertainment Resorts?
3	A. The Trump house mark is used
4	prominently as part of the sort of marketing and
5	promotion of the Trump Taj Mahal. The house
6	mark appears not only on the building but also
7	on all the sales and marketing including
8	on this website.
9	And it is sort of the face of, you
10	know, sort of the house mark is sort of the
11	face or brand associated with the Taj Mahal
12	project.
13	And as you can see from the picture,
14	gold is sort of everywhere on the building
15	itself, along the trim. And on the website,
16	there is different sort of grading shades of
17	gold in the lettering and highlights.
18	Q. Next page can you identify what
19	that page shows?
20	A. This is a snapshot from a website for
21	Trump International Realty. Trump International
22	Realty is the brokerage real estate
23	brokerage arm of The Trump Organization.
24	This particular snapshot shows a picture of
25	Trump International Hotel in Las Vegas.

Page 59 1 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 2 The Trump house mark is used 3 prominently in the name Trump International 4 Realty in the same similar lettering and also on 5 the top of Trump Hotel in Las Vegas. The Trump house mark appears across the top in, you know. 6 7 highlighted, lit-up, thick, gold lettering. 8 Q. Turn to the next page of Exhibit 3 and 9 identify what that page shows? 10 A. This is a link -- this is, rather, a 11 snapshot from a website for Trump National Golf 12 Club in Philadelphia. And the Trump name 13 appears on this along with the Trump crest 14 prominently as part of the name of Trump 15 National Golf Club. Again, you see gold 16 throughout. The Trump name also appears sort of 17 below in the upper-left corner, just to I think 18 affiliate itself back with The Trump 19 Organization. 20 Q. Turn a couple pages. It is the page 21 that shows Trump International Hotel & Tower logo. 22 Can you first tell us what Trump 23 International Hotel & Tower is? 24 And then describe the appearance of 25 the logo?

Page 60 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 1 2 A. Trump International Hotel & Tower is 3 a -- is the name brand and registered trademark 4 for the line of Trump hotels which are part of 5 the Trump Hotel Collection. Trump International Hotel & Tower is sort of the base name for all 6 7 of the hotels. 8 So there is Trump International Hotel 9 & Tower Chicago, Puerto Rico, Hawaii. 10 Other hotels use just Trump -- I believe Trump International Hotel. They use 11 12 sort of, you know, derivations of that. 13 It's always used or frequently -- it's 14 always -- it always appears in sort of big thick 15 lettering and most frequently appears in gold. How does it appear in this 16 17 particular -- on this page in Exhibit 3? 18 A. Consistent with that -- which is sort 19 of big, thick lettering and in gold. 20 Q. The last page of Exhibit 3 -- can you 21 identify what this logo is and describe how it 22 appears? 23 Α. This is the logo and name for Trump 24 Park Avenue, which is the former Delmonico 25 Hotel, which Mr. Trump converted to residential

ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 1 2 condominium, maybe 10 to 15 years ago. And it's 3 called Trump Park Avenue. And this is the 4 Trump -- the picture of the name of the hotel --5 not the hotel -- the residential condominium and the crest associated with it. 6 THE WITNESS: Can we take, like, a 7 8 two-minute break? 9 MS. REED: Sure. 10 MR. UNDERHILL: As long as you like. (Recess from 11:13 a.m. to 11:24 a.m.) 11 12 BY MS. REED: Q. So we just talked before the break 13 14 about Mr. Trump's books. And you explained that 15 they relate to business advice and education. 16

Are there any other goods and services that Mr. Trump, or the organization, offer under the Trump house mark relating to business, education or advice?

17

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A. There was Trump University, which was a program — sort of an educational program — for people wanting to learn about business. And that was principally owned by Mr. Trump and under Mr. Trump's guidance and direction; and used the Trump house mark as, you know,

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1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	obviously as in the name of it itself and also
3	as part of the sales and marketing efforts.
4	In addition, Mr. Trump from time to
5	time conducts lectures around the country
6	around the world, I should say on business
7	issues. It's either business related or it's
8	basically a combination of business and sort of
9	motivational speaking.
10	And his the Trump house mark along
11	with his image are used to market and promote
12	and advertise those lectures.
13	Kind of all I can think of.
14	Q. Switching gears earlier you
15	testified concerning your role in connection
16	with trademark policing in terms of policing
17	the brand.
18	Can you explain as part of your
19	responsibilities to police the brand what types
20	of actions you've taken over the years in
21	connection with the Trump brand?
22	A. So the policing and enforcement of the
23	Trump brand is sort of divided between what we
24	do in-house and what we do through outside
25	counsel like Hughes Hubbard, other firms.

Page	63	
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1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	And both in-house and outside, we work
3	together and to take steps to enforce and
4	protect and police the use of the brand.
5	That can run the gamut from sending
6	cease-and-desist letters, to filing WIPO actions
7	over domain names W-I-P-O actions to
8	taking actions with the US PTO whether it be
9	filing oppositions to other marks being filed
10	and engaging in sort of full-blown, you know,
11	trademark infringement litigation or opposing
12	others trying to file marks sort of
13	everything.
14	Q. How do you become aware of marks that
15	you may want to potentially oppose?
16	A. We a couple ways.
17	We have through outside counsel, we
18	use monitoring services to monitor the filings
19	of trademarks, to monitor the filings of
20	registrations of domain names. We do some we
21	have outside counsel do that.
22	We also do some of those things
23	in-house.
24	When we are notified as to a filing,
25	we will then review it internally. We will have

	1 ago on
1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	outside counsel review it. We will then sort of
3	caucus and get together and decide what the best
4	course of action is in each particular case.
5	Q. How did you become aware of the
6	applicant's trademark Trump Your
7	Competition that's at issue in this
8	proceeding?
9	A. I was notified by counsel trademark
10	counsel, or intellectual property counsel.
11	Then we took steps to file opposition.
12	MS. REED: I'd like to enter another
13	exhibit into evidence. It is Exhibit 4.
14	(Exhibit 4, Single-page document
15	bearing image (no Bates No.), marked for
16	identification)
17	BY MS. REED:
18	Q. Mr. Garten, do you recognize the
19	document that was handed to you marked as
20	Exhibit 4?
21	A. Yes.
22	This is a picture of Trump Your
23	Competition, which is the application for the
24	mark that we are opposing.
25	Q. Do you recall when you became aware of

	Aran dar ten November 12, 2010	Page 65
		rage 00
1	ALAN GARTEN, ESQ DIRECT - BY MS. REED	
2	this trademark?	
3	A. When I was notified by counsel of the	
4	original application filing by the respondent.	
5	Q. How would you describe the logo that	
6	appears in Exhibit 4?	
7	A. Well, in the name Trump Your	
8	Competition, the name "Trump" is at the top. It	
9	is featured prominently in lettering that is	
10	highly consistent with the type and style and	
11	font of lettering that is used by the Trump	
12	organization and Mr. Trump to reflect his	
13	well, first of all, let me take a step back.	
14	It's usually the name Trump, which is	
15	our Trump house mark.	
16	Second it's being used in a way in	
17	a style of lettering and color that is	
18	synonymous with my client and his business	
19	endeavors around the world.	
20	It is consistent, I would say, in	
21	every respect with the way the name Trump	
22	appears on many most, if not all, of Mr.	
23	Trump's books.	
24	It is consistent with the way it	
25	appears on many of Mr. Trump's most well-known	

ALAN GARTEN, ESQ DIRECT - BY MS. REED
buildings, including Trump Tower, and many
others.
It not is the font style
consistent? Not just is the font style
consistent, but the coloring itself the
gold is generally associated with Mr. Trump
and his business interests.
And the gold is used on this Exhibit 4
much in the same way that it's used on his
books, and on his properties, and golf courses,
and on a lot of his business interests.
Even the sort of gradient the
change in coloring is consistent with what
I've seen on in the Exhibit 2 examples of
the book covers.
And it's also consistent with what
I've seen over the years in the way the Trump
house mark is used to market various projects
and products.
MS. REED: I'd like to hand you the
last exhibit, which is Exhibit 5.

Page 67 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 1 2 (Exhibit 5, Three-page document 3 bearing heading on first page: 4 http://www.trumpyourcompetition.com/ (no Bates 5 No.), marked for identification) BY MS. REED: 6 Q. Can you identify what this exhibit 7 8 appears to be to you? 9 Look through the pages and take some 10 time if you need. (Pause) 11 12 This is — this consists of a series Α. of screen shots from the Trump Your Competition 13 14 website: Trumpyourcompetition.com. 15 I can't say the exact dates that appeared on the Internet. 16 17 But I know at one point it did. 18 And this is an advertisement for the 19 services that are being offered under the Trump 20 Your Competition name, which --21 Q. What's your understanding of those 22 services that are being offered under the Trump 23 Your Competition mark? 24 Well, it's -- my understanding is it 25 is -- it is both business advice and

Page	68
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1	ALAN GARTEN, ESQ DIRECT - BY MS. REED
2	consulting on how to advertise on the Internet
3	and other, you know, methods of social media.
4	And, you know, it's basically an
5	educational it's both a business consulting
6	and educational sort of program.
7	And it is using, you know, the Trump
8	name the Trump house mark to, I believe,
9	mislead consumers into believing that this
10	business enterprise is associated with the Trump
11	organization and Donald Trump.
12	And I'm noticing here which is not
13	on Exhibit 4 is that even the way "Trump" is
14	used on page 1 of Exhibit 5, where it says:
15	Trump Your Competition not only is the
16	lettering consistent with the style of lettering
17	and the color consistent with the way the Trump
18	name the house mark is often used
19	frequently used over the last, you know, 20-plus
20	years but it also has even I can see on
21	this copy lines going through the back, which
22	is frequently used by the Trump organization and
23	its affiliated companies when using the name.
24	This, to me, represents a straight
25	ripoff of my client's intellectual property and

Page 69 ALAN GARTEN, ESQ. - DIRECT - BY MS. REED 1 2 mark. 3 And not only that, but he is selling 4 business advice -- marketing, advertising 5 advice -- which is a line of business that my client is well-known for -- either through 6 7 books, speeches, or courses like Trump 8 University. 9 So -- I don't know. When I see this, 10 I just -- to me it speaks loudly of someone 11 trying to trade off my client's marks. 12 Q. Just to turn back to Exhibit 4 -- you 13 described the appearance of the mark, 14 particularly the lettering "Trump" in gold. 15 Can you read the full mark that appears -- full logo that appears on this page 16 17 and explain why this is concerning to you and 18 your client? 19 A. Sure. 20 It says: Trump Your Competition. 21 I already described how the Trump name 22 is used. 23 Then it says: Dominate, decimate, 24 destroy. 25 While I can't say those are exact

	<u> </u>
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL
2	words that, you know, my clients would use in
3	their sales and marketing, it's certainly
4	consistent with a style of active and aggressive
5	business strategies. And it's consistent with
6	the sort of overall mantra that my client
7	conveys through his books and lectures and what
8	he has become known for which is a successful
9	and aggressive businessperson.
10	MS. REED: I don't have any further
11	questions.
12	So I just want to move to introduce
13	the Exhibits 1 through 5 into evidence.
14	MR. UNDERHILL: My objection:
15	Relevancy, lack of foundation for all exhibits.
16	MS. REED: Mr. Underhill, do you want
17	to cross-examine the witness?
18	Yes. Okay.
19	So we are going to switch seats.
20	(Pause)
21	CROSS-EXAMINATION
22	BY MR. UNDERHILL:
23	Q. Hello, Mr. Garten.
24	A. Hi.
25	Q. Thanks for attending. I really

	Aran dar con November 12, 2010	Page 71
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL	
2	appreciate it. I know you are a very busy man.	
3	A. No problem.	
4	Q. General counsel — that means, of	
5	course, that you are a licensed attorney in New	
6	York?	
7	A. Correct.	
8	Q. Do you consider yourself to be a	
9	trademark attorney?	
10	A. A trademark attorney?	
11	I do now.	
12	Q. You have filed oppositions yourself,	
13	personally, as the attorney of record.	
14	MS. REED: Objection as to relevancy.	
15	A. I may have.	
16	I can't recall offhand.	
17	Q. You were the attorney of record on	
18	this case for a while?	
19	A. Yes.	
20	Q. Have you been the attorney of record	
21	in other trademark options?	
22	A. I believe I am now.	
23	Q. And you understand that Donald J.	
24	Trump is the opposer in this case?	
25	A. Yes.	

	Alan darten November 12, 2010	Page 72
		1 450 72
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL	
2	Q. Is he aware of this case?	
3	A. Generally, he's aware of yes, he	
4	would be aware of this case sure.	
5	Q. So whomever filed this case as	
6	attorney of record in the beginning had Mr.	
7	Trump's authorization for filing the lawsuit?	
8	A. Absolutely	
9	MS. REED: Object.	
10	To the extent that it calls for	
11	disclosure of any attorney-client privileged	
12	communications, you can answer the question.	
13	But don't disclose any communications	
14	between counsel and Mr. Trump.	
15	A. Yes.	
16	Q. As an attorney, you understand that	
17	certain questions I ask you regarding Mr.	
18	Trump his opinion about things, his	
19	motivation, his interest in this case could	
20	rightfully be objected by legal counsel as being	
21	something that would breach attorney-client	
22	privilege.	
23	A. Yes.	
24	(Pause)	
25	Q. Okay.	

	Aran dar ten November 12, 2010	Page 73
		1 480 70
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL	
2	You mentioned during the direct	
3	examination that Mr. Trump owns hundreds of	
4	marks.	
5	A. Yes.	
6	Q. Is that true?	
7	MS. REED: Objection, misstates	
8	misstates the testimony.	
9	BY MR. UNDERHILL:	
10	Q. Can you restate what you said	
11	regarding that?	
12	A. I don't remember exactly what I said.	
13	But certainly he does he is the	
14	owner of hundreds of marks.	
15	Q. Okay.	
16	Regarding any of those registered	
17	marks, do any of them claim gold the color	
18	gold as a feature of that mark?	
19	A. I wouldn't know offhand.	
20	Q. Okay.	
21	A. I would assume that's available in	
22	public records.	
23	Q. Sure.	
24	I will disclose I haven't found it.	
25	So I'm assuming that it's true that he has no	

1		
	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL	
2	marks that are registered that gold	
3	MS. REED: Objection to testimony	
4	being given by opposing counsel.	
5	MR. UNDERHILL: Okay.	
6	MS. REED: That's not a question.	
7	Q. Is it you personally who made the	
8	decision for this case to be filed?	
9	A. I don't recall.	
10	Q. As general counsel, you oversee	
11	litigation like this?	
12	A. Yes.	
13	Q. What does that mean?	
14	Do you manage the litigation?	
15	A. Yes.	
16	Q. And trial counsel would report to you?	
17	A. Yes.	
18	Q. Are you aware that a gentleman by the	
19	name of Anthony Joseph Seruga previously had a	
20	registered mark that was registration No.	
21	3095214?	
22	And the mark was Trump Your	
23	Competition. And it expired and went dead at	
24	some point.	
25	Do you know that?	

	rage 70
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL
2	A. Not as I sit here.
3	Q. You testified about your internal
4	protocols and systems where you would become
5	made aware of marks that you might want to
6	contemplate opposing.
7	Is that true?
8	A. Yes.
9	Q. So does that system automatically pull
10	for your attention any application that would
11	have the word "Trump" in it?
12	A. Generally, yes.
13	Q. So, then, it would be likely that this
14	particular application that was filed on
15	February 5, 2005, for Trump Your Competition may
16	have come to your attention.
17	A. I started working there in December of
18	2006.
19	Q. So this predates that.
20	Who was handling trademark litigation
21	for the Trump organization then?
22	A. The prior general counsel.
23	Q. Who was?
24	A. It would have been a gentleman by the
25	name of, probably, Bernie Diamond.

	1 200
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL
2	Q. You are not sure about the identity of
3	
	your predecessor?
4	A. No, I know who the predecessor is.
5	You asked me who would have been
6	handling it.
7	Q. Before you became attorney of record
8	on this case, who was the attorney of record
9	prior to that?
10	A. I don't know.
11	I'm sure that's available in the
12	public records, too.
13	Q. You don't know who you fired?
14	I'm assuming you terminated the
15	attorney on the case.
16	MS. REED: Objection, assumes facts
17	not in evidence.
18	A. It may have been Fross Zelnick law
19	firm.
20	Q. I think it was, yes.
21	MS. REED: Objection to testimony by
22	opposing counsel.
23	Q. Did you review the pleas on this case
24	when it was filed the actual notice of
25	opposition?

	Man dar ton Hoveliber 12, 2010	Page 77
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL	
2	A. I may have. I don't remember one way	
3	or the other. I review a lot of papers.	
4	Q. Would you allow an opposition to be	
5	filed without reviewing the pleas prior to them	
6	being filed?	
7	A. Under my oversight?	
8	No.	
9	(Pause)	
10	A. I just don't have any specific	
11	recollection one way or the other.	
12	Q. Have you reviewed the notice of	
13	opposition that was filed by James D.	
14	Weinberger?	
15	A. Briefly.	
16	Q. Did you review it prior to it being	
17	filed?	
18	MS. REED: Asked and answered.	
19	Q. When did you first review it?	
20	A. I don't recall when I first reviewed	
21	it.	
22	l've reviewed it since.	
23	But I don't recall the first time I	
24	reviewed it.	
25	Q. Do you have an understanding about	

1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL	
2	what the causes of action are within the	
3	petition?	
4	A. I would have to take another look at	
5	it.	
6	Q. Do you recall that James D. Weinberger	
7	left the case he resigned at some point	
8	and you replaced him as attorney of record?	
9	A. Yes.	
10	Q. Then at some point, you resigned and	
11	you were replaced by this firm Natasha Reed	
12	and	
13	A. Yes.	
14	Q Lena Saltos?	
15	A. Yes.	
16	Q. Do you agree that, during the course	
17	of this litigation, Donald J. Trump has engaged	
18	in no discovery requests?	
19	A. As I sit here, I'd have to go and look	
20	over the file.	
21	Q. As the general counsel who is	
22	supervising this litigation, wouldn't it be a	
23	normal course for you to review if discovery	
24	requests were being issued on your behalf of	
25	your client or not?	

	Man dar con Novombol 12, 2010	Page 79
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL	
2	A. Yes.	
3	Q. Exhibit 4, I think is this Exhibit	
4	4?	
5	The application that	
6	A. I'm sorry.	
7	You want me to look at Exhibit 4?	
8	Q. Yes, please.	
9	Can we agree this is Exhibit 4?	
10	A. Yeah.	
11	Q. You have Exhibit 4 in front of you?	
12	A. Yes, I have it in front of me.	
13	Q. Okay.	
14	Do you know whether or not the	
15	application that Mr. Trump is opposing claims	
16	any color at all as a feature?	
17	A. I don't know that as I'm sitting here,	
18	no.	
19	I would have to read the papers and	
20	see what the application is.	
21	Q. Do you know whether or not this	
22	application that Mr. Trump is opposing is a	
23	so-called "typed drawing mark"?	
24	A. I don't know.	
25	Q. Do you know what a "typed drawing	

	Aran dar ton November 12, 2010	Page 80
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL	
2	mark" is?	
3	A. No.	
4	Q. Do you know that some marks are simply	
5	the words with no color, or drawing, or any	
6	artwork claimed as part of the feature?	
7	A. Not sure I understand the question.	
8	Q. I beg your pardon?	
9	A. I'm not sure I understand what you are	
10	asking me.	
11	Q. Okay.	
12	Regarding the application that is	
13	being opposed by Mr. Trump, in terms of the	
14	application itself, and any and all elements of	
15	that mark as described in the application	
16	what is it specifically about the application	
17	that you are objecting to?	
18	A. Whatever I'm objecting to I believe	
19	would be set forth in our papers.	
20	Q. So you don't independently recall what	
21	the objection would be?	
22	A. It's not a memory test.	
23	You are asking me if you want to	
24	show me the document, I can read it into the	
25	record.	

Page 81 1 ALAN GARTEN, ESQ. - CROSS - BY MR. UNDERHILL 2 But, no, I don't independently 3 memorize every document we filed. 4 Q. The Trump house mark -- that would be essentially limited to the word "Trump." 5 Am I understanding that correctly? 6 7 A. I would agree with that, yeah. Q. 8 Okay. 9 Do you understand what the term 10 "dilution" means regarding trademark law? 11 A. Yeah, generally. 12 I thought you were going to be asking me factual questions and not my background --13 14 testing me on terms in trademark law. 15 Do you understand what "dilution" 16 means regarding trademark law? 17 Α. I have some understanding of what "dilution" means. 18 19 Q. What evidence do you have, counselor, 20 if any at all, that there has been dilution in 21 the marketplace regarding my client's mark?

22 MS. REED: Objection, calls for a 23 legal conclusion. 24 I would sort of refer that question to 25 my outside counsel.

	Aran dar con November 12, 2010	Page 82
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL	
2	(Pause)	
3	Q. What evidence do you have or that	
4	you know about regarding confusion in the	
5	marketplace regarding the two marks any	
6	actual confusion?	
7	A. Well, what I can say is that, having	
8	overseen Mr. Trump's trademark portfolio and	
9	enforcement, I would say based on my	
10	experience anyone who encountered your	
11	client's attempted use of my client's mark would	
12	have reason to believe that your client's	
13	business is associated somehow or	
14	affiliated with my client's business.	
15	Q. That's your opinion.	
16	A. Yes my legal opinion.	
17	Q. Okay.	
18	Has anybody phoned your office who saw	
19	this and either thought it was involving Mr.	
20	Trump?	
21	Or even complaining in any way about	
22	it to you personally?	
23	A. No one has phoned me personally.	
24	But I can't say for the thousands of	
25	other people who work for the company that they	

1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL
2	have not received phone calls.
3	Q. Do you remember what the goods and
4	services description is for my client's mark?
5	A. No.
6	Q. Does Mr. Donald Trump own any
7	registered marks that are specifically limited
8	to the field of marketing?
9	A. I don't know offhand.
10	Q. Do you understand the usage of the
11	word "Trump" in my client's mark is a verb
12	rather than a noun?
13	A. I don't know how your client I
14	can't say how your client is intending to use
15	it.
16	I can tell you what I believe it
17	appears he's doing which is to use the name
18	"Trump" as a playoff of the Trump house mark
19	my client's Trump house mark.
20	Q. That's your opinion.
21	A. That's my opinion.
22	But my opinion determines how and when
23	to take action in situations when I believe
24	others are attempting to infringe on my client's
25	rights.

	rage of
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL
2	Q. Does Mr. Trump Donald Trump does
3	he own any registered marks where the word
4	"Trump" is specifically being used as a verb?
5	A. I don't know. I can't think of I
6	don't know. I mean, I think that would be sort
7	of I don't know offhand.
8	I'm not sure I understand the
9	question, actually.
10	Q. Can you think
11	A. I'm just I'm sorry.
12	I was going to say: I think you are
13	asking sounds to me it's more of an opinion
14	question.
15	I mean, I imagine people can sort of
16	differ as to when it is being used as a verb and
17	not.
18	I'm not sure I understand the
19	question.
20	(Pause)
21	Q. The registered trademark:
22	Consciousness Trumps All would you agree the
23	use of the word Trumps in there is used as a
24	verb rather than a noun?
25	A. Hard for me to sort of answer that

	1 ago 00
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL
2	question in the abstract.
3	I'm not familiar with that
4	registration. Not really sure what you are
5	reading from and is that a registration
6	how it's being used I'd have to see how it's
7	being used.
8	Q. Okay.
9	A. Sort of I'm trying I'm really
10	trying to answer your question, just not sure I
11	can that question.
12	Q. Can you think of any sentence in the
13	English language where "trump" is used as a verb
14	and tell me?
15	A. I'm familiar with trump being used in
16	the as part of a card game, like a trump
17	card. I have seen that.
18	I know that certainly the word "trump"
19	can be used as a word. I recognize that.
20	I'm not sure of specific examples.
21	But I'm not aware of any other
22	situation where it's being used as a verb in
23	commerce in a way that makes it appear as if it
24	is associated with Donald Trump.
25	Q. Approximately how many Trump marks

1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL
2	that is, marks being filed not by your client,
3	but by somebody else in the world — how many
4	"Trump:" marks come for your review filed as
5	applications every year just approximately?
6	A. Are you asking me how many times I'm
7	alerted as to the filing of a mark
8	
	application for a mark using the name "Trump"?
9	Q. Using the word "trump" but, yes.
10	A. Really sort of differs year-to-year.
11	Now probably more than normal.
12	Q. It's picked up, hasn't it? probably
13	because of his candidacy as president, I would
14	imagine?
15	A. Everything has picked up.
16	Q. Okay.
17	I'm not going to submit this as an
18	exhibit. I'm just going to offer it to you to
19	refresh your memory.
20	This is a list of recent marks that
21	have been filed that use the word "Trump."
22	MS. REED: I'm sorry.
23	Objection, lack of foundation.
24	There has been no establishment that
25	Mr. Garten has needs a refresher or does not
1	

Page 87 ALAN GARTEN, ESQ. - CROSS - BY MR. UNDERHILL 1 2 remember something. 3 Is there an outstanding question 4 that's pending? 5 MR. UNDERHILL: I haven't had a chance to ask it. 6 THE WITNESS: Maybe ask me the 7 8 question first, then show me what you want to 9 refresh my recollection. 10 BY MR. UNDERHILL: Q. Let's assume this is a list of recent 11 12 marks that have been filed at the trademark 13 office as applications. 14 Tell me if there is any in there that 15 you would think you would want to object -- just based on the words alone. 16 17 A. I'm not prepared to do that. 18 MS. REED: I'm going to object. 19 This is asking for legal conclusions 20 on whether something is infringement. 21 This document is not being submitted 22 as evidence. 23 And it's just improper. 24 MR. UNDERHILL: Counsel, you have made 25 your objection.

1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL
2	Are you instructing your client not to
3	respond?
4	MS. REED: No, I'm not. He can
5	answer.
6	But I'm just making my objection for
7	the record.
8	A. Here is what I can tell you: I can
9	tell you that when we take the protection and
10	enforcement of my client's brand extremely
11	seriously. We invest a lot of money in its
12	efforts. It is his brand we believe to be
13	one of his most valuable assets.
14	And we don't cavalierly look at a list
15	and throw darts at it and pick which ones we are
16	going to, you know, oppose and which ones we are
17	not.
18	This is a process that isn't done
19	sitting, you know, in a conference room in two
20	minutes.
21	It's something that's discussed
22	internally. It's something that is consulted
23	with outside counsel on. And a decision is
24	made.
25	It's not we are not a factory. We

Page 89 ALAN GARTEN, ESQ. - CROSS - BY MR. UNDERHILL 1 2 don't do this like a factory. We are very 3 selective. 4 And we tried to only oppose those that 5 we have a good faith belief are going to infringe on our client's rights; and those that 6 7 we believe are not, we don't. 8 Q. Then how can you explain, Mr. Garten, 9 that you authorized an opposition against the 10 word mark "iTrump," which had a goods and 11 services description of computer software for 12 use in producing sound? I don't have to. I don't have to. 13 Α. 14 That's a decision that's made in 15 conjunction with my client after, you know --16 I'm not going to sit here and justify other 17 legal actions I've taken. Because to do so 18 would be disclosing confidential client --19 attorney-client communications. 20 Q. So I'd have to ask Mr. Trump 21 personally why he authorized that. 22 A. No, because if I was sitting next to 23 him I would object to that. Because that's a 24 conversation -- that's a decision that's made in 25 consultation with legal counsel and based on the

Page 90 ALAN GARTEN, ESQ. - CROSS - BY MR. UNDERHILL 1 2 advice of legal counsel. 3 Q. Did you authorize personally authorize 4 the filing of that opposition? 5 A. I really don't recall one way or the other. 6 7 I am familiar with it. But I don't recall if it was me or 8 9 someone else who authorized it. 10 MS. REED: Can I just state for the 11 record, Mr. Underhill, if you have exhibits or 12 documents you would like to show Mr. Garten, 13 that's perfectly fine -- and introduce them as 14 evidence; then provide me a copy; and we could 15 go through them. 16 That might make it --MR. UNDERHILL: Thank you. 17 18 BY MR. UNDERHILL: 19 Q. Do you remember the iTrump case at 20 all? 21 Vaguely. A. 22 Do you remember how it resolved? Q. 23 A. I don't. 24 Do you remember whether that was a Q. 25 successful opposition?

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1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL
2	A. I don't.
3	MR. UNDERHILL: Let's go back to
4	Exhibit No. 4, which I'm showing you.
5	THE WITNESS: Yeah, I have it.
6	BY MR. UNDERHILL:
7	Q. Is my client still using his mark in
8	this fashion as shown in this color picture?
9	A. I don't know.
10	Q. Do you know when he first started
11	using the mark like this?
12	A. Not offhand.
13	Q. Do you know if he's used the mark in
14	other ways differing from this?
15	A. I don't know one way or the other.
16	Q. So the only information that you had
17	regarding his usage of the mark is embodied in
18	Exhibit No. 4 is a printout that was made
19	from the Internet on one specific day?
20	A. I don't know that when this was
21	printed out. I don't know how long it appeared
22	on the Internet. I don't know if your client is
23	using it in other ways that we're not aware
24	of or I'm not aware of.
25	Maybe your client is using it exactly

1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL
2	the same way 100 different times. Maybe he has
3	another website instead of called Trump Your
4	Competition, it's called something else, but it
5	has this. This is information you would have,
6	not me.
7	(Pause)
8	A. I'm aware of him using it either
9	currently or in the past having tried to use it
10	this way.
11	And to me, this is a sort of per se
12	violation of my client's trademark rights,
13	because he's clearly trying to play off of my
14	client's brand.
15	l'm sure you disagree.
16	Q. Do you understand, Mr. Garten, that
17	during the course of the plaintiff's discovery
18	period, the plaintiff could have called anyone
19	who works at my client's my client is a
20	corporation anyone who works at that
21	corporation, including the president, to ask
22	to be asked questions regarding the manner of
23	usage for this mark?
24	A. I'd have to consult with my legal
25	counsel about that.

	Aran dar con November 12, 2010	Page	93
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL		
2	Q. Well you are an attorney.		
3	You know what discovery is, right?		
4	A. I am an attorney. And I do know what		
5	discovery is, yes.		
6	Q. You know what you are familiar with		
7	all the general tools of discovery as a lawyer,		
8	aren't you?		
9	A. I am.		
10	Q. You know what requests for admissions		
11	are?		
12	A. I do.		
13	Q. And you know what requests for		
14	documents are to produce documents?		
15	A. Yes.		
16	Q. You know what a deposition is?		
17	Yes?		
18	A. I know of all these tools.		
19	Q. Interrogatories you know what those		
20	are?		
21	A. Yes.		
22	Q. And you sit here today unaware I		
23	mean, you are making assumptions. I understand		
24	that.		
25	But if you are unaware of the		

	Artan dar con November 12, 2010	Page 94
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL	
2	actual history of use of this mark by my client	
3	today, aren't you?	
4	A. I know of this use.	
5	If there are other uses, I'm not	
6	necessarily aware of them.	
7	My counsel may be aware of them.	
8	But I know of this use.	
9	(Pause)	
10	(Discussion off the record)	
11	BY MR. UNDERHILL:	
12	Q. Mr. Garten, has Mr. Trump ever used	
13	his house mark in any way that has caused fraud?	
14	A. No.	
15	Q. Has he used the house mark in any way	
16	that has been deceitful?	
17	A. No.	
18	Q. The Trump University matter in San	
19	Diego are you familiar with that class action	
20	litigation?	
21	A. Extremely.	
22	Q. Are you a practicing attorney on that	
23	case in some way?	
24	A. I'm not counsel of record on that	
25	case, if that's what you are asking.	
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Г	Aran dar con November 12, 2010	Page 95
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL	
2	Q. Have you read the complaint on that	
3	case?	
4	A. I have.	
5	Q. Would you agree that the complaint	
6	concerns some fairly serious allegations	
7	regarding Mr. Trump?	
8	A. We certainly take it seriously.	
9	Q. Do you understand we are referring to	
10	the case which could be called Art Cohen versus	
11	Donald J. Trump?	
12	A. Yes.	
13	Q. A class action filed in the Southern	
14	District of California?	
15	A. There's probably nobody more familiar	
16	with that case than me.	
17	Q. Is Mr. Trump familiar with it?	
18	A. Yes, I keep Mr. Trump apprised of	
19	developments in that case, consistent with my	
20	ethical duties as a lawyer.	
21	Q. Because he is named as an individual	
22	defendant in that case.	
23	So it's a serious matter, isn't it?	
24	A. I consider all litigation serious	
25	matters.	

Page 96 ALAN GARTEN, ESQ. - CROSS - BY MR. UNDERHILL 1 2 Q. Is it your understanding that the 3 cause of action relates to a alleged violation 4 of 18 U.S.C. § 1962(c) -- as in Charley? 5 MS. REED: I'm going to object to a 6 line of questioning concerning this litigation 7 in terms of relevancy. BY MR. UNDERHILL: 8 9 Q. Do you understand -- do you remember 10 the question I just asked you? A. Yes, I am familiar with that. 11 12 And are you aware that -- and would Q. you agree that a brief description of the cause 13 14 of action could be described as mail and wire 15 fraud under the RICO statute? In the Art Cohen case, yes. 16 17 Q. In the Art Cohen case. 18 A. Yes, those are allegations in the 19 complaint -- emphasis on the word "allegations." 20 Q. Well, it hasn't gone to trial, of 21 Nothing has been proved. course. 22 Isn't that correct? 23 A. The only thing that -- of significance 24 that's happened in that case is that the class 25 action in its companion case was decertified as

Page	97
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1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL
2	to damages.
3	So there is actually no longer a class
4	action in the companion Makaeff case.
5	And the Cohen case is just slightly
6	behind schedule.
7	Q. You are referring to the Makaeff case,
8	right?
9	That's an Oregon case, isn't it?
10	A. No.
11	Q. Where was that filed in?
12	A. San Diego.
13	Q. That's a San Diego case, too?
14	Okay.
15	But Art Cohen versus Donald J. Trump
16	hasn't been dismissed as a case yet.
17	A. No.
18	MS. REED: I'm going to reiterate my
19	objection to all line of questioning concerning
20	this Cohen case as it relates to the claims in
21	the case, apart from just use of the Trump
22	University mark.
23	So any questions and answers that are
24	elicited about the substance of the wire fraud
25	case are completely irrelevant to this

Page 98

ALAN GARTEN, ESQ. - CROSS - BY MR. UNDERHILL 1 2 opposition proceeding. 3 BY MR. UNDERHILL: 4 Q. As an attorney, do you know what the phrase "unclean hands" means? 5 I have a view of it. 6 7 Q. You remember studying that in law 8 school, maybe? 9 A. Right -- like unclean hands would be 10 filing and using a mark that's similar -- that 11 trades off of the brand of someone else -- yeah. 12 Like your client? Yes. Unclean 13 hands. 14 It goes both ways. 15 I like you, Mr. Garten. Q. It's actually, is it not -- I mean 16 17 let's take you back to law school -- so long 18 ago, for me anyway. 19 Unclean hands has to do equity. 20 Do you remember that? 21 (Pause) 22 I do remember that. A. 23 MS. REED: Objection to relevancy. (Pause) 24 25 Q. There was a legal case in New York,

Page 99 ALAN GARTEN, ESQ. - CROSS - BY MR. UNDERHILL 1 2 wasn't there? -- regarding Trump University --3 A. There is one. 4 Q. It's still going on. 5 A. Pending. (Pause) 6 7 Q. I think you were in the media talking 8 about it, weren't you, at some point --9 A. I was. 10 Q. Yeah. (Pause) 11 12 Q. The Attorney General Schneiderman --13 that's his name? -- filed the case? I thin he -- Schneiderman -- Eric 14 A. 15 Schneiderman. Yes, he did. 16 So Trump University -- the State of 17 Q. 18 New York, had maybe a little, tiny legal issue 19 about using the word "university"? Is that correct? 20 21 A. Yes. They did. 22 Because it was unlicensed by the Q. 23 state. 24 It's a long, complicated answer --25 none of which is relevant to this.

Г		Page 100
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL	
2	Q. Well, thank you for making the	
3	objections for your counsel. But	
4	A. Look, everything you need to know	
5	about the Trump University cases whether it	
6	be in San Diego or in New York are publicly	
7	available in the court records, in the appeal	
8	briefs, and on, and on.	
9	Could probably talk about that case	
10	for a week.	
11	It's not going to really get us	
12	anywhere for this.	
13	MS. REED: I'm going to reiterate any	
14	objection to questioning concerning whether the	
15	Trump University cases whether it's in San	
16	Diego or New York.	
17	I object that it's irrelevant.	
18	MR. UNDERHILL: I'm going to be asking	
19	several questions of Mr. Garten regarding Trump	
20	University and related litigation.	
21	Why don't we just give you a standing	
22	objection to that?	
23	MS. REED: Standing objection.	
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Absolutely -- for all questions and any answers

elicited concerning the San Diego litigation or

24

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-	Aran dar con November 12, 2010	Page 101
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL	
2	the New York litigation.	
3	They are irrelevant to this	
4	proceeding.	
5	MR. UNDERHILL: Do you feel you have	
6	made your objection as comprehensively as you	
7	would like?	
8	MS. REED: I have.	
9	But I might reiterate it again,	
10	depending on the questioning the line of	
11	questioning.	
12	MR. UNDERHILL: You have a standing	
13	objection. There is no need for you to remind	
14	me.	
15	MS. REED: Mr. Garten I'm sorry,	
16	Mr. Underhill please just proceed with your	
17	questions.	
18	MR. UNDERHILL: Thank you.	
19	(Pause)	
20	BY MR. UNDERHILL:	
21	Q. Jeffrey Goldman is he a private	
22	attorney?	
23	Or he is a member of the Trump	
24	organization?	
25	A. He is a private attorney.	

	Tago 102
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL
2	Q. Thank you.
3	And you are familiar with a person by
4	the name of Supreme Court Justice Cynthia S.
5	Kern?
6	A. Yes, I know I mean, not
7	personally but I know Judge Kern, yes.
8	Q. This case that I'm referring to, I
9	should identify for you: People v. Trump
10	Initiative, New York State Supreme Court, New
11	York County, No. 451463/2013.
12	Sir, on that case, isn't it true that
13	the judge ruled that Donald Trump was personally
14	liable for operating a for-profit investment
15	school without the required license?
16	MS. REED: Objection, irrelevant.
17	A. I'd have to read the decision.
18	Q. You don't remember if Mr. Trump was
19	found liable for something important like that?
20	A. It's the way you described it.
21	I'd have to actually read her
22	decision.
23	Certainly you don't need my opinion on
24	that. Just read the decision yourself.
25	Q. Have you ever given testimony at a

Page 103 ALAN GARTEN, ESQ. - CROSS - BY MR. UNDERHILL 1 2 deposition before? 3 A. I have. 4 Q. Have you sat for standard discovery depositions? 5 A. 6 7 I've taken them as well. The lawsuit in New York that I was 8 Q. 9 referring to -- that was filed by the Attorney 10 General -- is it your opinion -- would you agree 11 with this? -- the New York Attorney General Eric 12 Schneiderman accused Mr. Trump of misleading 13 more than 5,000 people who paid between \$1,495 14 and \$35,000 to learn Mr. Trump's real estate 15 investment techniques? True? 16 17 MS. REED: Objection, irrelevant. 18 A. I would have to refer you to the 19 complaint. 20 And whatever the complaint says I 21 would agree is what he has alleged -- I'm 22 sorry -- petition. 23 (Pause) 24 Let's -- give me the two-sentence Q. 25 explanation to your mother about this case if

1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL
2	you are sitting down for Thanksgiving and she
3	wanted to know what it was about.
4	A. I'm really not going to answer this
5	question.
6	MR. UNDERHILL: Are you instructing
7	him not to answer?
8	THE WITNESS: No, it's why don't
9	you just try rephrasing it?
10	Why don't you rephrase your question?
11	MS. REED: Rephrase — rephrase.
12	BY MR. UNDERHILL:
13	Q. Mr. Garten, are you aware that, if you
14	refuse to answer a question in a proceeding like
15	this, that could be construed against your
16	cause?
17	A. Are you aware that asking irrelevant,
18	harassing questions could get you sanctioned?
19	Q. Are you aware that I'm the person who
20	asks questions and you are the person who
21	answers them?
22	MS. REED: Okay. So I want to just
23	stop here.
24	Mr. Underhill, if you could just
25	rephrase your question concerning Mr. Garten's
1	

	Tage 100
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL
2	understanding of the allegations in the New York
3	case.
4	Simple as that. Just rephrase the
5	question. And Mr. Garten I will instruct Mr.
6	Garten to answer the question.
7	(Pause)
8	BY MR. UNDERHILL:
9	Q. Are you threatening me with sanctions,
10	Mr. Garten?
11	MS. REED: Object
12	A. I am asking if you are aware of it,
13	just like you asked me if I was aware of it.
14	MS. REED: okay, you are badgering
15	the question.
16	I'm asking just to rephrase the
17	question, please, and he will answer the
18	question.
19	Q. Are you threatening me with sanctions,
20	Mr. Garten?
21	A. I am not threatening you.
22	I'm asking you if you are aware.
23	You asked me if you remember if me not
24	answering your question would I don't
25	remember how you said it.

Page 106 1 ALAN GARTEN, ESQ. - CROSS - BY MR. UNDERHILL 2 But if you ask your question again, I 3 will do my best to answer it. 4 MS. REED: Mr. Reporter, can you 5 please read back Mr. Underhill's last question to Mr. Garten concerning the New York case? 6 7 (Whereupon the following question was 8 read back: "Q. 9 Give me the two sentence 10 explanation to your mother about this case if 11 you are sitting down for Thanksgiving and she 12 wanted to know what it was about?") 13 MS. REED: Mr. Underhill, can you just rephrase that question? 14 15 I can answer that question. I would describe it as the attorney 16 17 has filed a baseless case against my client in 18 an attempt to garner publicity. That's how I 19 would describe it. That's in one sentence. 20 Q. How would you describe the Art Cohen 21 versus Donald J. Trump case to your mother --22 same manner? 23 If I was sitting with my mother at Α. 24 Thanksgiving, I would say to her that a class 25 action law firm whose former principal once went

Page 107

ALAN GARTEN, ESQ. - CROSS - BY MR. UNDERHILL 1 2 to jail has filed a baseless case against my 3 client, which we are winning, and I believe we 4 will ultimately prevail on -- either on summary 5 judgment or trial. That's how I would tell it 6 to my mother. 7 MS. REED: Can we take a short break? 8 MR. UNDERHILL: Sure. 9 (Recess from 12:23 p.m. to 12:31 p.m.) 10 BY MR. UNDERHILL: Q. Has Mr. Trump -- Donald Trump -- ever 11 12 used his house mark to defraud or deceive the public? 13 14 A. No. 15 But he's being accused of that in Art Q. 16 Cohen versus Donald Trump, right? 17 A. I don't believe so, no. 18 Q. Well, he's being accused of mail and 19 wire fraud under the RICO statute in that case. 20 A. I don't believe there is any allegation that he's using his house mark for 21 22 that purpose. 23 Q. Trump University -- "Trump" is a 24 portion of that mark. Yes. 25 A.

	<u> </u>
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL
2	Q. When Mr. Trump created Trump
3	University which you've testified that he
4	owns most of he was using his famous name as
5	part of that business, wasn't he?
6	A. Yes.
7	Q. And in this case of Cohen versus
8	Trump, under the RICO statute, he's being
9	accused of engagement in racketeering.
10	A. I believe that's correct.
11	Q. Do you know what "racketeering" means?
12	A. I would probably defer to someone who
13	is more of an expert in that area of law.
14	Q. As an attorney, are you familiar with
15	18 U.S.C. 1962 subsection C?
16	A. Familiar with it, to some degree.
17	Q. Have you ever worked as a U.S.
18	Attorney?
19	Deputy U.S. Attorney?
20	A. No.
21	Q. Do you know how long my client has
22	been using this mark in commerce?
23	A. I don't.
24	Q. Which means you don't know how long
25	there has been concurrent use of the Trump marks

		rage 107
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL	
2	in my client's mark.	
3	A. I don't.	
4	Q. And you have no actual evidence of	
5	confusion in the public in commerce between the	
6	two marks.	
7	Is that right?	
8	A. Me, personally?	
9	I can't think of anything.	
10	But it doesn't mean it doesn't exist,	
11	or it's not in the possession of my lawyers.	
12	Q. Do you understand that you are the	
13	sole witness in Mr. Trump's case-in-chief?	
14	A. Yes.	
15	Q. Do you understand that all evidence to	
16	benefit Mr. Trump on this case is going to flow	
17	through you?	
18	MS. REED: Objection as to legal	
19	conclusions.	
20	A. I would have to consult my lawyer.	
21	Q. Okay. That's fine.	
22	You understand this is the trial,	
23	right?	
24	A. Yes.	
25	Q. Okay.	

Page 110 1 ALAN GARTEN, ESQ. - CROSS - BY MR. UNDERHILL 2 And you are the witness in the trial? 3 A. Yes. 4 Q. And you are witness for Donald J. 5 Trump? 6 A. Yes. 7 And you understand that this is your Q. 8 time as a plaintiff for your initial 9 case-in-chief. 10 Do you understand that? 11 A. I would have to consult with my 12 counsel as to that. 13 Q. Do you know what "rebuttal evidence" 14 is? 15 I do. A. Do you understand that the plaintiff 16 Q. has the opportunity to have a rebuttal case as 17 well as the initial case-in-chief? 18 19 Yes. 20 Q. Are you aware, Mr. Garten, that under 21 the law, misrepresentations, fraud and/or deceit 22 used in relation to a trademark by its owner can 23 cause him to lose that mark? 24 MS. REED: Object again, as it calls 25 for a legal conclusion.

	Truit dal con November 12, 2010	Page 111
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL	
2	A. I would have to sort of research that	
3	and consult with my counsel to give you a	
4	definitive answer.	
5	Q. Okay.	
6	Mr. Garten, an important element under	
7	trademark law is that the public should be	
8	protected from fraud and deceit.	
9	Do you agree with that?	
10	A. I would have to research that and see	
11	where that you know, I would have to research	
12	that and consult with my legal counsel.	
13	Q. Here we have Mr. Trump very famous	
14	man, very successful businessman, no doubt about	
15	it extolling people to pay for attendance at	
16	a so-called university where they didn't get	
17	what they paid for.	
18	Assuming that was true and I know	
19	that you don't agree with that assuming that	
20	was true, do you think that would be a wrong	
21	something?	
22	A. I don't understand your question.	
23	Q. Okay.	
24	Should Mr. Trump use his marks to	
25	commit fraud?	

Page 112

1 ALAN GARTEN, ESQ. - CROSS - BY MR. UNDERHILL 2 I don't think anyone should commit fraud -- period. 3 4 Q. We're not talking about everyone. 5 We are talking about Mr. Trump. Would Mr. Trump -- should Mr. Trump 6 7 use his marks to commit fraud? 8 I really don't know how to answer your 9 question. 10 I don't think anyone should use their 11 marks -- I can answer your question. 12 No one should commit fraud. That is 13 bad. 14 So I would say: Whether it's Mr. 15 Trump or anyone else, fraud is bad. People 16 shouldn't do it. 17 (Pause) 18 MS. REED: I'm going object again. 19 I apologize for laughing. 20 But I'm laughing because this line of 21 questioning about fraud is completely 22 irrelevant. 23 MR. UNDERHILL: Let's go off the 24 record --25 MS. REED: -- and --

Page 113 1 ALAN GARTEN, ESQ. - CROSS - BY MR. UNDERHILL 2 MR. UNDERHILL: -- for your speech. 3 MS. REED: -- no, I'm not giving a 4 Actually, it should be on the record. speech. 5 It's completely irrelevant. And it's redundant, really. 6 7 So, I mean, hopefully we can move on 8 from this line of questioning. 9 If you have something to ask Mr. 10 Garten about concerning the use of the Trump 11 mark for the goods and services that we've 12 discussed, or licensing, or trademark 13 registrations, or policing the brand -- as 14 opposed to talking about the state claims with 15 respect to wire fraud and RICO, because they are 16 irrelevant. 17 MR. UNDERHILL: Are you done? 18 MS. REED: I'm done. 19 MR. UNDERHILL: I would like to object 20 that counsel has repeatedly made speaking 21 objections. 22 May I proceed now? 23 MS. REED: Yes, you may. 24 (Pause) 25

Page 114

ALAN GARTEN, ESQ. - CROSS - BY MR. UNDERHILL 1 2 BY MR. UNDERHILL: 3 Q. The Trump University mark is canceled, 4 isn't it, Mr. Garten? 5 A. I don't know. Isn't it true there were two separate 6 Q. 7 marks for Trump University? A. I don't know. 8 9 (Pause) 10 Are there any of the Trump marks that Q. are identical in nature to the applicant's mark? 11 I don't know offhand. 12 A. 13 (Pause) 14 THE WITNESS: Do you have an estimate 15 on how much longer you are going to be? 16 MR. UNDERHILL: I'm probably done. 17 I'm going through just to see if I have anything 18 else. 19 THE WITNESS: If there is anything 20 else that you want to ask me, or you feel 21 appropriate to ask anyone else that I work with, 22 I would just ask you to ask me those questions. 23 I can try to answer and provide you all the information to the best of my ability. 24 25 (Pause)

Page 115

ALAN GARTEN, ESQ. - CROSS - BY MR. UNDERHILL 1 2 BY MR. UNDERHILL: 3 Q. I assume it would be your preference 4 that Mr. Donald Trump not be burdened to testify 5 in this case. Is that right? 6 7 I don't think there is anything that 8 you may need to ask him that I can't answer. 9 I'm sort of offering myself to sit here and try 10 to answer all your questions -- any question you 11 may have -- whether it be for me or anyone else 12 with whom I work, including my client, now so as 13 not to burden him. 14 Q. Your client being Mr. Trump? 15 A. Yeah -- or any other member of his family. 16 17 Q. Are you willing to waive 18 attorney-client privilege? 19 A. Of course not. (Pause) 20 21 Q. I'd have to assume that Mr. Donald 22 Trump, your client, is not willing to -- since 23 he's the owner of the privilege, he's not 24 willing to waive the privilege in exchange for 25 him not being present pursuant to subpoena.

	Man dar ten Movember 12, 2010	Page 116
		rage IIO
1	ALAN GARTEN, ESQ CROSS - BY MR. UNDERHILL	
2	A. He will not be waiving the privilege.	
3	Q. Of course.	
4	A. But I don't think that stops you from	
5	asking me questions that you think any	
6	question you may have, regardless to whom you	
7	think it's most appropriate to ask it to.	
8	MS. REED: I understand that's your	
9	opinion.	
10	THE WITNESS: It's 12:45. You know,	
11	if you have other questions I don't want you	
12	holding back questions. You should ask me them,	
13	so as not to burden others.	
14	MS. REED: Can we just go off the	
15	record for a moment?	
16	(Discussion off the record)	
17	MR. UNDERHILL: Your client wants to	
18	put something on the record?	
19	MS. REED: Yes.	
20	I had offered to allow you to ask any	
21	question you want of Mr. Garten.	
22	If you feel you have not gotten the	
23	response that you need, or he doesn't know	
24	something, and then we can discuss who might	
25	know that information.	

1	ALAN GARTEN, ESQ.
2	So I'm offering Mr. Garten now as
3	someone who can answer or try to answer all
4	of your questions that you have.
5	MR. UNDERHILL: I've exhausted the
6	questions I wish to ask Mr. Garten.
7	I have questions that I wish to ask
8	the plaintiff directly.
9	THE WITNESS: Do you want to at least
10	try to ask me the questions to see if I can
11	answer them?
12	And then you can determine whether or
13	not you believe my answers are sufficient?
14	Because otherwise it would be sort
15	of I think it would be unnecessarily
16	certainly burdensome to hold questions back
17	to wait until you can ask someone else, when you
18	don't even know if I'm capable of answering as
19	his lawyer.
20	MR. UNDERHILL: I'm not going to argue
21	a motion here in a deposition.
22	THE WITNESS: I'm just asking a
23	question.
24	MR. UNDERHILL: I'm not going to
25	answer questions.
1	

1	ALAN GARTEN, ESQ.
2	I'm not going to the argue motion in a
3	deposition.
4	THE WITNESS: So you are refusing to
5	ask me questions that you think are more
6	appropriate for Mr. Trump.
7	MR. UNDERHILL: I'm not going to
8	answer questions, Mr. Garten. I'm not a witness
9	here.
10	THE WITNESS: Okay. But you are not
11	disputing that. Okay.
12	
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		Page 119
1	ALAN GARTEN, ESQ.	
2	MS. REED: Okay. Thank you.	
3	* * *	
4	END OF PROCEEDING	
5	Time noted 12:48 p.m.	
6	* * *	
7 8		
9	lo The	
10	Cert fles	
11	ALAN GARTEN, ESQ.	
12		
13		
14	Subscribed and sworn to before me	
15	this 16th day of nocember, 2015.	
16		
17		
18		
19	NOTARY PUBLIC	
20		
21	My Commission expires:	
22	MATTHEW R. MARON	
23	MATTHEW R. MANCH Notary Public, State of New Yurk No. 02MA6141948 Qualified in Westchester County Certificate filed in New York County Commission Expires March 6, 2018	
24	Certificate filed in New York County Commission Expires March 6, 2018	
24		

ERRATA SHEET

STATE OF NEW YORK)	
	:	SS.
COUNTY OF NEW YORK)	

Case Name: Donald J. Trump v. Trump Your Competition, Inc.

Opposition Number: 91217618
Deponent: Alan Garten

Deposition Date: November 12, 2015

I, ALAN GARTEN, declare under penalty of perjury under the laws of the United States of America, that I have read the foregoing deposition transcript and that, to the best of my knowledge, said deposition transcript is true and accurate, with the exception of the following corrections listed below:

PAGE	LINE(S)	CURRENTLY READS	CHANGE TO	REASON
6	11-12	attorney-client privilege	attorney-client privileged	Misspelling
8	3	it's derivative marks	its derivative marks	Misspelling
8	22-23	and worked	and I worked	Mistranscription
10	21	and the we have	and then we have	Misspelling
11	4	filing options	filing oppositions	Mistranscription
11	5-6	policing the registration of the application registration of domain names	policing the registration of domain names	Mistranscription
11	10	we worked with	we work with	Misspelling
12	22	spoken	spoke	Misspelling
16	2-3	hotel development, hotel development	hotel development	Mistranscription
20	20-21	develop condominium building	develop a condominium building	Mistranscription
21	18	So a lot of that goes into that	So a lot of what goes into that	Misspelling
24	10	you now, 60	you know, 65	Mistranscription
24	19	it's traditionally a is U.Sbased	it's traditionally a U.S based	Mistranscription
25	24	So you mentioned about the company	So you mentioned the company	Mistranscription
26	21	there is countless	there are countless	Mistranscription
27	21	Yeah	You	Mistranscription
28	7	also casino	also a casino	Mistranscription

PAGE	LINE(S)	CURRENTLY READS	CHANGE TO	REASON	
29	13	develops produces	develops, produces	Mistranscription	
33	10-11	There is actually Trump	There are actually Trump	Mistranscription	
		golf shirts.	golf shirts.	_	
33	11-12	There is Trump	There are Trump	Mistranscription	
		sweatshirts.	sweatshirts.		
33	12	There is Trump hats.	There are Trump hats.	Mistranscription	
33	13-14	There is a lot of different	There are a lot of	Mistranscription	
		apparel products.	different apparel		
			products.		
35	12	There is candles.	There are candles.	Mistranscription	
38	14	4:00 o'clock	4 o'clock	Misspelling	
38	22	Do you recognize Exhibit	Do you recognize this	Mistranscription	
			Exhibit		
39	3	there is more	there are more	Mistranscription	
39	22	establish and the brand	establish the brand	Mistranscription	
39	25	appears is consistent	it appears consistent	Clarification,	
				Mistranscription	
43	12	how to you succeed	how to succeed	Mistranscription	
45	8	how transcribe	describe how	Mistranscription	
46	24	books and how to	books on how to	Mistranscription	
52	20	gold. The gold color is	gold the gold color is	Mistranscription	
		everywhere.	everywhere.		
54	4	round	around	Misspelling	
59	20	It is page that	It is the page that	Mistranscription	
64	9	Was notified	I was notified	Mistranscription	
67	25	a business advice	business advice	Mistranscription	
81	22-23	a legal conclusions	a legal conclusion	Misspelling	
86	4	"Trump: marks come for	"Trump:" marks come	Misspelling	
		your review	for your review		
88	11	serious	seriously	Mistranscription	
95	8	serious	seriously	Mistranscription	
96	4	18 U.S.C. § 1962 C	18 U.S.C. § 1962(c)	Misspelling	
99	24-25	of none of which	none of which	Mistranscription	
103	20	, and	And	Misspelling	

Executed:

New York, New York

December 16, 2015

Alan Garten

Sworn to before me this

day of December, 2015.

Notary Public

MATTHEW R. MARON
Notary Public, State of New York
Qualified in Westchester County
Certificate Filed in New York County
No. 02MA6141948
Commission Expires March 6, 2018

1	CERTIFICATE					
2	STATE OF NEW YORK					
3	COUNTY OF NEW YORK					
4	I, BRANDON RAINOFF, a Federal Certified					
5	Realtime Reporter and Notary Public within and for the					
6	State of New York, do hereby certify:					
7	That ALAN GARTEN, ESQ., the witness					
8	whose deposition is hereinbefore set forth, was duly					
9	sworn by me and that such deposition is a true record					
10	of the testimony given by the witness at 10:08 a.m. on					
11	November 12, 2015, at the offices of Hughes Hubbard &					
12	Reed LLP, One Battery Park Plaza, New York, NY 10004 in					
13	the presence of Rod Underhill, Esquire, Attorney for					
14	Applicant Trump Your Competition, Inc.					
15	I further certify that I am not related to any					
16	of the parties or counsel for any of the parties to this					
17	action by blood or marriage, and that I am in no way					
18	interested in the outcome of this matter.					
19	IN WITNESS WHEREOF, I have hereunto set my					
20	hand this 17th day of December, 2015.					
21						
22	May 1 mm					
23	BRANDON RAINOFF, FCRR, RMR, CRR					
24	Notary for the State of New York #01RA6011914					
25	Expiration date: 08/17/2018					

	43:11 44:2 49:6,11	82:1 83:1 84:1	anyway (2)
a. m (5)	50:14 55:18 61:15	85:1 86:1 87:1	25:14 98:18
1:14 5:6 61:11,11	61:19 67:25 69:4	88:1 89:1 90:1	apart (1)
121:10	69:5 90:2	91:1 92:1 93:1	97:21
ability (1)	advising (2)	94:1 95:1 96:1	apologize (1)
114:24	9:2,10	97:1 98:1 99:1	112:19
Absolutely (2)	affiliate (1)	100:1 101:1 102:1	apparel (6)
72:8 100:24	59:18	103:1 104:1 105:1	17:16 32:9, 12, 13
1	affiliated (2)	106:1 107:1 108:1	33:13, 21
abstract (1)	68:23 82:14	109:1 110:1 111:1	appeal (3)
85:2	agency (1)	112:1 113:1 114:1	1:2 5:24 100:7
accessory (2)	29:22	115:1 116:1 117:1	appear (3)
35:13, 14	aggressive (5)	118:1 119:1, 11	45:25 60:16 85:23
accounting (1)	52:4 54:5, 6 70:4, 9	120:1, 24 121:7	appearance (3)
20:25	ago (5)	alerted (1)	41:10 59:24 69:13
accused (4)	7:12 16:18 38:3	86:7	appeared (3)
103:12 107:15, 18	61:2 98:18	allegation (1)	37:21 67:16 91:21
108:9	agree (11)	107:21	appears (39)
acquisitions (1)	5:21 78:16 79:9		39:25 40:2,5,6
8:16	81:7 84:22 95:5	allegations (4) 95:6 96:18,19	42:7, 10, 16 43:17
action (12)	96:13 103:10, 21	105:2	43:21, 23 45:8, 17
32:5 64:4 78:2	111:9, 19	alleged (2)	48:9, 21 49:4, 7, 10
83:23 94:19 95:13	ahead (2)	96:3 103:21	49:17 50:6, 24
96:3, 14, 25 97:4	36:12 54:17	allow (2)	51:4 52:12, 13
106:25 121:17	Alan (128)	77:4 116:20	56:18 57:18 58:6
actions (6)			
11:3 62:20 63:6,7	1:1,12,17 2:1 3:1 3:6 4:1 5:1,8 6:1	America (2) 38:3,8	59:6, 13, 16 60:14
63:8 89:17	6:4 7:1 8:1 9:1	amount (3)	60:15, 22 65:6, 22 65:25 67:8 69:16
active (1)	10:1 11:1 12:1	24:8,8 33:20	69:16 83:17
70:4	13:1 14:1 15:1	and/or (3)	applicant (4)
activities (2)	16:1 17:1 18:1	21:8 23:15 110:21	1:8 2:17 6:7
12:6 23:13	19:1 20:1 21:1	Annex (1)	121:14
actual (4)	22:1 23:1 24:1	44:19	applicant's (2)
76:24 82:6 94:2	25:1 26:1 27:1	answer (23)	64:6 114:11
109:4	28:1 29:1 30:1	72:12 84:25 85:10	application (13)
add (1)	31:1 32:1 33:1	88:5 99:24 104:4	64:23 65:4 75:10
6:3	34:1 35:1 36:1	104:7, 14 105:6, 17	75:14 79:5, 15, 20
addition (3)	37:1 38:1 39:1	106:3, 15 111:4	79:22 80:12, 14, 15
11:9 30:14 62:4	40:1 41:1 42:1	112:8, 11 114:23	80:16 86:8
address (2)	43:1 44:1 45:1	115:8, 10 117:3, 3	applications (3)
12:23, 24	46:1 47:1 48:1	117:11, 25 118:8	11:5 86:5 87:13
admissions (1)			
93:10	49:1 50:1 51:1 52:1 53:1 54:1	answered (1) 77:18	appreciate (1) 71:2
adverse (1)	55:1 56:1 57:1		
54:23	58:1 59:1 60:1	answering (2) 105:24 117:18	apprentice (11) 16:16 29:13 30:3,5
advertise (2)	61:1 62:1 63:1		,
62:12 68:2	64:1 65:1 66:1	answers (4) 97:23 100:24	30:8, 9, 22, 25
advertisement (1)	67:1 68:1 69:1	104:21 117:13	31:14, 17 41:13
67:18	70:1 71:1 72:1		apprised (1) 95:18
advertising (7)	73:1 74:1 75:1	Anthony (1)	
22:21 23:8, 17, 25		74:19	approach (2)
24:23 25:16 69:4	76:1 77:1 78:1 79:1 80:1 81:1	anybody (1)	10:10 52:5
advice (12)	77:1 00:1 01:1	82:18	appropriate (3)
	<u> </u>	<u> </u>	<u> </u>

	1	•	•
114:21 116:7	10:23	authored (3)	basically (2)
118:6	associated (8)	36:16 46:15 48:12	62:8 68:4
	8:7 16:25 58:11		
approval (4)		authorization (1)	basis (1)
22:7, 9, 12 25:17	61:6 66:7 68:10	72:7	19:25
approving (2)	82:13 85:24	authorize (2)	Bates (10)
25:10, 11	assume (4)	90:3,3	4:7, 10, 13, 16, 21
approximately (4)	73:21 87:11 115:3	authorized (3)	13:9 38:18 56:7
19:11 23:14 85:25	115:21	89:9, 21 90:9	64:15 67:4
86:5	assumes (1)	authorship (1)	Battery (3)
area (1)	76:16	45:3	1:19 2:6 121:12
108:13	assuming (4)	automatically (1)	Beach (1)
areas (1)	73:25 76:14 111:18	75:9	27:10
15:22	111:19	available (3)	bear (1)
argue (2)	assumptions (1)	73:21 76:11 100:7	17:9
117:20 118:2	93:23	Avenue (6)	bearing (8)
arm (1)	Atlantic (3)	13:3 26:17, 19	4:9, 12, 15, 18 38:17
58:23	28:6 57:9,22	40:21 60:24 61:3	56:6 64:15 67:3
Art (19)	attempt (1)	aware (24)	beat (2)
18:13 37:10,16	106:18	20:15 25:19 63:14	36:23 42:3
39:8, 21 40:7	attempted (1)	64:5, 25 72:2, 3, 4	Bedminster (1)
41:19 42:9 44:22	82:11	74:18 75:5 85:21	27:11
51:6 55:7, 19, 23	attempting (1)	91:23, 24 92:8	beg (1)
95:10 96:16,17	83:24	94:6, 7 96:12	80:8
97:15 106:20	attendance (1)	104:13, 17, 19	beginning (3)
107:15	111:15	105:12, 13, 22	52:2 53:13 72:6
artwork (1)	attending (1)	110:20	behalf (1)
80:6	70:25		78:24
asked (6)	attention (5)	B	belief (1)
76:5 77:18 92:22	39:23 46:2 48:18	B (1)	89:5
96:10 105:13, 23	75:10, 16	4:2	believe (30)
asking (14)	attorney (28)	back (10)	11:3 14:5,5 27:23
80:10, 23 81:12	2:16 10:22 71:5,9	59:18 65:13 68:21	30:18 34:12 35:4
		69:12 91:3 98:17	
84:13 86:6 87:19	71:10, 13, 17, 20		35:10 36:16 37:23
94:25 100:18	72:6, 16 76:7, 8, 15	106:5,8 116:12	38:10 39:3 50:19
104:17 105:12,16	78:8 93:2, 4 94:22	117:16	52:25 53:5,11
105:22 116:5	98:4 99:12 101:22	background (1)	60:11 68:8 71:22
117:22	101:25 103:9,11	81:13	80:18 82:12 83:16
asks (1)	106:16 108:14, 18	bad (2)	83:23 88:12 89:7
104:20	108:19 121:13 [°]	112:13, 15	107:3, 17, 20
aspect (2)	attorney-client	badgering (1)	108:10 117:13
20:23, 24	6:11 72:11, 21	105:14	believing (1)
aspects (3)	89:19 115:18	balls (1)	68:9
11:7 20:13 21:7		34:2	benefit (1)
	Attorneys (2)	base (1)	
Ass (3)	2:5, 17		109:16
43:20 44:5, 25	attraction (2)	60:6	Bernie (1)
assets (1)	40:25 52:18	based (5)	75:25
88:13	author (8)	29:23 53:2 82:9	best (14)
assistant (1)	37:4 39:12 42:19	87:16 89:25	31:17 37:22, 24
7:10	44:16 46:22 47:16	baseless (2)	38:11 49:6,11
assistants (1)	48:15 49:25	106:17 107:2	50:13 54:21, 22, 22
10:14	author's (1)	basic (3)	55:25 64:3 106:3
assists (1)	48:22	18:5, 18 53:15	114:24
		,	
	<u> </u>	I	I

31:18, 20 beyond (1) 6:9 8ible (1) 55:12 big (14) 28:25 41:12, 17 42:22 43:20, 22 44:5, 24 45:10, 25 47:9 48:4 60:19 8iil (1) 8iil (1) 60:19 8iil (1) 8ii				
bible (1) 55:12 big (14) 28:25 41:12,17 42:22 43:20,22 44:5,24 45:10,25 47:23 49:8 60:14 60:19 Billionaire (3) 44:18 Billionaire (3) 45:19 46:14,19 billionaire (3) 45:19 46:14,19 billionaire (3) 47:24,25 8:5,14 10:25 16:20 22:6 47:9 48:4 10:25 16:20 22:6 47:9 48:18 10:25 16:20 22:6 47:9 48:18 10:25 16:20 22:6 47:9 48:18 10:25 16:20 22:6 47:9 48:18 10:25 16:20 22:6 47:9 48:18 10:25 16:20 22:6 47:9 48:18 10:25 16:20 22:6 47:9 48:18 10:25 16:20 22:6 48:19 46:14,19 billionaire (3) 48:18 10:25 16:20 22:6 49:19 50:13 51:6 55:17, 11:13:13 burdened (1) 117:16 Burnett (4) 30:7, 10, 13 31:8 business (68) 8:25 9:2, 3 12:10 12:24 14:10,17 16:6 20:23 25:7 25:25 29:4 30:12 31:14 30:12 42:14 43:14,15, 20 43:14,15, 20 44:3 49:9 18:11 13:13 branded (1) 23:9 blood (1) 121:17 Board (2) 121:17 43:10, 17, 18, 19 44:4, 11, 17, 45:3, 8 44:13 44:23 45:10, 17, 18, 19 44:4, 11, 17, 45:10, 18 50:13, 16:51:3, 5, 6 51:10; 52:13; 55:10 55:51, 10, 52:13; 55:10 55:11, 10, 20, 24 56:2 66:16 books (49) 16:22, 23 18:10, 10 29:19 36:2, 7, 8, 9 36:14, 17, 19, 25 10:21 26:15 40:19 10:21 26:15 40:19 10:21 26:15 40:19 10:21 26:15 40:19				
Bible (1) 44:13 46:4, 23, 24 26:22 40:18 42:23 16:10 18:5 23:2 23:2 called (30) 55:12 big (14) 28:25 41:12, 17 42:22 43:20, 22 44:5, 24 45:10, 25 47:23 49:8 60:14 46:17, 70:7 55:5, 7, 13, 22 bottom (1) 115:13 116:13 32:20, 22 33:6 16:10 (38:5) 32:20, 22 33:6 23:8, 38, 41:11 42:9 42:17 45:10, 18 32:20, 22 33:6 45:19 46:14, 19 46:7, 70:7 bottom (1) 117:16 38:5 38:5 117:10 27:24 28:18 32:20, 22 33:6 34:13, 14:55:2 32:20, 22 33:6 45:19 46:14, 19 47:29 48:4 Bill Inonaire (3) 44:18 7:24, 25 8:5 9:4 117:16 Burnett (4) 47:8 48:3, 11 49:5 47:8 48:3, 11 49:5 49:18 50:13 51:0 52:21, 53:2 6:3 30:7, 10, 13 31:8 business (68) 8:25 9:2, 3 12:10 21:10, 17 49:18 50:13 51:0 49:18 50:13 51:0 49:18 50:13 51:0 22:18 52:2 41:6 49:11 50:13 14:24 49:11 50:13 14:24 49:11 50:13 14:24 49:11 50:14 49:2 49:11 50:14 49:2 47:20 48:24 18 30:7, 10, 13 31:8 50:11 50:25:5 53:16 55:12, 16:30 55:20; 66:3 49:11 50:13 14 49:12 43:4 49:11 50:13 14 49:13 43:4 49:11 50:17, 18 49:13 43:4 49:11 50:17, 18 40:23:4 53:2 </td <td></td> <td></td> <td>. 1.</td> <td></td>			. 1.	
55:12 55:5, 24 51:18, 22 53:19, 22, 23 54:18 55:5, 7, 13, 22 61:14 65:23 66:11 60:19 60:19 60:14 60:19 60:19 61:10 61:				
Sign (14)				
28:25 41:12,17				
44:22 43:20, 22 44:5, 24 45:10, 25 47:23 49:8 60:14 60:19 Biggest (2) 47:9 48:4 Bill (1) 45:19 46:14, 19 billions (1) 79:23 bit (1) 52:16, 6, 16 53:14 58:11 (1) 49:9 bit (1) 52:15 biack (1) 49:9 blood (1) 72:17 black (1) 49:9 blood (1) 72:17 black (1) 60:17 Board (2) 115:17 Board (2) 115:14 break (3) 69:17 70:7 10:25:15 1:12, 19 break (3) 69:17 60:19 117:16 Brandon (3) 1:20 121:4, 23 breach (1) 72:21 break (3) 66:8, 13 107:7 61:8, 13 107:7 61:15, 16, 17, 18 50:13, 16 51:3, 5, 6 15:10, 17, 18, 19 44:4, 11, 17 45:3, 8 45:10, 11, 18, 20, 21 46:10, 12, 19, 22 47:5, 7, 22 48:5, 10 48:11, 13, 19 49:2 49:4, 5, 16, 17, 18 50:13, 16 51:3, 5, 6 51:10 52:13 55:10 55:11, 20, 20, 24 55:2 66:16 books (49) 16:22, 23 18:10, 10 29:19 36:2, 7, 8, 9, 3 36:14, 17, 19, 25 brought (1) 38:5 Burnett (4) 30:7, 10, 13 31:8 businesse (8) 8:25 9:2, 3 12:10 117:16 Burnett (4) 30:7, 10, 13 31:8 businesses (8) 8:25 9:2, 3 12:10 115:4 49:18 50:13 31:8 businesses (8) 8:25 9:2, 3 12:10 110:6 20:23 25:7 10:6 20:23 25:7 10:6 20:23 25:7 10:24 42:14 4:10, 17 12:24 43:10, 17, 19, 20 41:41:14 2:9 42:17 45:10, 18 47:8 48:3, 11 49:5 49:18 50:13 51:6 52:21 53:2 61:3 52:21 53:2 61:3 52:21 53:2 61:3 52:21 53:2 61:3 52:21 53:2 61:3 52:21 53:2 61:3 52:21 53:2 61:3 52:21 53:2 61:3 52:21 53:2 61:3 52:21 53:2 61:3 52:21 53:2 61:3 52:21 53:2 61:3 52:21 53:2 61:3 52:21 53:2 61:3 52:21 53:2 61:3 52:21 53:2 61:3 52:21 44:10, 17 117:16 62:19, 21, 23 63:4 42:2 43:9, 11, 12 42:24 44:10, 17 115:6 C2:23 25:7 10:6 C2:23 25:7 10:24 42:2 43:9, 11, 12 42:24 43:14, 15, 20 44:3 42:14 45:10, 17 117:18 51:17, 16, 17, 18 51:17, 16, 17, 18 51:17, 16, 17, 18 51:17, 16, 17, 18 51:17, 16, 17, 18 51:17, 16, 17, 18 51:17, 16, 17, 18 51:17, 16, 17, 18 51:17, 16, 17, 18 51:17, 16, 17, 18 51:17, 16, 17, 18 51:17, 16, 17, 18 51:10, 17, 18, 19 51:10, 17, 18, 19 51:10, 17				
44:5, 24 45:10, 25				
47:23 49:8 60:14				
60:19 8iggest (2) 47:9 48:4 8ill (1) 44:18 8ill (1) 44:18 8ill (1) 7:24, 25 8:5 9:4 10:25 16:20 22:6 24:9 34:12, 17 35:4 39:22 41:6 47:20 48:24 51:8 58:11 60:3 62:17 46:14, 19 58:11 60:3 62:17 49:9 88:10, 12 92:14 49:9 88:10, 12 92:14 47:22 43:9, 11, 12 47:8 48:3, 11 49:5 49:18 50:13 51:6 60:8 49:9 88:10, 12 92:14 47:22 43:9, 11, 12 47:24 43:14, 15, 20 44:3 47:22 43:9, 11, 12 47:25 48:2 49:6 49:11 50:17, 18 50:13 51:6 53:24, 25 54:5 55:12, 15; 16, 17, 18 50:13 51:6 53:24, 25 54:5 55:12, 15; 16, 17, 18 50:13 51:6 53:24, 25 54:5 55:12, 15; 16, 17, 18 50:13 51:6 53:24, 25 54:5 55:12, 15; 16, 17, 18 50:13, 16 51:3, 5, 6 51:10, 52:13 55:10 55:11, 20, 20, 24 45:62 66:16 books (49) 16:22, 23 18:10, 10 29:19 36:2, 7, 8, 9 36:14, 17, 19, 25 70:9 10:21 20:55, 7, 8 57:8 50:10 55; 7, 8 57:8 50:10 55; 7, 8 57:8 50:10 55:13 50:24, 25 54:5 50:24, 25 54:5 50:24, 25 54:5 50:44, 71:14, 24 70:24 49:9 70				
Biggest (2) 47:9 48:4 47:9 48:4 Bill (1) 44:18 Billionaire (3) 45:19 46:14, 19 billions (1) 19:23 bit (1) 32:15 black (1) 49:9 48:24 51:8 blasts (1) 23:9 blood (1) 121:17 Board (2) 1:27 Brandon (3) 1:20 121:4, 23 broad (1) 27:21 break (3) 37:7, 11, 17, 20 38:2 38:7, 9, 12 39:13 39:20 41:8, 11, 13 42:7, 9, 15, 17 43:10, 17, 18, 19 44:4, 11, 17 45:3, 8 45:10, 12, 19, 22 47:5, 7, 22 48:5, 10 48:11, 13, 19, 49:2 49:4, 5, 16, 17, 18 50:13, 16 51:3, 5, 6 50oks (49) 16:22, 23 18:10, 10 29:19 36:2, 7, 8, 9 36:14, 17, 19, 25 brongh (1) 27:17 break (3) 61:8, 13 107:7 break (3) 61:8, 13 107:4 62:19, 24:4 43:14, 15, 20 44:3 40:24, 25 47:23, 24 47:25 48:2 49:6 49:11 50:17, 18 66:8, 12 67:25 68:5, 10, 69:4, 5 68:5, 10, 69:4, 5 68:6, 10, 69:4, 5 68:6, 10, 69:4, 5 68:6, 10, 69:4, 5 68:6, 10, 69:4, 5 68:6, 10, 69:4, 5 68:6, 10, 69:4, 5 68:6, 10, 69:4, 5 68:6, 10, 69:4, 5 68:6, 10, 69:4, 5 68:6, 10, 69:4, 5 68:6, 10, 69:4, 5 68:6, 10, 69:4, 5 68:6, 10, 69:4, 5 68:6, 10, 69:4, 5 68:6, 10, 69:4, 5 68:6, 10, 69:4, 5 68:6, 10, 72:0 68:6, 10, 72:0 68:6, 10, 72:0 68:6, 10, 72:0 68:6, 10, 72:0 68:6, 10,				
## Bill (1)				47:8 48:3, 11 49:5
Bill (1) 44:18 7:24, 25 8:5 9:4 10:25 16:20 22:6 45:19 46:14, 19 billions (1) 36:4 39:22 41:6 47:20 48:24 51:8 bit (1) 32:15 bilack (1) 49:9 black (1) 49:9 black (1) 49:9 black (1) 49:17 blood (1) 27:7 Branded (1) 27:10 Branded (1) 27:7 Branded (1) 27:7 Branded (1) 27:17 Branded (1) 27:7 Branded (1) 27:17 Branded (1) 27:10 27:24 47:25 48:2 49:6 47:25 48:2 49:6 47:25 48:2 49:6 47:25 53:16 55:12, 15, 16, 17, 18 51:17 52:5 53:16 55:12, 15, 16, 17, 18 55:12, 15, 16, 17, 18 55:12, 15, 16, 17, 18 27:11 brief (1) 44:4, 11, 17, 45:3, 8 45:10, 11, 18, 20, 21 46:10, 12, 19, 22 47:4, 5, 16, 17, 18 50:13, 16, 51:3, 5, 6 51:10 52:13 55:10 55:11, 20, 20, 24 56:26 6:16 books (49) 16:22, 23 18:10, 10 29:19 36:2, 7, 8, 9 36:14, 17, 19, 25 Brandon (3) 1:20 121:4, 23 55:12, 15, 16, 17, 18 55:12, 15, 16, 17, 18 108:5 55:12, 15, 16, 17, 18 108:5 55:12, 15, 16, 17, 18 108:6 68:5, 9; 2, 3 12:10 16:6 20:23 25:7 110:24 canceled (1) 114:3 86:13 8:25 9:2, 3 18:10, 17 16:6 20:23 25:7 110:24 42:2 43:9, 11, 12 42:2 43:9, 11, 12 42:2 43:9, 11, 12 42:2 43:9, 11, 12 42:2 49:4 47:25 48:2 49:6 53:14, 17, 18, 20 55:16, 16; 02:23 25:7 55:11, 11, 13, 13 45:17, 12, 20 38:2 55:11, 20, 20, 24 56:18 50:13, 16, 16, 17, 18 51:17 52:5 53:16 55:12, 15, 16, 17, 18 108:5 55:11, 11, 14 10:24 114:3 8candicacy 114:3 8ci13 8ci13 114:3 8ci13 114:3 8ci23 47:25 48:2 49:6 117:18 51:17 52:5 53:16 153:24, 25 54:5 55:11, 11, 12, 20 110:24 114:3 8ci13 8ci13 8ci21 40:11 41:24 42:2 43:9, 11, 12 8ci13 8ci13 8ci21 40:11 40:11 114:3 8ci13 8ci13 12:24 1:10 114:3 8ci13 8ci13 12:24 10:11 14:13 8ci13 8ci21 40:11 40:11 14:12 8ci2 8ci2, 3, 1	Biggest (2)	Box (1)	Burnett (4)	
44:18 Billionaire (3) 45:19 46:14, 19 billions (1) 19:23 bit (1) 23:15 bit (1) 23:15 black (1) 23:9 blood (1) 27:7 Board (2) 11:20 121:4, 23 breach (1) 27:21 blook (63) 37:7, 11, 17, 20, 38:2 38:7, 9, 12, 39:13 39:20 41:8, 11, 13 42:7, 9, 15, 17 43:10, 17, 18, 19 44:4, 11, 17, 45:3, 8 45:10, 11, 18, 20, 21 46:10, 12, 19, 22 47:5, 7, 22, 48:5, 10 48:11, 13, 19, 49:2 49:4, 5, 16, 17, 18 50:13, 16, 51:3, 5, 6 51:10, 52:13, 55:10 55:11, 20, 20, 24 56:22, 23 18:10, 10 29:19, 36:22, 7, 8, 9 36:14, 17, 19, 25 brook (49) 16:22, 23 18:10, 10 29:19, 36:22, 7, 8, 9 36:14, 17, 19, 25 broigh (1) 37:24, 25 8:5, 9:4 10:25 16:20 22:25 11:66 20:23 25:7 25:25 29:4 30:12 25:25 29:4 30:11 21:14 36:19, 20, 20, 21 36:11 63:43, 61:9, 20, 21 46:24, 25 47:23, 24 46:24, 25 47:23, 24 47:25 48:2 49:6 49:11 50:17, 18 50:13, 16, 11, 18, 20, 21 46:10, 12, 19, 22 47:5, 7, 22 48:5, 10 48:11, 13, 19, 49:2 49:4, 5, 16, 17, 18 50:13, 16, 51:3, 5, 6 51:10, 52:13, 55:10 55:11, 20, 20, 24 56:26 6:16 books (49) 16:22, 23 18:10, 10 29:19, 36:22, 7, 8, 9 36:14, 17, 19, 25 10:25 16:20 22:5 20:4 30:11 21:414:10, 17 16:6 20:23 25:7 25:25 29:4 30:12 21:14 43:14, 15, 20 21:14 43:19, 17 25:16 20:23 25:7 25:25 29:4 30:12 24:2 43:9, 11, 12 43:14, 15, 20 43:14, 15, 20 43:14, 15, 20 43:14, 15, 20 43:14, 15, 20 43:14, 15, 20 43:14, 15, 20 43:14, 15, 15 20:21 24:40:11 43:14, 10, 17 110:24 22:24 3:9, 11, 12 43:14, 15, 20 43:14, 15, 10 24:2 43:9, 11, 12 43:14, 15, 20 24:3 49:9 24:2 43:9, 11, 12 43:14, 15, 20 24:3 49:14 47:25 48:2 49:6 49:11 50:17, 18 66:6, 12 27:30 55:12, 15, 16, 17, 18 66:6, 12 27:30 55:12, 15, 16, 17, 18 66:6, 12 27:25 55:12, 15, 16, 17, 18 66:6, 12 27:30 52:14 52:15, 16, 17, 18 52:3 53:14 52:3 53:13 52:2 45:5 55:12, 15, 16, 17, 18 66:6, 12 27:3 55:12, 15, 16, 17, 18 66:6, 12 67:25 66:6, 12 67:25 66:5, 10 69:4, 5 70:5 82:13, 14 70:8 70:9 10:10:44 72:10 72:10 72:11 72:11 72:11 72:11 72:11 72:11 72:11 72:11 72:11 72:11 72:11 72:11 72:11 72:11 72:11 72:11 73:12 73:13 74:13 74:13 74:13 74:13 74:13 74:13 74:13 74:13 74:13 74:13 74:13 74:13 74:13 74:		2:18	30:7, 10, 13 31:8	52:21 53:2 61:3
44:18 Billionaire (3) 45:19 46:14, 19 billions (1) 19:23 bit (1) 23:15 bit (1) 23:15 black (1) 23:9 blood (1) 27:7 Board (2) 11:20 121:4, 23 breach (1) 27:21 blook (63) 37:7, 11, 17, 20, 38:2 38:7, 9, 12, 39:13 39:20 41:8, 11, 13 42:7, 9, 15, 17 43:10, 17, 18, 19 44:4, 11, 17, 45:3, 8 45:10, 11, 18, 20, 21 46:10, 12, 19, 22 47:5, 7, 22, 48:5, 10 48:11, 13, 19, 49:2 49:4, 5, 16, 17, 18 50:13, 16, 51:3, 5, 6 51:10, 52:13, 55:10 55:11, 20, 20, 24 56:22, 23 18:10, 10 29:19, 36:22, 7, 8, 9 36:14, 17, 19, 25 brook (49) 16:22, 23 18:10, 10 29:19, 36:22, 7, 8, 9 36:14, 17, 19, 25 broigh (1) 37:24, 25 8:5, 9:4 10:25 16:20 22:25 11:66 20:23 25:7 25:25 29:4 30:12 25:25 29:4 30:11 21:14 36:19, 20, 20, 21 36:11 63:43, 61:9, 20, 21 46:24, 25 47:23, 24 46:24, 25 47:23, 24 47:25 48:2 49:6 49:11 50:17, 18 50:13, 16, 11, 18, 20, 21 46:10, 12, 19, 22 47:5, 7, 22 48:5, 10 48:11, 13, 19, 49:2 49:4, 5, 16, 17, 18 50:13, 16, 51:3, 5, 6 51:10, 52:13, 55:10 55:11, 20, 20, 24 56:26 6:16 books (49) 16:22, 23 18:10, 10 29:19, 36:22, 7, 8, 9 36:14, 17, 19, 25 10:25 16:20 22:5 20:4 30:11 21:414:10, 17 16:6 20:23 25:7 25:25 29:4 30:12 21:14 43:14, 15, 20 21:14 43:19, 17 25:16 20:23 25:7 25:25 29:4 30:12 24:2 43:9, 11, 12 43:14, 15, 20 43:14, 15, 20 43:14, 15, 20 43:14, 15, 20 43:14, 15, 20 43:14, 15, 20 43:14, 15, 20 43:14, 15, 15 20:21 24:40:11 43:14, 10, 17 110:24 22:24 3:9, 11, 12 43:14, 15, 20 43:14, 15, 10 24:2 43:9, 11, 12 43:14, 15, 20 24:3 49:9 24:2 43:9, 11, 12 43:14, 15, 20 24:3 49:14 47:25 48:2 49:6 49:11 50:17, 18 66:6, 12 27:30 55:12, 15, 16, 17, 18 66:6, 12 27:30 55:12, 15, 16, 17, 18 66:6, 12 27:25 55:12, 15, 16, 17, 18 66:6, 12 27:30 52:14 52:15, 16, 17, 18 52:3 53:14 52:3 53:13 52:2 45:5 55:12, 15, 16, 17, 18 66:6, 12 27:3 55:12, 15, 16, 17, 18 66:6, 12 67:25 66:6, 12 67:25 66:5, 10 69:4, 5 70:5 82:13, 14 70:8 70:9 10:10:44 72:10 72:10 72:11 72:11 72:11 72:11 72:11 72:11 72:11 72:11 72:11 72:11 72:11 72:11 72:11 72:11 72:11 72:11 73:12 73:13 74:13 74:13 74:13 74:13 74:13 74:13 74:13 74:13 74:13 74:13 74:13 74:13 74:13 74:	Bill (1)	brand (32)	business (68)	92:3, 4, 18 95:10
Billionaire (3) 45:19 46:14,19 billions (1) 19:23 bit (1) 32:15 black (1) 49:9 bload (1) 23:9 blood (1) 21:17 Board (2) 11:20 121:4, 23 bold (2) 51:12, 19 book (63) 37:7, 11, 17, 20 38:2 38:7, 9, 12 39:13 39:20 41:8, 11, 13 39:20 41:8, 11, 13 42:7, 9, 15, 17 43:10, 17, 18, 19 44:4, 11, 17 45:3, 8 45:10, 12, 19, 22 47:45, 7, 22 48:5, 10 48:11, 33, 19 49:2 49:9 books (49) 16:22, 23 18:10, 10 29:19 36:2, 7, 8, 9 36:14, 17, 19, 25 10:28 13:22 41:6, 16:6 20:23 25:7 10:66 20:23 25:7 10:66 20:23 25:7 10:66 20:23 25:7 10:66 20:23 25:7 10:66 20:23 25:7 10:66 20:23 25:7 110:24 110:24 111:10:24 111:14 36:11 36:11, 20, 21 46:24, 25 47:23, 24 47:22 48:2, 47:23, 24 47:25 48:2 49:6 49:11 50:17, 18 55:12, 15, 16, 17, 18 55:12, 15, 16, 17, 18 55:12, 15, 16, 17, 18 55:12, 15, 16, 17, 18 55:13, 14 10:24 23:14:30:17 23:14:36:19, 20, 21 36:11 36:11 36:11 36:11 36:11, 15, 20 44:3 46:24, 25 47:23, 24 47:25 48:2 49:6 49:11 50:17, 18 55:12, 15, 16, 17, 18 55:12, 15, 16, 17, 18 55:12, 15, 16, 17, 18 55:13, 14 58:10 50:13, 16 51:15, 15, 16, 17, 18 55:14, 17, 17, 20 38:7, 9, 12, 17 43:10, 17, 18, 19 41:41 42:4 43:1, 15, 20 44:2, 25 47:23, 24 47:25 48:2 49:6 47:15 51:15, 15, 16, 17, 18 55:12, 15, 16, 17, 18 55:12, 15, 16, 17, 18 55:12, 15, 16, 17, 18 55:13, 14 56:13 55:14, 15, 20 44:3 46:24, 25 47:23, 24 47:25 48:2 49:6 53:14 58:11 50:17, 18 55:12, 15, 16, 17, 18 55:12, 15, 16, 17, 18 55:12, 15, 16, 17, 18 55:13, 14 56:13 56:11 55:14, 15, 20 44:3 46:24, 25 47:23, 24 47:25 48:2 49:6 53:14, 17, 18 55:17, 18, 24 55:16, 17 52:3 53:13 52:3 53:16 53:2, 25 54:5 55:10, 61:6, 17 53:4 58:11 59:11, 13:13 50:11, 15:4 50:17, 18 51:17, 52:5 53:16 53:2, 25 54:5 55:12, 15, 16, 17, 18 55:16, 17 52:3 53:13 52:3 53:13 52:3 53:13 52:3 53:13 52:3 53:13 52:3 53:13 52:3 53:13 52:3 53:13 52:3 53:13 52:3 53:13 52:3 53:13 52:3 53:13 52:3 53:13 52:3 53:13 52:3 53:13 52:3 53:13 52:3 53:13 52:10 52:1 30:23 52:10 52:1 30:23 52:10 52:1 30:23 52:10 52:1 30:23 52:10 52:1 30:23 52:10 52:1 30:23 52:10 52:1 30:23 52:10 52:1 30:23 52:10 52:1 30:23 52:10 52:1 30:23 52:10 52:		7:24, 25 8:5 9:4	8:25 9:2,3 12:10	calls (4)
45:19 46:14, 19 billions (1) 35:4 39:22 41:6 47:20 48:24 51:8 52:6, 6, 16 53:14 52:6, 6, 16 53:14 52:15 58:11 60:3 62:17 49:91 50:17 88:10, 12 92:14 98:11 113:13	Billionaire (3)			
billions (1)			,	
19:23		35:4 39:22 41:6		canceled (1)
bit (1) 32:15 51:16 60:3 62:17 49:9 88:10, 12 92:14 49:9 88:10, 12 92:14 88:10, 12 92:14 bloads (1) 23:9 blood (1) 121:17 Board (2) 1:2 5:24 bold (2) 51:12, 19 book (63) 37:7, 11, 17, 20 38:2 38:7, 9, 12 39:13 39:20 41:8, 11, 13 42:7, 9, 15, 17 43:10, 17, 18, 19 44:4, 11, 17, 45:3, 8 45:10, 12, 19, 22 47:5, 7, 22 48:5, 10 48:11, 13, 19 49:2 49:9 40:10, 12, 19, 22 47:5, 7, 22 48:5, 10 48:11, 13, 19 49:2 49:4, 5, 16, 17, 18 50:13, 16, 51; 3, 5, 6 51:10, 52:13 55:10 55:11, 20, 20, 24 56:2 66:16 books (49) 36:21, 40:11 41:24 42: 2 43:9, 11, 12 46: 24; 25 47: 23, 24 46: 24; 25 47: 23, 24 47: 25 48: 2 49: 6 49:11 50:17, 18 51:17, 52: 53:16 53: 24, 25 54: 5 53: 24, 25 54: 5 55: 21, 61: 15, 18, 22 62: 6, 7, 8 65: 18 66: 8, 12 67: 25 68: 5, 10 69: 4, 5 70: 5 82: 13, 14 108: 5 108: 5 108: 5 108: 6 11: 11: 14 11: 14 22 43: 9, 11, 12 23: 47: 25, 48: 2, 49: 6 49: 11 50: 17, 18 51: 17, 52: 5 53: 16 53: 24, 25 54: 5 55: 12, 15, 16, 17, 18 66: 8, 12, 67: 25 68: 5, 10 69: 4, 5 70: 5 82: 13, 14 70: 20, 44: 71: 18, 24 72: 2, 4, 5, 19, 74: 8 70: 70: 90: 19, 94: 23, 25 95: 3, 10, 16, 19, 22 96: 16, 17, 24, 25 99: 13 100: 9 102: 8 99: 13 100: 9 102: 8 99: 13 100: 9 102: 8 100: 10 100: 21: 103: 25 11: 11: 15: 5 105: 11: 11: 15: 5 105: 11: 11: 11: 12 100: 8 108: 11: 11: 12 11: 14 11: 14 11: 14 11: 14 11: 14 11: 14 11: 14 124 14: 24 43: 9, 11, 12 14: 24 13: 14: 11, 12: 14 13: 14: 24 14: 22 43: 9, 11, 12 12: 47: 24: 24: 29: 6 11: 17; 18 11: 17 11: 18 11: 11: 18 11: 11: 18 11: 11: 18 11: 11: 18 11: 14 125: 47: 25 12: 15: 15: 15: 15: 15: 15 11: 15: 17, 18 11: 17 11: 18 11: 18 11: 14 124 14: 24 13: 14: 24 13: 14: 24 14: 22 14: 29: 44: 6 14: 24: 24: 47: 6 14: 24: 24: 47: 6 14: 24: 24: 47: 6 14: 24: 24: 41: 11 11: 12 11: 17 11: 11 11: 18 11: 14 11: 14 124 14: 24 13: 14: 24 14: 24 13: 14: 24 14: 24 13: 14: 24 14: 24 13: 14: 24 14: 24 13: 14: 24 14: 24 14: 24 14: 21 14: 24 14: 24 14: 21 14: 24 14: 24 14: 21 14: 24 14: 24 14: 21 14: 24 14: 21 14: 24 14: 21 14: 24 14: 24 14: 21 14: 24 14: 21 14: 24 14: 21 14: 24 14: 21 14: 24 14: 24 14: 21 14:				• •
32:15 black (1)			, ,	
black (1)				
49:9 88:10, 12 92:14 46:24, 25 47:23, 24 35:12, 14 capable (1) 23:9 blood (1) 27:7 51:17 52:5 53:16 17:25 48:2 49:6 49:11 50:17, 18 117:18 51:17 52:5 53:16 55:17, 75:5 53:16 55:17, 75:5 53:16 55:17, 75:5 53:16 55:17, 75:5 53:16 62ard (2) 85:16, 17 62are (2) 55:21 61:15, 18, 22 62:6, 7, 8 65:18 66:8, 12 67:25 66:8, 12 67:25 66:8, 10 69:4, 5 66:8, 10 69:4, 5 66:8, 10 69:4, 5 66:8, 15, 10 69:4, 5 70:5 82:13, 14 70:5 82:13, 14 70:5 82:13, 14 70:5 82:13, 14 70:5 82:13, 14 70:5 82:13, 14 70:19 94:23, 25 95:3, 10, 16, 19, 22 96:13 96:13 96:13 96:13 97:45, 7, 9, 13, 16 97:45, 7, 9, 13, 16 97:45, 7, 9, 13, 16 97:20, 21, 25 98:25 99:13 100:9, 102:8 100:17, 115:5 99:13 100:6, 10, 17 106:21 107:2, 19 105:3 106:6, 10, 17			, ,	
blasts (1) 23:9 blood (1) 121:17 Board (2) 1:2 5:24 bold (2) 55:12, 15, 16, 17, 18 55:21, 15, 16, 17, 18 55:21, 15, 16, 17, 18 55:21, 15, 16, 17, 18 55:21, 15, 16, 17, 18 55:21, 15, 16, 17, 18 55:21, 15, 16, 17, 18 55:21, 15, 16, 17, 18 55:21, 15, 16, 17, 18 55:21, 15, 16, 17, 18 55:21, 15, 16, 17, 18 55:21, 15, 16, 17, 18 55:21, 15, 16, 17, 18 55:21, 15, 16, 17, 18 55:21, 15, 16, 17, 18 55:21, 15, 16, 17, 18 55:21, 15, 16, 17, 18 55:21, 15, 16, 17, 18 55:21, 15, 16, 17, 18 66:8, 12, 67:25 68:5, 10, 69:4, 5 68:5, 10, 17, 18 care (2) 52:3 53:3:13 case (54) 77:18 108:5 55:12, 15, 16, 17, 18 carer (2) 55:3, 13, 10, 17 108:5 68:68, 12, 67:25 68:5, 10, 17, 18 108:5 70:5 82:13, 14 108:5 99:3, 10, 16, 19, 22 99:13, 10, 16, 19, 22 99:13, 10, 16, 19, 22 99:13, 10, 16, 19, 22 99:13, 100:9, 102:8 109:13, 106:21, 107:2, 19 109:13, 109:13, 110:9, 18 109:13, 110:9, 18 109:13, 110:9, 18 109:13, 110:9, 18 109:13, 110:9, 18 109:13, 110:9, 18 109:13, 110:9, 18 109:13, 110:9, 18 109:13, 110:9, 18 109:13, 110:9, 18 109:13, 110:9, 18 109:13, 109:13, 110:9, 18 109:13, 110:9, 18 109:13, 110:9, 18 109:13, 110:9, 18 109:13, 110:9, 18 109:13, 110:9, 18 109:13, 109:13, 110				
23:9 blood (1) 27:7 Board (2) 1:25:24 bold (2) 51:12, 19 book (63) 37:7, 11, 17, 20 38:2 38:7, 9, 12 39:13 39:20 41:8, 11, 13 42:7, 9, 15, 17 43:10, 17, 18, 19 44:4, 11, 17 45:3, 8 45:10, 11, 18, 20, 21 46:10, 12, 19, 22 47:5, 7, 22 48:5, 10 48:11, 13, 19 49:2 49:4, 5, 16, 17, 18 books (49) 16:22, 23 18:10, 10 29:19 36:2, 7, 8, 9 36:14, 17, 19, 25 brandon (3) 1:20 121:4, 23 breach (1) 27:21 breach (1) 27:21 breach (1) 27:21 breach (1) 25:21 55:21 61:15, 18, 22 62:6, 7, 8 65:18 66:8, 12 67:25 68:5, 10 69:4, 5 70:5 82:13, 14 108:5 businesses (1) 108:5 businesses (1) 108:5 95:3, 10, 16, 19, 22 96:16, 17, 24, 25 97:4, 5, 7, 9, 13, 16 businessperson (1) 70:9 busy (1) 71:2 100:8 50:13, 16 51:3, 5, 6 51:10 52:13 55:10 55:11, 20, 20, 24 56:2 66:16 books (49) 16:22, 23 18:10, 10 29:19 36:2, 7, 8, 9 36:14, 17, 19, 25	1			
blood (1) 121:17 Board (2) 1:2 5:24 bold (2) 51:12, 19 book (63) 38:7, 9, 12 39:13 39:20 41:8, 11, 13 42:7, 9, 15, 17 43:10, 17, 18, 19 44:4, 11, 17 45:3, 8 45:10, 11, 18, 20, 21 46:10, 12, 19, 22 47:5, 7, 22 48:5, 10 48:11, 13, 19 49:2 49:4, 5, 16, 17, 18 50:13, 16 51:3, 5, 6 51:17 52:5 53:16 53:24, 25 54:5 55:12, 15, 16, 17, 18 55:21 61:15, 18, 22 52:3 53:13 case (54) 66:8, 12 67:25 68:5, 10 69:4, 5 70:5 82:13, 14 72:2, 4, 5, 19 74:8 70:8 82:13, 14 72:2, 4, 5, 19 74:8 70:8 82:13, 14 70:9 70:10 82:13 70:9 70:11 10:6 70:9 70:9 70:9 70:9 70:9 70:9 70:9 70:9				
121:17 Board (2)				
Board (2) 1:2 5:24 breach (1) 55:12, 15, 16, 17, 18 career (2) bold (2) 51:12, 19 break (3) 61:8, 13 107:7 68:5, 10, 69:4, 5 47:18 48:5, 19 50:2 book (63) 37:7, 11, 17, 20 38:2 38:7, 9, 12 39:13 39:20 41:8, 11, 13 39:20 41:8, 11, 13 42:7, 9, 15, 17 43:10, 17, 18, 19 44:4, 11, 17 45:3, 8 45:10, 11, 18, 20, 21 brief (1) businesses (1) 96:13 90:19 94:23, 25 97:4, 5, 7, 9, 13, 16 48:11, 13, 19 49:2 49:4, 5, 16, 17, 18 briefs (1) businessperson (1) 70:9 businessperson (1) 97:20, 21, 25 98:25 49:4, 5, 16, 17, 18 55:11, 20, 20, 24 56:13, 16 51:3, 5, 6 51:10 52:13 55:10 55:13 55:5 105:3 106:6, 10, 17 50chas (49) 16:22, 23 18:10, 10 29:19 36:2, 7, 8, 9 55:13 55:13 109:13 110:9, 18 books (49) 16:22, 23 18:10, 10 29:19 36:2, 7, 8, 9 36:14, 17, 19, 25 20:21 26:15 40:19 2:2, 12 5:3 108:15 16:5 28:5, 7, 8 57:8				
1:2 5:24 bold (2) 72:21 55:21 61:15, 18, 22 52:3 53:13 51:12, 19 break (3) 66:8, 12 67:25 68:5, 10 69:4, 5 47:18 48:5, 19 50:2 37:7, 11, 17, 20 38:2 51:8, 13 107:7 68:5, 10 69:4, 5 68:5, 10 69:4, 5 68:5, 10 69:4, 5 38:7, 9, 12 39:13 39:20 41:8, 11, 13 21:3 Briarcliff (1) 70:5 82:13, 14 72:2, 4, 5, 19 74:8 43:10, 17, 18, 19 27:11 brief (1) businesses (1) 90:19 94:23, 25 45:10, 11, 18, 20, 21 7:15 12:21 30:23 77:15 96:13 48:11, 13, 19 49:2 77:15 12:21 30:23 77:15 busy (1) 97:20, 21, 25 98:25 49:4, 5, 16, 17, 18 50:13, 16 51:3, 5, 6 51:10 52:13 55:10 55:11, 20, 20, 24 55:51 55:5 106:21 107:2, 19 56:2 66:16 books (49) 16:22, 23 18:10, 10 55:13 55:13 109:13 110:9, 18 50:13, 16 51:3, 5, 6 50 60:18 53:2 50:13, 16 51:3, 5, 6 55:5 55:5 10:5 10:17 115:5 50:13, 16 51:3, 5, 6 51:10 52:13 55:10 55:13 55:5 10:5:3 106:6, 10, 17 50:13, 16 51:3, 5, 6 51:10 52:13 55:10 55:5 55:5 10:5:3 106:6, 10, 17 50:13, 16 51:3, 5, 6 51:10 52:13 55:10 55:5 55:5 10:5:3 1			*	
bold (2) 72:21 62:6, 7, 8 65:18 case (54) 51:12, 19 50ok (63) 61:8, 13 107:7 68:5, 10 69:4, 5 47:18 48:5, 19 50:2 38:7, 9, 12 39:13 39:20 41:8, 11, 13 50:20 41:8, 11, 13 62:6, 7, 8 65:18 66:8, 12 67:25 64:4 71:18, 24 42:7, 9, 15, 17 43:10, 17, 18, 19 44:4, 11, 17 45:3, 8 45:10, 11, 18, 20, 21 46:10, 12, 19, 22 47:5, 7, 22 48:5, 10 48:11, 13, 19 49:2 49:4, 5, 16, 17, 18 50:13, 16 51:3, 5, 6 50:13, 16 51:3, 5, 6 50:13, 16 51:3, 5, 6 55:11, 20, 20, 24 55:25 58:22, 23 55:13 55:13 55:13 55:13 55:13 100:8 55:5 100:212 103:25 100:17 115:5 100:212 103:25 <td< td=""><td></td><td></td><td></td><td></td></td<>				
51:12,19 book (63) 66:8,12 67:25 47:18 48:5,19 50:2 37:7,11,17,20 38:2 38:7,9,12 39:13 39:20 41:8,11,13 70:5 82:13,14 72:2,4,5,19 74:8 39:20 41:8,11,13 39:20 41:8,11,13 39:20 41:8,11,13 39:20 41:8,11,13 39:20 41:8,11,13 39:20 41:8,11,13 39:20 41:8,11,13 39:20 41:8,11,13 39:20 41:8,11,13 39:20 41:8,11,13 39:20 41:8,11,13 40:27,9,15,17 43:10,17,18,19 44:4,11,17 45:3,8 45:10,11,18,20,21 45:613 45:613 45:613 45:613 45:613 45:613 45:613 47:15 42:2 96:16,17,24,25 95:3,10,16,19,22 96:16,17,24,25 97:4,5,7,9,13,16 97:20,21,25 98:25 99:13 100:9 102:8 97:20,21,25 98:25 99:13 100:9 102:8 102:12 103:25 105:3 106:6,10,17 106:21 107:2,19 106:21 107:2,19 106:21 107:2,19 106:21 107:2,19 106:21 107:2,19 106:21 107:2,19 106:21 107:2,19 106:21 107:2,19 106:21 107:2,19 106:21 107:2,19 106:22,23 18:10,10 55:13 109:13 110:9,18 109:13 110:9,18 109:13 110:9,18 109:13 110:9,18 109:13 110:9,18 109:5,15 106:5 28:5,7,8 57:8 106:5 28:5,7,8 57:8 10				
book (63) 37:7, 11, 17, 20 38:2 61:8, 13 107:7 68:5, 10 69:4, 5 64:4 71:18, 24 38:7, 9, 12 39:13 39:20 41:8, 11, 13 21:3 Briarcliff (1) 21:3 businesses (1) 76:8, 15, 23 78:7 43:10, 17, 18, 19 44:4, 11, 17 45:3, 8 45:10, 11, 18, 20, 21 brief (1) briefly (4) 70:5 82:13, 14 90:19 94:23, 25 95:3, 10, 16, 19, 22 96:16, 17, 24, 25 95:3, 10, 16, 19, 22 96:16, 17, 24, 25 97:4, 5, 7, 9, 13, 16 97:4, 5, 7, 9, 13, 16 97:4, 5, 7, 9, 13, 16 97:4, 5, 7, 9, 13, 16 97:20, 21, 25 98:25 100:21 107:2, 19 1				
37:7, 11, 17, 20 38:2 breakdowns (1) 70:5 82:13, 14 72:2, 4, 5, 19 74:8 38:7, 9, 12 39:13 39:20 41:8, 11, 13 Briarcliff (1) businesses (1) 90:19 94:23, 25 43:10, 17, 18, 19 44:4, 11, 7 45:3, 8 45:10, 11, 18, 20, 21 46:10, 12, 19, 22 47:5, 7, 22 48:5, 10 77:15 businessman (1) 96:16, 17, 24, 25 96:16, 17, 24, 25 97:4, 5, 7, 9, 13, 16 97:20, 21, 25 98:25 99:13 100:9 102:8 99:13 100:9 102:12 103:25 102:12 103:25 105:3 106:6, 10, 17 100:9 102:12 103:25 102:12 103:25 105:3 106:6, 10, 17 100:9 102:12 103:25 102:12 103:25 102:12 103:25 105:3 106:6, 10, 17 100:9 102:12 103:25 105:3 106:6, 10, 17 100:9 102:12 103:25 105:3 106:6, 10, 17 100:9 102:12 103:25 105:3 106:6, 10, 17 106:21 107:2, 19 106:21 107:2, 19 106:21 107:2, 19 106:21 107:2, 19 106:21 107:2, 19 109				
38:7, 9, 12 39:13 21:3 Briarcliff (1) 76:8, 15, 23 78:7 39:20 41:8, 11, 13 42:7, 9, 15, 17 76:8, 15, 23 78:7 43:10, 17, 18, 19 44:4, 11, 17 45:3, 8 45:10, 11, 18, 20, 21 46:10, 12, 19, 22 7:15 12:21 30:23 77:15 70:9 99:13 100:9 102:8 48:11, 13, 19 49:2 49:4, 5, 16, 17, 18 50:13, 16 51:3, 5, 6 51:10 52:13 55:10 55:11, 20, 20, 24 55:25 58:22, 23 55:5 55:13 100:17 115:5 100:17 115:5 100:17 115:5 100:17 115:5 100:17 115:5 100:17 115:5 100:17 115:5 100:17 115:5 100:21 107:2, 19 100:21 1				
39:20 41:8, 11, 13 Briarcliff (1) businesses (1) 90:19 94:23, 25 42:7, 9, 15, 17 43:10, 17, 18, 19 brief (1) 16:6 95:3, 10, 16, 19, 22 44:4, 11, 17 45:3, 8 96:13 businessman (1) 97:4, 5, 7, 9, 13, 16 45:10, 11, 18, 20, 21 briefly (4) 7:15 12:21 30:23 97:4, 5, 7, 9, 13, 16 46:10, 12, 19, 22 77:15 businessperson (1) 97:20, 21, 25 98:25 47:5, 7, 22 48:5, 10 77:15 busy (1) 102:12 103:25 48:11, 13, 19 49:2 briefs (1) 100:8 10:21 107:2, 19 50:13, 16 51:3, 5, 6 brokerage (3) 15:25 58:22, 23 55:5 106:21 107:2, 19 55:11, 20, 20, 24 56:2 66:16 53:2 bronzino (1) 55:13 109:13 110:9, 18 books (49) 32:4 case (2) 100:5, 15 cases (2) 100:5, 15 20:21 26:15 40:19 2:2, 12 5:3 108:15 16:5 28:5, 7, 8 57:8				
42:7,9,15,17 27:11 16:6 y6:13,10,17,18,19 y6:13 y6:13 y6:13,10,11,18,20,21 y6:14,5,7,9,13,16 y7:4,5,7,9,13,16 y7:4,5,7,9,13,16 y7:4,5,7,9,13,16 y7:20,21,25,98:25 y7:4,5,7,9,13,16 y7:20,21,25,98:25 y7:4,5,7,9,13,16 y7:20,21,25,98:25 y7:4,5,7,9,13,16 y7:20,21,25,98:25 y7:15 y7:15 y7:15 y7:15 y7:15 y7:15 y7:15 y7:12 y9:13,100:9,102:8 y7:12 y9:13,100:9,102:8 y7:12 y9:13,100:9,102:8 y7:12 y9:13,100:9,102:8 y7:12 y9:13,100:9,102:8 y9:13				
43:10, 17, 18, 19 brief (1) businessman (1) 96:16, 17, 24, 25 44:4, 11, 17, 45:3, 8 briefly (4) 7:15, 12:21, 30:23 70:9 99:13, 100:9, 102:8 45:10, 12, 19, 22 7:15, 12:21, 30:23 70:9 99:13, 100:9, 102:8 48:11, 13, 19, 49:2 briefs (1) 100:8 102:12, 103:25 49:4, 5, 16, 17, 18 brokerage (3) 15:25, 58:22, 23 106:21, 107:2, 19 50:13, 16, 51:3, 5, 6 brokerage (3) 15:25, 58:22, 23 106:21, 107:2, 19 55:11, 20, 20, 24 bronzino (1) 55:5 109:13, 110:9, 18 56:2, 66:16 53:2 brought (1) 55:13 109:13, 110:9, 18 books (49) 16:22, 23, 18:10, 10 32:4 20:21, 26:15, 40:19 20:21, 25:3, 108:15 108:7 109:13 110:9, 18 cases (2) 100:5, 15 casino (5) 16:5, 28:5, 7, 8, 57:8				
44:4, 11, 17 45:3, 8 96:13 111:14 97:4, 5, 7, 9, 13, 16 45:10, 11, 18, 20, 21 7:15 12:21 30:23 70:9 99:13 100:9 102:8 47:5, 7, 22 48:5, 10 77:15 busy (1) 102:12 103:25 48:11, 13, 19 49:2 49:4, 5, 16, 17, 18 100:8 50:13, 16 51:3, 5, 6 51:10 52:13 55:10 55:5 55:11, 20, 20, 24 55:5 106:21 107:2, 19 50:2 66:16 53:2 bronzino (1) 55:13 109:13 110:9, 18 books (49) 16:22, 23 18:10, 10 32:4 29:19 36:2, 7, 8, 9 36:14, 17, 19, 25 20:21 26:15 40:19 2:2, 12 5:3 108:15 10:5 28:5, 7, 8 57:8			4.4	
45:10, 11, 18, 20, 21 briefly (4) 7:15 12:21 30:23 70:9 99:13 100:9 102:8 47:5, 7, 22 48:5, 10 77:15 busy (1) 102:12 103:25 48:11, 13, 19 49:2 briefs (1) 71:2 105:3 106:6, 10, 17 49:4, 5, 16, 17, 18 100:8 brokerage (3) 15:25 58:22, 23 108:7 109:16 51:10 52:13 55:10 15:25 58:22, 23 55:5 108:7 109:16 55:11, 20, 20, 24 bronzino (1) 55:13 109:13 110:9, 18 56:2 66:16 53:2 brought (1) 55:13 109:13 110:9, 18 books (49) 32:4 C 100:5, 15 29:19 36:2, 7, 8, 9 20:21 26:15 40:19 2:2, 12 5:3 108:15 16:5 28:5, 7, 8 57:8				
46:10, 12, 19, 22 7:15 12:21 30:23 70:9 99:13 100:9 102:8 47:5, 7, 22 48:5, 10 48:11, 13, 19 49:2 briefs (1) 71:2 105:3 106:6, 10, 17 49:4, 5, 16, 17, 18 100:8 brokerage (3) 33:14, 16, 16, 18 108:7 109:16 51:10 52:13 55:10 55:11, 20, 20, 24 bronzino (1) 55:5 109:13 110:9, 18 56:2 66:16 brought (1) 55:13 109:13 110:9, 18 books (49) 32:4 55:13 109:13 110:9, 18 29:19 36:2, 7, 8, 9 36:14, 17, 19, 25 20:21 26:15 40:19 2:2, 12 5:3 108:15 105:3 106:6, 10, 17 105:3 106:6, 10, 17 106:21 107:2, 19 108:7 109:16 109:13 110:9, 18 55:13 55:13 109:13 110:9, 18 6 (7) 2:2, 12 5:3 108:15 100:5, 15 6 (7) 2:2, 12 5:3 108:15 16:5 28:5, 7, 8 57:8				97:20.21.25 98:25
47:5, 7, 22 48:5, 10 77:15 busy (1) 102:12 103:25 48:11, 13, 19 49:2 49:4, 5, 16, 17, 18 100:8 105:3 106:6, 10, 17 50:13, 16 51:3, 5, 6 brokerage (3) 33:14, 16, 16, 18 108:7 109:16 51:10 52:13 55:10 15:25 58:22, 23 55:5 109:13 110:9, 18 56:2 66:16 53:2 brought (1) 55:13 109:13 110:9, 18 books (49) 32:4 55:13 100:5, 15 100:5, 15 29:19 36:2, 7, 8, 9 36:14, 17, 19, 25 20:21 26:15 40:19 2:2, 12 5:3 108:15 105:3 106:6, 10, 17 106:21 107:2, 19 108:7 109:16 109:13 110:9, 18 109:13 110:9, 18 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100:5, 15 100				
48:11, 13, 19 49:2 briefs (1) 71:2 105:3 106:6, 10, 17 49:4, 5, 16, 17, 18 100:8 buy (5) 106:21 107:2, 19 50:13, 16 51:3, 5, 6 brokerage (3) 33:14, 16, 16, 18 108:7 109:16 51:10 52:13 55:10 15:25 58:22, 23 55:5 109:13 110:9, 18 55:2 brought (1) 55:13 109:13 110:9, 18 6:22, 23 18:10, 10 32:4 20:21 26:15 40:19 0 0 6:22, 23 18:10, 10 20:21 26:15 40:19 20:21 25:3 108:15 105:3 106:6, 10, 17 105:3 106:6, 10, 17 106:21 107:2, 19 108:7 109:16 110:17 115:5 55:13 109:13 110:9, 18 109:13 110:9, 18 100:5, 15 60 60:0 0 0 0 0 70 100:5, 15 0 0 0 0 105:3 106:6, 10, 17 106:21 107:2, 19 108:7 109:16 109:13 110:9, 18 0 100:5, 15 100:5, 15 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0				
49:4,5,16,17,18 100:8 buy (5) 106:21 107:2,19 50:13,16 51:3,5,6 brokerage (3) 33:14,16,16,18 108:7 109:16 51:10 52:13 55:10 15:25 58:22,23 55:5 110:17 115:5 55:25 58:22,23 bronzino (1) 55:13 109:13 110:9,18 56:2 66:16 55:13 109:13 110:9,18 books (49) 32:4 55:13 100:5,15 29:19 36:2,7,8,9 36:14,17,19,25 20:21 26:15 40:19 2:2,12 5:3 108:15 106:21 107:2,19 108:7 109:16 100:7 115:5 109:13 110:9,18 100:5, 15 100:5, 15 100:5, 15 106:21 107:2, 19 108:7 109:16 100:7 115:5 109:13 110:9, 18 100:5, 15 100:5, 15 100:5, 15 10:5 28:5, 7, 8 57:8				
50:13, 16 51:3, 5, 6 brokerage (3) 33:14, 16, 16, 18 108:7 109:16 51:10 52:13 55:10 15:25 58:22, 23 55:5 110:17 115:5 55:11, 20, 20, 24 bronzino (1) 53:2 55:13 109:13 110:9, 18 books (49) 55:13 109:13 110:9, 18 100:5, 15 29:19 36:2, 7, 8, 9 36:14, 17, 19, 25 20:21 26:15 40:19 2:2, 12 5:3 108:15 108:7 109:16 100:5, 15 100:5, 15 100:5, 15 100:5, 15 20:21 26:15 40:19 2:2, 12 5:3 108:15 16:5 28:5, 7, 8 57:8	, ,			
51:10 52:13 55:10 15:25 58:22,23 55:11, 20, 20, 24 bronzino (1) 56:2 66:16 53:2 books (49) brought (1) 16:22, 23 18:10, 10 32:4 29:19 36:2, 7, 8, 9 building (13) 36:14, 17, 19, 25 20:21 26:15 40:19 55:5 buying (1) 55:13 109:13 110:9, 18 cases (2) 100:5, 15 casino (5) 16:5 28:5, 7, 8 57:8				
55:11, 20, 20, 24 bronzino (1) buying (1) case-in-chief (3) 56:2 66:16 53:2 109:13 110:9, 18 books (49) brought (1) cases (2) 16:22, 23 18:10, 10 32:4 0 29:19 36:2, 7, 8, 9 building (13) 0 36:14, 17, 19, 25 20:21 26:15 40:19 2:2, 12 5:3 108:15 100:5, 15 casino (5) 16:5 28:5, 7, 8 57:8				
56:2 66:16 books (49) 16:22, 23 18:10, 10 29:19 36:2, 7, 8, 9 36:14, 17, 19, 25 53:2 brought (1) 32:4 building (13) 20:21 26:15 40:19 55:13 109:13 110:9, 18 cases (2) 100:5, 15 casino (5) 16:5 28:5, 7, 8 57:8				
books (49) brought (1) 16:22, 23 18:10, 10 32:4 29:19 36:2, 7, 8, 9 building (13) 36:14, 17, 19, 25 20:21 26:15 40:19 C (7) cases (2) 100:5, 15 casino (5) 2:2, 12 5:3 108:15 16:5 28:5, 7, 8 57:8				
16:22, 23 18:10, 10				
29:19 36:2,7,8,9 building (13) C (7) casino (5) 36:14,17,19,25 20:21 26:15 40:19 2:2,12 5:3 108:15 16:5 28:5,7,8 57:8			C	
36:14, 17, 19, 25 20:21 26:15 40:19 2:2, 12 5:3 108:15 16:5 28:5, 7, 8 57:8			C (7)	
			-	
L		, in the second of the second		
		•	-	

			1
16:5 28:4 57:22	circle (1)	36:12	21:7,8 24:13,14
category (1)	43:4	Cohen (9)	24:25 25:24 30:2
34:19	City (3)	95:10 96:16,17	32:5 33:5 57:21
caucus (1)	28:7 57:9, 22	97:5, 15, 20 106:20	82:25
64:3	claim (1)	107:16 108:7	compete (2)
cause (4)	73:17	coincides (1)	31:13, 15
96:3, 13 104:16	claimed (1)	14:6	competition (14)
110:23	80:6	Collection (2)	1:7 47:2 64:7, 23
caused (1)	claims (3)	32:22 60:5	65:8 67:13, 20, 23
94:13	79:15 97:20 113:14	collectively (1)	68:15 69:20 74:23
causes (1)	class (6)	10:15	75:15 92:4 121:14
78:2	15:7 94:19 95:13	color (9)	competitive (2)
cavalierly (1)	96:24 97:3 106:24	47:19 52:20 53:7	41:23 53:17
88:14	clearly (1)	65:17 68:17 73:17	competitors (4)
cease-and-desis	92:13	79:16 80:5 91:8	36:24 42:4 43:13
11:2 63:6	client (27)	coloring (2)	54:24
ceiling (1)	9:2, 10, 11 65:18	66:6, 14	compilation (1)
52:23	69:6, 18 70:6	combination (1)	50:16
Central (1)	78:25 83:13,14	62:8	complaining (1)
28:2	86:2 88:2 89:15	come (6)	82:21
certain (1)	89:18 91:7, 22, 25	22:23 52:11, 22	complaint (5)
72:17	92:19 94:2 98:12	53:7 75:16 86:4	95:2,5 96:19
certainly (6)	106:17 107:3	Comeback (1)	103:19, 20
70:3 73:13 85:18	108:21 115:12, 14	42:10	completely (3)
95:8 102:23	115:22 116:17	comes (3)	97:25 112:21 113:5
117:16	client's (17)	10:17 11:17 21:25	compliant (1)
Certified (2)	68:25 69:11 81:21	coming (2)	25:7
1:21 121:4	82:11, 11, 12, 14	21:4,5	complicated (1)
certify (2)	83:4, 11, 19, 24	commencing (1)	99:24
121:6, 15	88:10 89:6 92:12	11:3	comprehensively
Challenges (2)	92:14, 19 109:2	commerce (4)	101:6
47:9 48:4	clients (1)	13:21 85:23 108:22	computer (1)
Champion (1)	70:2	109:5	89:11
42:18	clock (1)	commercial (3)	concept (1)
chance (1)	54:4	8:9 15:7 26:10	31:12
87:5	closely (2)	Commission (1)	
change (8)	20:24 25:3	119:21	concerning (9) 62:15 69:17 96:6
66:14 120:8, 10, 12	club (8)	commit (4)	97:19 100:14, 25
	27:8, 10, 11, 15	111:25 112:2, 7, 12	104:25 106:6
120:14, 16, 18, 20 changes (1)	33:19, 23 59:12, 15	common (2)	113:10
120:5	clubs (6)	36:18 39:6	concerns (1)
Charles (2)	21:16, 16, 17, 17	communications (3)	95:6
49:19 51:8	27:10, 10, 17, 17	72:12, 13 89:19	conclusion (2)
Charley (1)	27:17 33:21 co-creator (1)	companies (3)	81:23 110:25
96:4	31:7	25:19 28:15 68:23	conclusions (2)
Charlottesville	co-producing (1)	companion (2)	87:19 109:19
28:17, 19	30:12	96:25 97:4	concurrent (1)
Chicago (4)	co-writes (1)		108:25
20:21 21:22 27:23	co-writes (1) 41:14	company (25) 7:16 8:16,22 9:17	condominium (5)
60:9	41:14 coast (1)	9:19, 25 10:16	16:3 20:21 21:15
children (1)	28:24		
9:22	coauthor (1)	12:9 14:8, 21	61:2,5
7.22	Coauthor (1)	15:16, 20 20:11, 25	conducts (1)
	<u> </u>	<u> </u>	<u> </u>
	`	`	· · · · · · · · · · · · · · · · · · ·

62:5 conference (1) 20:16 controls (2) 20:16 (2) 20:16 (2) 28:16, 16 conversation (1) 89:18 (2) 28:16, 16 (2) 28:16, 16 (2) 28:16, 16 (2) 28:16, 16 (2) 28:16, 16 (2) 28:16, 16 (2) 28:16, 16 (2) 28:16, 16 (2) 28:16, 16 (2) 27:3 89:15 (2) 29:17 78:16, 23 (2) 29:17 78:17 19:17 10:17 11:1 10:11 10:12 10:12 10:11		1	<u> </u>	1
conference (1) 88:19 confidential (1) 89:18 controls (2) 28:16, 16 conversation (1) 89:24 consolidar (2) 70:27:3 89:15 connection (2) 27:3 89:15 consolidar (2) 41:21 52:3 conveying (1) 57:25 62:15, 21 consolidar (2) 77:8 95:24 consistent (2) 66:5, 64, 17 68:16, 17 70:4, 5 95:19 consists (1) 67:12 consultation (3) 15:5, 20, 25 consists (1) 67:12 consultation (1) 89:25 consultat (1) 89:26 consultat (1) 89:27 consultation (1) 89:28 consultation (1) 89:29 consultation (1) 89:25 consultation (1) 89:25 consultation (1) 89:25 consultation (1) 89:26 consultation (1) 89:25 consultation (1) 89:26 consultation (1) 89:56.46 (2) 79:60 consultation (1) 89:56 (30:60 (4)) 79:20 consultation (1) 89:25 consultation (1) 89:26 consultation (1) 89:27 consultation (1) 89:28 consultation (1) 89:29 consultation (1) 89:29 consultation (1) 89:29 consultation (1) 89:29 consultation (1) 88:22 consultation (1) 89:29 consultation (1) 79:56 (30:60 (4)) 79:20 consultation (1) 79:20 cons	62:5	continue (1)	26:21	108:1 109:1 110:1
88:19 confidential (1) 89:18 confidential (1) 89:18 confoliation (3) 89:24 converted (1) 60:25 conglomerate (1) 12:10 convey (2) 41:21 52:3 convertion (6) 16:21 19:13 29:19 57:25 62:15, 21 consider (2) 77:8 95:24 consistent (25) 39:25 41:18 42:11 43:22 45:22 49:13 49:21 50:3 51:2 51:10, 13 57:11 60:18 65:10, 20, 24 66:5, 6, 14, 17 68:16, 17 70:4, 5 95:19 consists (1) 68:16, 17 70:4, 5 95:19 consists (1) 68:16, 17 70:4, 5 95:19 consists (1) 11:11 13:13 12:22 consultation (1) 89:25 constructed (1) 60:25 construction (2) 22:20, 21 corresponds (1) 14:3 consultation (1) 89:25 constructed (1) 63:21 64:29, 10 consultation (1) 89:25 consultati		• •		
confidential (1) 89:18 conversation (1) 89:24 conversation (1) 12:10 conjunction (2) 27:3 89:15 convey (2) 41:21 52:3 conveying (1) 57:25 62:15, 21 convey (2) 43:9 70:7 conversation (2) 47:25 62:15, 21 convey (2) 43:9 70:7 conversation (2) 43:24 5:22 4 consider (2) 77:8 95:24 consistent (25) 39:25 41:18 42:11 66:16 67:10 59:17 43:22 45:22 49:13 49:21 50:3 51:2 51:10, 13 57:11 60:18 65:10, 20, 24 66:5, 6, 14, 17 68:16, 17 70:4, 5 95:19 consultation (1) 87:25 consultation (1) 67:12 consultation (1) 67:12 consultation (1) 88:22 consulted (1) 63:16 70:10 50:17 50:17 consultation (1) 88:22 consulted (1) 63:16 70:10 50:17 50:17 consultation (1) 88:22 consulted (1) 63:16, 70:10 50:17 50:17 consultation (1) 88:22 consulted (1) 63:16, 70:10 50:17 50:17 consultation (1) 88:22 consulted (1) 88:23 89:25 90:2 78:21 81:25 87:24 foothead (1) 75:11 70:17 foothemplate (1) 75:6 contestants (1) 60:10 10:11 foothemplate (1) 75:6 contestants (1) 60:10 10:11 foothemplate (1) 75:6 contestants (1) 60:10 10:10 10:11 foothemplate (1) 75:6 contestants (1) 60:10 10:10 10:11 foothemplate (1) 75:6 contestants (1) 60:10 10:10 10:11 foothemplate (1) 75:6 foothemplate				
89:18 confusion (3) 82:4, 6 109:5 conglomerate (1) 12:10 conjunction (2) 27:3 89:15 consection (6) 16:21 19:13 29:19 57:25 62:15, 21 Consciousness (1) 84:22 consider (2) 71:8 95:24 consistent (25) 39:25 41:18 42:11 43:22 45:22 49:13 49:21 50:3 51:2 51:10, 13 57:11 60:18 65:10, 20, 24 66:5, 61, 41, 7 68:16, 17 70:4, 5 95:19 consists (1) 67:12 consult (1) 87:20 consult (1) 87:20 consult (1) 87:20 consult (1) 87:20 consult (1) 87:21 consult (1) 87:25 consult (1) 87:27 consult (1) 87:27 consult (1) 87:28 consult (1) 87:29 consult (1) 87:29 consult (1) 87:29 consult (1) 87:20 consult (2) 10:11 111:3, 12 consult (3) 15:5, 20, 25 consult (5) consult (6) 16:21 1 19:13 29:19 16:16 consult (5) 87:27 foil (2) 87:27 foil (3) 87:27 foil (4) 87:27 foil (4) 87:28 foil (1) 87:29 foil (1) 87:20 foil (1) 87:21 foil (1) 87:21 foil (1) 87:21 foil (1) 87:21 foil (1) 87:27 foil (2) 87:28 foil (1) 87:27 foil (2) 87:28 foil (1) 87:28 foil (1) 87:29 foil (1) 87:29 foil (1) 87:29 foil (1) 87:20 foil (1) 87:21 foil (2) 87:27 foil (2) 87:27 foil (2) 87:28 foil (2) 87:29 foil (3) 87:29 foil (4) 87:15 78:16, 23 79:27 foil (2) 115:19 foil (2) 115:19 foil (3) 102:10 foil (1) 102:4 102:10 102:4 102:				
confusion (3) 88:24 converted (1) converted (1) couple (4) couple (2) cross-examine (2) conglomerate (1) 60:25 convey (2) converse (10) 5:12 38:15 59:20 correst (1) 60:25 convey (2) 63:16 course (10) correst (1) correst (1) 60:25 convey (2) 63:16 course (10) correst (1) 60:7 70:17 correst (2) CRR (1) CRR (1) CRR (1) CRR (1) Cross-examine (2) correst (2) 77:3 38:2 correst (2) 77:3 38:2 correst (2) 77:3 38:2 current (2) 77:3 46:11 69:7 70:7 70:12 70:14 50:10 70:12 70:14 50:10 70:10:10 70:12 70:10:10				
82:4, 6 109:5 conglomerate (1) 12:10 conjunction (2) 27:3 89:15 connection (6) 16:21 19:13 29:19 57:25 62:15, 21 consider (2) 71:8 95:24 consider (2) 71:8 95:24 consider (2) 71:8 95:24 consistent (25) 39:25 41:18 42:11 43:22 45:22 49:13 49:21 50:3 51:2 56:16, 14, 17 68:16, 17 70:4, 5 95:19 consists (1) 67:12 consists (1) 67:12 consists (1) 67:12 consultation (1) 104:15 consultation (1) 89:25 consultation (1) 89:25 consultation (1) 104:15 consultation (1) 89:25 consultation (1) 68:26 68:2, 9 contain (1) 56:17 contemplate (1) 75:6 contestants (1) 81:19 contestants (1)				
conglomerate (1) 60:25 convey (2) 63:16 course (10) Course (10) CRR (1) conjunction (2) 41:21 52:3 conveying (1) 71:5 78:16, 23 current (2) 71:5 78:16, 23 current (2) connection (6) 16:21 19:13 29:19 consionses (1) 43:9 70:7 copies (2) 71:5 78:16, 23 current (2) 72:3 38:2 current (2) Consoiousness (1) 68:21 90:14 corner (3) 27:7,1 4 66:11 69:7 court (4) 32:6 100:7 102:4 course (4) 32:6 100:7 102:4 course (4) 70:7 court (5) 70:7 court (5) 70:7 court (5) 70:7 court (5)<				
12:10 conjunction (2) 27:3 89:15 connection (6) f3:21 9:13 29:19 57:25 62:15, 21 consider (2) 71:8 95:24 consistent (25) 39:25 41:18 42:11 43:22 45:23 60:18 65:10, 29:20 f3:3 51:2 consists (1) 60:18 65:10, 77:4, 5 95:19 60:18 65:10, 77:4, 5 95:19 consists (1) 60:18 65:10, 77:4, 5 95:19 construction (3) 15:5, 20, 25 construction (3) 15:5, 20, 25 consult (4) 89:25 consult (1) 104:15 consult (1) 104:15 consult (1) 29:22 consulted (1) 89:25 consulting (3) 9:26 68:2, 9 (2) 11:16 consulting (3) 9:56:17 contemplate (1) 75:6 contemplate (1) 75:6 contestants (1) 81:19 contestants (1) 61:21 103:1 104:1 contestants (1) 81:19 contestants (1) 62:25 63:17 contestants (1) 81:19 contestants (1) 81:19 contestants (1) 81:19 contestants (1) 62:25 63:17 contestants (1) 62:25 63:17 contestants (1) 62:25 63:17 contestants (1) 81:19 contestants (1) 81:19 contestants (1) 62:25 63:17 contestants (1) 62:25 63:17 contestants (1) 62:25 63:17 contestants (1) 62:25 63:17 contestants (1) 81:19 contestants (1) 62:25 63:17 contestants (1) 62:25 63:17 contestants (1) 62:25 63:17 contestants (1) 63:19 contestants (1) 63:19 contestants (1) 60:19 converse (2) 62:24 contestants (1) 60:19 converse (1) 7:3 88:23 61:00:7 10:14 courses (4) 27:7, 14 66:11 69:7 courses (4) 27:7, 14 66:10 60:7, 17:1 60:10 10				
12:10	conglomerate (1)	60:25	63:16	CRR (1)
16:4 19:7 64:4 7:5 78:16, 23 7:3 38:2 20 20 20 37:25 56:2 20 27:7, 14 66:11 69:7 20 20 27:7, 14 66:11 69:7 20 20 20 20 20 20 20 2		convev (2)	course (10)	121:23
27:3 89:15	conjunction (2)			current (2)
connection (6) 16:21 19:13 29:19 57:25 62:15, 21 Consciousness (1) 84:22 consider (2) 71:8 95:24 consistent (25) 39:25 41:18 42:11 43:22 45:23 49:13 49:21 50:3 51:2 51:10, 13 57:11 60:18 65:10, 20, 24 66:5, 6, 14, 17 68:16, 17 70:4, 5 95:19 construction (3) 15:5, 20, 25 construction (3) 15:5, 20, 25 construction (1) 104:15 consult (5) 92:24 109:20 110:11 111:3, 12 consultation (1) 89:25 consultation (1) 89:26 consultation (1) 89:27 consultation (1) 89:27 consultation (1) 89:28 consultation (1) 89:29 consultation (1) 89:39 consultation (1) 89:29 consultation (1) 89:29 consultation (1) 89:30 correspond (1) 74:10 222 78:11 81:25 87:24 88:23 89:25 90:2 88:23 89:25 90:2 88:23 89:25 90:2 88:23 89:25 90:2 88:23 89:25 90:2 88:23 89:25 90:2 88:23 89:25 90:2 88:23 89:25 90:2 88:23 89:25 90:2 88:23 89:25 90:2 88:21 80:1 89:10 00:77 37:7, 14 66:11 69:7 60:10 00:70 74:10 97:24 41:9 42:24 77:7 48:10 49:48 49:17 51:5 60:17 78:6 119:4 100:71 78:6 119:4 100:71 78:6 119:3 78:6 119:4 78:6 119:4 78:6 119:4 78:6 119:4 78:6 119:4 78:6 119:4 78:6 119:4 78:6 119:4 78:6 119:4 78:6 119:4 78:6 110:4 78:6 110:4 78:6 110:7 78:6 110:7 78:6 110:7 78:6 110:7 78:6 110:7 78:6 110:7 78:6 110:7 78:6 110:7 78:6 110:7 78:6 110:7 78:6 110:7 78:6 110:7 78:6 110:7 78:6 110:7 78:6 110:7 78:6 110:7 78:6 110:7 78:6 120 79:20 108:10 78:6 120:7 78:6 120:7 78:6 120:7 78:6 120:7				
16:21 19:13 29:19 57:25 62:15, 21 Consciousness (1) 84:22 consider (2) 71:8 95:24 consistent (25) 39:25 41:18 42:11 43:22 45:22 49:13 49:21 50:16 57:10 59:17 corperation (2) 92:20, 21 correct (5) 57:10 (16:16, 17 70:4, 5 95:19 consists (1) 67:12 construction (3) 15:5, 20, 25 construct (1) 104:15 consult (5) 92:24 109:20 1104:11 111:3, 12 consultation (1) 89:25 consultation (1) 89:26 consultation (1) 89:26 consultation (1) 89:27 (20:19 consultation (1) 89:28 (20:10 consultation (1) 89:25 (20:10 consultation (1) 88:23 89:25 90:2 78:21 81:25 87:24 79:10 60:10 79:10	1			
57:25 62:15, 21				
Consciousness (1) 84:22 consider (2) 37:25 56:2 copy (2) 68:21 90:14 corner (3) 39:25 41:18 42:11 43:22 45:22 49:13 49:21 50:3 51:2 51:10,13 57:11 60:18 65:10,20,24 66:5,6,14,17 68:16,17 70:4,5 95:19 consists (1) correstold (1) 10:11 111:3,12 consult (5) 29:22 108:10 correspond (1) 24:7 construction (3) 15:5, 20, 25 consult (5) 92:24 100:10 111:13,12 consultation (1) 88:22 consulted (1) 20:10 consultation (1) 88:22 consulted (1) 20:10 consumers (2) 74:16 75:27 6:22 76:24 66:26 68:27 90:14 60:18 65:10, 20, 24 66:50, 20, 24 66:50, 20, 24 66:50, 20, 24 66:50, 20, 24 66:50, 20, 25 60:19 corresponding (1) 24:10 corresponding (1) 25:12 counsult (5) 92:24 109:20 108:10 12:22 consulted (1) 25:12 consultation (1) 88:22 consulted (1) 20:19 64:10 65:3 71:4 7:19 11:10 12:22 consulting (3) 9:5 68:2,5 7:27 12:4, 20 74:4, 10 75:14 675:22 76:22 78:21 81:25 87:24 72:14, 20 74:4, 10 75:14 75:15 76:1 77:1 dead (1) 75:6 contestants (1) 81:19 75:10 10:11 10:11 11:3, 12 11:13:20 12:16 counsel (1) 81:19 75:10 10:11 10:11 11:3, 12 11:10 20:10 10:11 10:11 10:11 54:22 55:8, 19, 23 contain (1) 81:19 10:11 10:11 10:11 54:22 55:8, 19, 23 contain (1) 81:19 10:11 10:11 10:11 54:22 55:8, 19, 23 contain (1) 81:19 10:11 10:11 10:11 54:22 55:8, 19, 23 contain (1) 81:19 10:11 10:11 10:11 54:22 55:8, 19, 23 contain (1) 81:19 10:11 10:11 10:11 54:22 55:8, 19, 23 contain (1) 81:19 10:11 10:11 10:11 54:22 55:8, 19, 23 contain (1) 81:19 10:11 10:11 10:11 54:22 55:8, 19, 23 contain (1) 81:19 10:11 10:11 10:11 54:22 55:8, 19, 23 contain (1) 81:19 10:11 10:11 10:11 54:22 55:8, 19, 23 contain (1) 81:19 10:11 10:11 54:22 55:8, 19, 23 contain (1) 81:19 10:11 10:11 10:11 54:22 55:8, 19, 23 contain (1) 81:19 10:11 10:11 10:11 54:22 55:8, 19, 23 contain (1) 81:19 10:11 10:11 10:11 54:22 55:8, 19, 23 contain (1) 81:19 10:11 10:11 10:11 54:22 55:8, 19, 23 contain (1) 81:19 10:11 10:11 10:11 54:22 55:8, 19, 23 contain (1) 81:11 10:11 10:11 10:11 10:11 10:11 10:11 10:11				
84:22				
consider (2) copy (2) 32:6 100:7 102:4 D consistent (25) 39:25 41:18 42:11 corner (3) 26:16 57:10 59:17 cover (16) 37:6, 6 38:5 39:17 32:4:2 5:3 77:13 49:21 50:3 51:2 51:10,13 57:11 correct (5) 43:18 45:9 46:12 47:7 48:10 49:4, 8 4amages (1) 78:6 119:4, 4 4amages (1) 78:6 119:4, 4 4amages (1) 78:0 49:17 51:5 covers (4) 49:17 51:5 covers (4) 49:17 51:5 covers (4) 4arts (1) 48:16 (1) 49:2, 14 40:2 66:16 49:2 49:17 51:5 49:17 51:5 49:2 49:17 51:5 49:2 49:17 51:5 49:2 49:17 51:5 49:2 49:17 51:5 49:2 49:17 51:5 49:2 49:17 51:5 49:2 49:17 51:5 49:2 49:17 51:5 49:2 49:17 51:5 49:2 49:17 51:5 49:2 49:17 51:5 49:2 49:17 51:5 49:2 49:17 51:5 49:2 49:17 51:5 49:17 51:5 49:2 49:17 51:5 49:17 51:5 49:17 51:5 49:17 51:5 49:17 51:5 49:17 51:5 49:17 51:5 49:17 51:5 49:17 51:5				
71:8 95:24 consistent (25) 39:25 41:18 42:11 43:22 45:22 49:13 49:21 50:3 51:2 51:10, 13 57:11 60:18 65:10, 20, 24 66:5, 6, 14, 17 68:16, 17 70:4, 5 95:19 consists (1) 67:12 construction (3) 15:5, 20, 25 construct (1) 104:15 consult (5) 92:24 109:20 110:11 111:3, 12 consultation (1) 89:25 consulted (1) 88:22 consulted (1) 88:22 consulted (1) 88:22 consulting (3) 95:56 88:2, 5 consulting (3) 95:56 88:2, 5 consumers (2) 55:68:2, 5 consumers (2) 55:68:2, 5 contain (1) 56:17 contemplate (1) 100:11 11:3, 12 contained (1) 56:17 contemplate (1) 75:6 contestants (1) 88:19 102:10 cover (16) 37:6, 6 38:5 39:17 41:9 42:8, 16 43:18 45:9 46:12 43:18 45:9 4				102:4
71:8 95:24 consistent (25) 39:25 41:18 42:11 43:22 45:22 49:13 49:21 50:3 51:2 51:10, 13 57:11 60:18 65:10, 20, 24 66:5, 6, 14, 17 68:16, 17 70:4, 5 95:19 consists (1) 67:12 construction (3) 15:5, 20, 25 construct (1) 104:15 consult (5) 92:24 109:20 110:11 111:3, 12 consultation (1) 89:25 consulted (1) 88:22 consulted (1) 88:22 consulted (1) 88:22 consulting (3) 95:56 88:2, 5 consulting (3) 95:56 88:2, 5 consumers (2) 55:68:2, 5 consumers (2) 55:68:2, 5 contain (1) 56:17 contemplate (1) 100:11 11:3, 12 contained (1) 56:17 contemplate (1) 75:6 contestants (1) 88:19 102:10 cover (16) 37:6, 6 38:5 39:17 41:9 42:8, 16 43:18 45:9 46:12 43:18 45:9 4	consider (2)		32:6 100:7 102:4	l
consistent (25) 39:25 41:18 42:11 da:16 57:10 59:17 cover (16) 37:6, 6 38:5 39:17 di:2 42:2 45:23 49:13 di:6 16 57:10 59:17 di:9 42:8, 16 da:19:4, 4 damages (1) 78:6 119:4, 4 damages (1) 78:5 119:4, 4 damages (1) 78:5 119:4, 4 damages (1) 78:5 119:4, 4 dare (2) 49:17 51:5 covers (4) 30:2 4:2 5:3 77:13 78:5 119:4, 4 49:17 51:5 date (6) 49:17 51:5 date (5) 49:17 51:5 date (6) 49:2 3:3 121:25 date (6) 14:4 108:2 49:	71:8 95:24		102:10	D
39:25 41:18 42:11 43:22 45:22 49:13 49:21 50:3 51:2 51:10, 13 57:11 60:18 65:10, 20, 24 66:5, 6, 14, 17 68:16, 17 70:4, 5 95:19 consists (1) 67:12 construction (3) 15:5, 20, 25 construct (1) 104:15 consult (5) 92:24 109:20 110:11 111:3, 12 consultation (1) 89:25 consultation (1) 89:25 consultation (1) 89:25 consultation (1) 89:25 consulting (3) 99:20 108:10 correctly (1) 24:7 corresponds (1) 24:7 consultation (1) 89:25 consultation (1) 89:25 consulting (3) 99:20 108:10 correspond (1) 24:7 corresponds (1) 24:7 corresponds (1) 24:7 corresponds (1) 30:7 created (2) 14:4 108:2 coreated (1) 30:7 credit (1) 30:7 credit (1) 30:11 crest (2) 59:13 61:6 Crippled (2) 38:3, 8 Cross (48) 3:12 70:1 71:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 74:9 42:8, 16 43:18 45:9 46:12 47:7 48:10 49:4, 8 49:17 51:5 covers (4) 88:15 date (6) 14:2, 3, 7 37:20 55:23 121:25 dated (2) 4:66:16, 7:15 4:9 20:19 coreated (1) 30:7 credit (1) 30:7 credit (1) 30:11 crest (2) 59:13 61:6 Crippled (2) 38:3, 8 Cross (48) 31:14 12:6, 17 DC (1) 27:11 dead (1) 74:23 deal (20) 18:13 20:17 22:3, 4 49:17 51:5 covers (4) 88:15 date (6) 14:2, 3, 7 37:20 55:23 121:25 dated (2) 4:613:9 dates (1) 67:15 daughter (1) 30:11 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 74:0 14:9 42:8, 16 43:18 45:9 46:12 47:7 48:10 49:4, 8 49:17 51:5 covers (4) 38:15 date (6) 14:2, 3, 7 37:20 55:23 121:25 dated (2) 4:6 13:9 dates (1) 67:15 daughter (1) 30:11 72:1 73:1 71:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 78:6 119:4, 4 43:18 45:19 47:7 48:10 49:4, 8 49:17 51:5 covers (4) 39:2 14:0:2 66:16 60 14:2, 3, 7 37:20 6ated (2) 6ated (2) 6ated (2) 6ated (2) 6ated (2) 6ated (2) 6ated (3) 6ated (2) 6ated (2) 6ated (3) 6ated (3) 9:2 14:6 13:9 6ated (4) 6ated (3) 6ated (4) 6at				D (7)
43:22 45:22 49:13				
49:21 50:3 51:2				
51:10, 13 57:11 correct (5) 47:7 48:10 49:4, 8 97:2 60:18 65:10, 20, 24 66:5, 6, 14, 17 68:16, 17 70:4, 5 99:20 108:10 49:17 51:5 darts (1) 68:16, 17 70:4, 5 99:20 108:10 covers (4) 39:2, 14 40:2 66:16 date (6) 75:19 81:6 correspond (1) 20:19 55:23 121:25 consists (1) corresponding (1) 24:10 coreated (2) dated (2) consult (5) 24:7 couch (1) 30:7 creator (1) dates (1) 92:24 109:20 14:3 counsel (40) 30:11 dates (1) day:10 99:25 20:11 62:25 63:17 counsel (40) 4:3 6:14 7:6, 11, 14 7:19 11:10 12:22 38:3, 8 creat (2) day:11 4:14 day:11 34:14 day:11 34:14 day:12 4:6 13:9 dates (1) 30:1 dates (1) 67:15 dates (1) 67:15 dates (1) 67:15 daughter (1) 34:14 day:17 5:15 68:13 46:13:9 day:16 46:25 5:23 121:25 67:15 day:17 7:15				
60:18 65:10, 20, 24 66:5, 6, 14, 17 68:16, 17 70:4, 5 95:19 correctly (1) 81:6 correspond (1) 20:19 55:23 121:25 dated (2) 55:23 121:25 dated (2) 55:23 121:25 dated (2) 4:6 13:9 dated (2) 55:23 121:25 dated (2) 55:23 121:25 dated (2) 4:6 13:9 dated (2) 55:23 121:25 dated (2) 55:23 121:25 dated (2) 4:6 13:9 dated (2) 55:23 121:25 dated (2) 55:23 121:25 dated (2) 4:6 13:9 dated (2) 55:23 121:25 dated (2) 55:23 121:25 dated (2) 4:6 13:9 dated (2) 55:23 121:25 dated (2) 55:23 121:25 dated (2) 4:6 13:9 dated (2) 55:23 121:25 dated (2) 55:23 121:25 dated (2) 4:6 13:9 dated (2) 55:23 121:25 dated (2) 55:23 121:25 dated (2) 4:6 13:9 dated (2) 55:23 121:25 dated (2) 55:23 121:25 dated (2) 4:6 13:9 dated (2) 55:23 121:25 dated (2) 55:23 121:25 dated (2) 4:6 13:9 dated (2) 4:6 13:9 dated (2) 4:6 13:9 dated (2) 4:6 13:9 dated (2) 55:23 121:25 dated (2) 4:6 13:9 dated				
66:5, 6, 14, 17 68:16, 17 70:4, 5 95:19 consists (1) 67:12 construction (3) 15:5, 20, 25 construed (1) 104:15 consult (5) 99:25 10:11 111:3, 12 consultation (1) 88:22 consulted (1) 88:22 consulting (3) 99:20 108:10 correspond (1) 24:10 correspond (1) 24:10 corresponds (1) 24:7 consult (5) 99:24 109:20 110:11 111:3, 12 consulted (1) 88:22 consulting (3) 99:20 108:10 correctly (1) 81:6 correate (1) 20:19 created (2) 14:4 108:2 creator (1) 30:7 credit (1) 30:7 credit (1) 30:11 crest (2) 59:13 61:6 Crippled (2) 38:3, 8 Cross (48) 39:2, 14 40:2 66:16 date (6) 14:2, 3, 7 37:20 55:23 121:25 dated (2) 4:6 13:9 dates (1) 67:15 daughter (1) 34:14 crest (2) 59:13 61:6 Crippled (2) 38:3, 8 Cross (48) 39:2, 14 40:2 66:16 date (6) 14:2, 3, 7 37:20 55:23 121:25 dated (2) 4:6 13:9 dates (1) 67:15 daughter (1) 79:13 61:6 Crippled (2) 38:3, 8 Cross (48) 39:2, 14 40:2 66:16 date (6) 14:2, 3, 7 37:20 55:23 121:25 dated (2) 4:6 13:9 dates (1) 67:15 daughter (1) 79:13 61:6 Crippled (2) 38:3, 8 Cross (48) 30:11 crest (2) 59:13 61:6 Crippled (2) 38:3, 8 Cross (48) 39:2, 14 40:2 66:16 date (6) 14:2, 3, 7 37:20 55:23 121:25 dated (2) 4:6 13:9 dates (1) 67:15 daughter (1) 72:15 19:15 12:20 day-to-day (3) 11:14 12:6, 17 DC (1) 27:11 dead (1) 74:23 deal (20) 18:13 20:17 22:3, 4 87:1 88:1 89:1 23:20 32:17 34:11 56:17 contemplate (1) 75:6 connesion (1) 81:19 102:1 103:1 104:1				
68:10, 17 70:4, 5 95:19 correctly (1) 81:6 39:2, 14 40:2 66:16 create (1) date (6) 14:2, 3, 7 37:20 consists (1) 67:12 construction (3) 15:5, 20, 25 construed (1) 104:15 24:10 corresponding (1) 24:7 created (2) dated (2				
95:19 81:6 correspond (1) create (1) 14:2, 3, 7 37:20 55:23 121:25 55:23 121:25 55:23 121:25 55:23 121:25 dated (2) 4:6 13:9 55:23 121:25 55:23 121:25 dated (2) 4:6 13:9 4:6				
consists (1) correspond (1) 20:19 55:23 121:25 dated (2)				
67:12 24:10 created (2) dated (2) construction (3) 15:5, 20, 25 corresponding (1) 24:7 dates (1) construed (1) 104:15 couch (1) 30:7 67:15 consult (5) couch (1) 30:11 daughter (1) 92:24 109:20 53:12 couch (1) 30:11 daughter (1) consultation (1) 4:3 6:14 7:6, 11, 14 crest (2) day (5) 89:25 20:11 62:25 63:17 63:21 64:2, 9, 10 64:10 65:3 71:4 72:11 73:1 74:1 72:1 73:1 74:1 72:11 73:1 74:1 72:11 consulting (3) 64:10 65:3 71:4 72:14, 20 74:4, 10 75:1 76:1 77:1 72:11 40ad (1) 75:4 68:9 78:21 81:25 87:24 81:1 82:1 83:1 82:1 83:1 82:1 83:1 82:1 83:1 82:1 83:1 23:20 32:17 34:11 56:17 92:25 94:7, 24 87:1 88:1 89:1 23:20 32:17 34:11 34:17 37:11, 16 36:17 99:1 100:1 101:1 36:17 99:1 100:1 101:1 41:19 44:23 54:11 56:6 75:6 75:6 75:1 76:1 77:1 75:1 76:1 77:1 75:1 76:1 77:1 75:1 76:1 77:1 75:1 76:1 77:1 75:1 76:1 77:1 75:1 76:1 77:1	95:19	81:6	create (1)	
67:12	consists (1)	correspond (1)	20:19	55:23 121:25
construction (3) corresponding (1) 14:4 108:2 4:6 13:9 construed (1) corresponds (1) 30:7 dates (1) consult (5) couch (1) 30:11 daughter (1) 92:24 109:20 53:12 counsel (40) credit (1) 34:14 consultation (1) 4:3 6:14 7:6,11,14 crest (2) 59:13 61:6 day (5) 89:25 7:19 11:10 12:22 38:3,8 cross (48) 11:14 12:6,17 consulted (1) 63:21 64:2,9,10 64:10 65:3 71:4 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:11 dead (1) consumers (2) 78:21 81:25 87:24 81:1 82:1 83:1 81:1 82:1 83:1 82:1 83:1 82:1 83:1 82:2 89:25 90:2 84:1 85:1 86:1 85:1 86:1 87:1 88:1 89:1 83:20 32:17 22:3,4 83:20 32:17 33:11,16 contain (1) 88:23 89:25 90:2 94:7,24 87:1 88:1 89:1 87:1 88:1 89:1 83:3 20:17 22:3,4 56:17 90:1 11:3, 12 113:20 93:1 94:1 95:1 94:2 10:1 95:1 93:1 95:8,21 40:7 contemplate (1) 75:6 99:1 100:1 101:1 99:1 100:1 101:1	67:12		created (2)	dated (2)
15:5, 20, 25 construed (1) 104:15 consult (5) 92:24 109:20 110:11 111:3, 12 consultation (1) 89:25 consulting (3) 9:5 68:2, 5 consumers (2) 55:4 68:9 contain (1) 56:17 contemplate (1) 75:6 contestants (1) 24:7 corresponds (1) 30:7 credit (1) 30:11 crest (2) 30:11 crest (2) 59:13 61:6 Crippled (2) 38:3,8 Cross (48) 38:3,8 Cross (48) 31:17 32:17 3:1 74:1 72:1 73:1 74:1 75:1 76:1 77:1 1 dead (1) 74:23 deal (20) 18:13 20:17 22:3, 4 72:23, 4 72:23, 4 72:24, 20 74:4, 10 74:16 75:22 76:22 78:21 81:25 87:24 81:182:1 83:1 82:1 83:1 83:1 99:1 99:1 100:1 101:1 99:1 100:1 101:1 54:22 55:8, 19, 23 contestants (1) 81:19	construction (3)			
construed (1) corresponds (1) 30:7 67:15 consult (5) couch (1) 30:11 daughter (1) 92:24 109:20 53:12 counsel (40) 4:3 6:14 7:6, 11, 14 crest (2) 4:3 6:14 7:6, 11, 14 crest (2) 40:25 55:23 91:19 consultation (1) 4:3 6:14 7:6, 11, 14 7:19 11:10 12:22 38:3, 8 40:25 55:23 91:19 consulted (1) 63:21 64:2, 9, 10 64:10 65:3 71:4 72:1 73:1 74:1 72:1 73:1 74:1 88:22 63:21 64:2, 9, 10 64:10 65:3 71:4 72:1 73:1 74:1 72:1 73:1 74:1 consulting (3) 74:16 75:22 76:22 78:21 81:25 87:24 81:1 82:1 83:1 74:23 consumers (2) 74:16 75:22 76:22 78:1 79:1 80:1 74:23 74:23 contain (1) 88:23 89:25 90:2 81:1 82:1 83:1 82:1 83:1 82:1 83:1 56:17 100:3 110:12 90:1 91:1 92:1 34:17 37:11, 16 contained (1) 100:3 110:12 90:1 97:1 98:1 74:23 contemplate (1) 121:16 96:1 97:1 98:1 96:1 97:1 98:1 75:6 79:1 100:1 101:1 </td <td></td> <td></td> <td></td> <td></td>				
104:15 consult (5) 92:24 109:20 110:11 111:3,12 consultation (1) 89:25 consulted (1) 88:22 consulting (3) 9:5 68:2,5 consumers (2) 55:4 68:9 contain (1) 53:19 contained (1) 75:6 contestants (1) 14:3 couch (1) 30:11 crest (2) 59:13 61:6 Crippled (2) 38:3,8 Cross (48) 3:12 70:1 71:1 DC (1) 72:1 73:1 74:1 75:1 76:1 77:1 Contained (1) 75:6 contestants (1) 14:3 couch (1) 30:11 crest (2) 59:13 61:6 Crippled (2) 38:3,8 Cross (48) 3:12 70:1 71:1 DC (1) 72:1 73:1 74:1 75:1 76:1 77:1 Contained (1) 75:6 counselor (1) 81:19 14:3 couch (1) 30:11 crest (2) 59:13 61:6 Crippled (2) 38:3,8 Cross (48) 3:12 70:1 71:1 DC (1) 72:1 73:1 74:1 75:1 76:1 77:1 Contained (1) 75:1 76:1 77:1 Contained (1) 75:6 Counselor (1) 81:19 14:3 credit (1) 34:14 day (5) 40:25 55:23 91:19 119:15 121:20 day-to-day (3) 11:14 12:6,17 DC (1) 72:1 73:1 74:1 75:1 76:1 77:1 Contained (1) 75:1 76:1 77:1 Contained (1) 75:6 Counselor (1) 81:19 14:3 Credit (1) 30:11 Crest (2) 59:13 61:6 Crippled (2) 38:3,8 Cross (48) 3:12 70:1 71:1 DC (1) 72:1 73:1 74:1 75:1 76:1 77:1 Contained (1) 75:1 76:1 77:1 Contained (1) 75:6 Counselor (1) 81:19 10:11:13 12:14 20:25 55:23 91:19 11:14 12:6,17 DC (1) 11:				
consult (5) couch (1) 30:11 34:14 92:24 109:20 53:12 crest (2) 110:11 111:3, 12 counsel (40) 59:13 61:6 40:25 55:23 91:19 89:25 7:19 11:10 12:22 38:3, 8 11:14 12:6, 17 consulted (1) 20:11 62:25 63:17 63:21 64:2, 9, 10 3:12 70:1 71:1 64:10 65:3 71:4 9:5 68:2, 5 72:14, 20 74:4, 10 75:1 76:1 77:1 76:1 77:1 74:23 consumers (2) 78:21 81:25 87:24 81:1 82:1 83:1 6aal (20) 55:4 68:9 78:21 81:25 87:24 81:1 82:1 83:1 82:2 32:20 32:17 34:11 contain (1) 88:23 89:25 90:2 84:1 85:1 86:1 18:13 20:17 22:3, 4 56:17 100:3 110:12 90:1 91:1 92:1 34:17 37:11, 16 56:17 111:3, 12 113:20 93:1 94:1 95:1 39:8, 21 40:7 contemplate (1) 121:16 96:1 97:1 98:1 54:22 55:8, 19, 23 contestants (1) 81:19 100:1 101:1 54:22 55:8, 19, 23				
92:24 109:20 53:12 crest (2) 40;25 55:23 91:19 consultation (1) 4:3 6:14 7:6,11,14 7:19 11:10 12:22 38:3,8 119:15 121:20 consulted (1) 63:21 64:2,9,10 64:10 65:3 71:4 72:1 73:1 74:1 75:1 76:1 77:1 75:1 76:1 77:1 75:1 76:1 77:1 74:10 75:22 76:22 78:21 81:25 87:24 81:1 82:1 83:1 82:1 83:1 82:1 83:1 82:1 83:1 82:1 83:1 82:1 83:1 82:1 83:1 82:1 83:1 82:1 83:1 82:1 83:1 93:20 32:17 34:11 74:23 93:1 94:1 95:1 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:1 94:1 95:1 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:1 94:1 95:1 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:8, 21 40:7 93:1 94:1 95:1 93:1 94:1 95:1 <td< td=""><td></td><td></td><td></td><td></td></td<>				
consultation (1) counsel (40) 4:3 6:14 7:6, 11, 14 59:13 61:6 40:25 55:23 91:19 89:25 7:19 11:10 12:22 38:3, 8 119:15 121:20 consulted (1) 20:11 62:25 63:17 63:21 64:2, 9, 10 63:21 64:2, 9, 10 64:10 65:3 71:4 72:1 73:1 74:1 70:1 77:1 consulting (3) 64:10 65:3 71:4 72:14, 20 74:4, 10 75:1 76:1 77:1 75:1 76:1 77:1 75:1 76:1 77:1 consumers (2) 74:16 75:22 76:22 78:21 81:25 87:24 81:1 82:1 83:1 82:1 83:1 55:4 68:9 78:21 81:25 87:24 81:1 82:1 83:1 84:1 85:1 86:1 84:1 85:1 86:1 51:19 92:25 94:7, 24 87:1 88:1 89:1 23:20 32:17 34:11 contained (1) 100:3 110:12 90:1 91:1 92:1 34:17 37:11, 16 56:17 111:3, 12 113:20 93:1 94:1 95:1 39:8, 21 40:7 contemplate (1) 121:16 96:1 97:1 98:1 40:25 55:23 91:19 contestants (1) 81:19 100:1 103:1 104:1 59:13 61:6				
consultation (1) 4:3 6:14 7:6, 11, 14 Crippled (2) 119:15 121:20 89:25 7:19 11:10 12:22 38:3, 8 Cross (48) 11:14 12:6, 17 88:22 63:21 64:2, 9, 10 64:10 65:3 71:4 72:1 73:1 74:1 72:1 73:1 74:1 72:11 73:1 74:1 9:5 68:2, 5 72:14, 20 74:4, 10 75:1 76:1 77:1 75:1 76:1 77:1 dead (1) consumers (2) 74:16 75:22 76:22 78:21 81:25 87:24 81:1 82:1 83:1 deal (20) 55:4 68:9 78:21 81:25 87:24 81:1 82:1 83:1 86:1 74:23 contain (1) 88:23 89:25 90:2 84:1 85:1 86:1 86:1 18:13 20:17 22:3, 4 51:19 92:25 94:7, 24 87:1 88:1 89:1 39:8, 21 40:7 contained (1) 100:3 110:12 90:1 91:1 92:1 34:17 37:11, 16 56:17 111:3, 12 113:20 93:1 94:1 95:1 39:8, 21 40:7 contemplate (1) 121:16 99:1 100:1 101:1 54:22 55:8, 19, 23 contestants (1) 81:19 102:1 103:1 104:1 deals (11)				
89:25 consulted (1) 88:22 consulting (3) 9:5 68:2,5 consumers (2) 55:4 68:9 contain (1) 56:17 contemplate (1) 7:19 11:10 12:22 38:3,8 Cross (48) 3:12 70:1 71:1 72:1 73:1 74:1 75:1 76:1 77:1 75:1 76:1 77:1 75:1 88:1 89:1 74:23 deal (20) 18:13 20:17 22:3,4 87:1 88:1 89:1 90:1 91:10 90:1 91:1 92:1 38:3,8 Cross (48) 11:14 12:6,17 DC (1) 27:11 dead (1) 74:23 deal (20) 18:13 20:17 22:3,4 90:1 91:1 92:1 34:17 37:11,16 39:8,21 40:7 41:19 44:23 54:11 54:22 55:8,19,23 contestants (1) 81:19	1			
consulted (1) 20:11 62:25 63:17 63:21 64:2, 9, 10 Cross (48) 11:14 12:6, 17 consulting (3) 64:10 65:3 71:4 72:1 73:1 74:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 73:1 74:1 73:1 74:1 73:1 74:1 73:1 74:1 73:1 74:1 73:1 74:1 73:1 74:1 74:1 74:1 74:1 74:1 74:1 74:1 74			Crippled (2)	
88:22 consulting (3) 9:5 68:2,5 consumers (2) 55:4 68:9 contain (1) 56:17 contemplate (1) 75:6 contestants (1) 63:21 64:2,9,10 64:10 65:3 71:4 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 72:1 73:1 74:1 75:1 76:1 77:1 74:23 7			38:3,8	
consulting (3) 64:10 65:3 71:4 72:1 73:1 74:1 27:11 9:5 68:2,5 72:14, 20 74:4, 10 75:1 76:1 77:1 dead (1) consumers (2) 74:16 75:22 76:22 78:1 79:1 80:1 74:23 55:4 68:9 78:21 81:25 87:24 81:1 82:1 83:1 82:1 83:1 contain (1) 88:23 89:25 90:2 84:1 85:1 86:1 18:13 20:17 22:3,4 51:19 92:25 94:7, 24 87:1 88:1 89:1 23:20 32:17 34:11 contained (1) 100:3 110:12 90:1 91:1 92:1 34:17 37:11, 16 56:17 111:3, 12 113:20 93:1 94:1 95:1 39:8, 21 40:7 contemplate (1) 75:6 99:1 100:1 101:1 54:22 55:8, 19, 23 contestants (1) 81:19 102:1 103:1 104:1 deals (11)	consulted (1)	20:11 62:25 63:17	Cross (48)	
consulting (3) 64:10 65:3 71:4 72:1 73:1 74:1 27:11 9:5 68:2,5 72:14, 20 74:4, 10 75:1 76:1 77:1 dead (1) consumers (2) 74:16 75:22 76:22 78:1 79:1 80:1 74:23 55:4 68:9 78:21 81:25 87:24 81:1 82:1 83:1 82:1 83:1 contain (1) 88:23 89:25 90:2 84:1 85:1 86:1 18:13 20:17 22:3, 4 51:19 92:25 94:7, 24 87:1 88:1 89:1 23:20 32:17 34:11 contained (1) 100:3 110:12 90:1 91:1 92:1 34:17 37:11, 16 56:17 93:1 94:1 95:1 39:8, 21 40:7 contemplate (1) 96:1 97:1 98:1 41:19 44:23 54:11 75:6 99:1 100:1 101:1 54:22 55:8, 19, 23 contestants (1) 81:19 102:1 103:1 104:1 deals (11)	88:22	63:21 64:2, 9, 10	3:12 70:1 71:1	
9:5 68:2,5 72:14, 20 74:4, 10 75:1 76:1 77:1 dead (1) consumers (2) 74:16 75:22 76:22 78:1 79:1 80:1 74:23 55:4 68:9 78:21 81:25 87:24 81:1 82:1 83:1 deal (20) contain (1) 88:23 89:25 90:2 84:1 85:1 86:1 18:13 20:17 22:3, 4 51:19 92:25 94:7, 24 87:1 88:1 89:1 23:20 32:17 34:11 contained (1) 100:3 110:12 90:1 91:1 92:1 34:17 37:11, 16 56:17 111:3, 12 113:20 93:1 94:1 95:1 39:8, 21 40:7 contemplate (1) 96:1 97:1 98:1 41:19 44:23 54:11 75:6 99:1 100:1 101:1 54:22 55:8, 19, 23 contestants (1) 81:19 102:1 103:1 104:1 deals (11)	consulting (3)		72:1 73:1 74:1	27:11
consumers (2) 74:16 75:22 76:22 78:1 79:1 80:1 74:23 55:4 68:9 78:21 81:25 87:24 81:1 82:1 83:1 deal (20) contain (1) 88:23 89:25 90:2 84:1 85:1 86:1 18:13 20:17 22:3, 4 51:19 92:25 94:7, 24 87:1 88:1 89:1 23:20 32:17 34:11 contained (1) 100:3 110:12 90:1 91:1 92:1 34:17 37:11, 16 56:17 111:3, 12 113:20 93:1 94:1 95:1 39:8, 21 40:7 contemplate (1) 96:1 97:1 98:1 41:19 44:23 54:11 75:6 counselor (1) 99:1 100:1 101:1 54:22 55:8, 19, 23 contestants (1) 81:19 102:1 103:1 104:1 deals (11)				
55:4 68:9 78:21 81:25 87:24 81:1 82:1 83:1 deal (20) contain (1) 88:23 89:25 90:2 84:1 85:1 86:1 18:13 20:17 22:3, 4 51:19 92:25 94:7, 24 87:1 88:1 89:1 23:20 32:17 34:11 contained (1) 100:3 110:12 90:1 91:1 92:1 34:17 37:11, 16 56:17 111:3, 12 113:20 93:1 94:1 95:1 39:8, 21 40:7 contemplate (1) 96:1 97:1 98:1 41:19 44:23 54:11 75:6 99:1 100:1 101:1 54:22 55:8, 19, 23 contestants (1) 81:19 102:1 103:1 104:1 deals (11)	1			
contain (1) 88:23 89:25 90:2 84:1 85:1 86:1 18:13 20:17 22:3, 4 51:19 92:25 94:7, 24 87:1 88:1 89:1 23:20 32:17 34:11 contained (1) 100:3 110:12 90:1 91:1 92:1 34:17 37:11, 16 56:17 111:3, 12 113:20 93:1 94:1 95:1 39:8, 21 40:7 contemplate (1) 96:1 97:1 98:1 41:19 44:23 54:11 75:6 99:1 100:1 101:1 54:22 55:8, 19, 23 contestants (1) 81:19 102:1 103:1 104:1 deals (11)				
51:19 92:25 94:7, 24 87:1 88:1 89:1 23:20 32:17 34:11 contained (1) 100:3 110:12 90:1 91:1 92:1 34:17 37:11, 16 56:17 111:3, 12 113:20 93:1 94:1 95:1 39:8, 21 40:7 contemplate (1) 96:1 97:1 98:1 41:19 44:23 54:11 75:6 99:1 100:1 101:1 54:22 55:8, 19, 23 contestants (1) 81:19 102:1 103:1 104:1 deals (11)				
contained (1) 100:3 110:12 90:1 91:1 92:1 34:17 37:11,16 56:17 111:3,12 113:20 93:1 94:1 95:1 39:8,21 40:7 contemplate (1) 96:1 97:1 98:1 41:19 44:23 54:11 75:6 99:1 100:1 101:1 54:22 55:8,19,23 contestants (1) 81:19 102:1 103:1 104:1 deals (11)				
56:17 111:3, 12 113:20 93:1 94:1 95:1 39:8, 21 40:7 contemplate (1) 121:16 96:1 97:1 98:1 41:19 44:23 54:11 75:6 99:1 100:1 101:1 54:22 55:8, 19, 23 contestants (1) 81:19 102:1 103:1 104:1 deals (11)		,		
contemplate (1) 121:16 96:1 97:1 98:1 41:19 44:23 54:11 75:6 counselor (1) 99:1 100:1 101:1 54:22 55:8, 19, 23 contestants (1) 81:19 102:1 103:1 104:1 deals (11)	_ · ·			,
75:6 counselor (1) 99:1 100:1 101:1 54:22 55:8, 19, 23 contestants (1) 81:19 102:1 103:1 104:1 deals (11)				
contestants (1) 81:19 102:1 103:1 104:1 deals (11)				
contestants (1) 81:19 102:1 103:1 104:1 deals (11)		counselor (1)	99:1 100:1 101:1	
	contestants (1)		102:1 103:1 104:1	
		countless (1)	105:1 106:1 107:1	9:4, 7, 8, 8 11:25
1 1		•••		
		•		

		_	
21:25 22:6 24:8	103:5	Diego (6)	disclosure (1)
32:16 41:12 43:15	Deputy (1)	94:19 97:12,13	72:11
deceit (2)	108:19	100:6, 16, 25	discovery (7)
110:21 111:8	derivations (3)	differ (1)	78:18, 23 92:17
deceitful (1)	18:8, 20 60:12	84:16	93:3, 5, 7 103:4
94:16	derivative (9)	different (14)	
			discuss (1)
deceive (1)	8:3 16:25 26:10	8:17 9:6 21:14	116:24
107:12	27:5 31:21 32:11	22:24, 25 26:13	discussed (2)
December (4)	34:6, 23 35:4	28:21 33:13 34:25	
7:2 13:25 75:17	derivatives (2)	36:17 44:22 50:17	
121:20	19:2,5	58:16 92:2	94:10 116:16
decertified (1)	describe (28)	differing (1)	dismissed (1)
96:25	8:13 39:16 40:4	91:14	97:16
decide (1)	41:9 42:7, 15 45:7	differs (1)	displayed (1)
64:3	45:8, 16 46:11	86:10	37:5
decimate (1)	47:6 48:9 49:3, 15	difficult (1)	disputing (1)
69:23	49:16 51:4 55:4	19:20	118:11
decision (7)	56:13, 16 57:6, 16	dilution (4)	distributed (2)
, ,			
74:8 88:23 89:14	57:24 59:24 60:21	81:10, 15, 18, 20	28:24 33:3
89:24 102:17, 22	65:5 106:16, 19, 20	direct (68)	District (1)
102:24	described (9)	3:11 6:1, 9, 17 7:1	95:14
dedicated (2)	44:6 45:13 49:21	8:1 9:1 10:1 11:1	
10:19 56:21	53:18 69:13, 21	12:1 13:1 14:1	52:24
deemed (1)	80:15 96:14	15:1 16:1 17:1	divided (1)
5:15	102:20	18:1 19:1 20:1	62:23
defendant (1)	description (5)	21:1 22:1 23:1	document (15)
95:22	14:24 15:2 83:4	24:1 25:1 26:1	4:5, 9, 12, 15, 18
defer (1)	89:11 96:13	27:1 28:1 29:1	13:7, 13 38:17
108:12	destroy (1)	30:1 31:1 32:1	56:6 64:14, 19
definitely (1)	69:24	33:1 34:1 35:1	67:2 80:24 81:3
39:4	detail (1)	36:1 37:1 38:1	87:21
definitive (1)	53:21	39:1 40:1 41:1	documents (5)
111:4	detailed (1)	42:1 43:1 44:1	12:21 22:11 90:12
defraud (1)	22:10	45:1 46:1 47:1	93:14, 14
107:12	determine (1)	48:1 49:1 50:1	doing (1)
	117:12	51:1 52:1 53:1	
degree (1)			83:17
108:16	determines (1)	54:1 55:1 56:1	dollars (5)
delegated (1)	83:22	57:1 58:1 59:1	19:24 20:2 23:23
12:15	develop (2)	60:1 61:1 62:1	24:4, 23
Delmonico (1)	20:19, 20	63:1 64:1 65:1	domain (3)
60:24	developing (2)	66:1 67:1 68:1	11:6 63:7, 20
denominator (1)	22:4, 17	69:1 73:2	Dominate (1)
36:18	development (9)	direction (1)	69:23
department (1)	15:5, 20, 24 16:3, 3	61:24	Donald (31)
20:25	16:4 26:7 30:17	directly (1)	1:4 9:15, 22 11:23
depending (3)	31:9	117:8	32:21, 22 35:20, 21
22:24, 25 101:10	developments (1)	disagree (1)	42:20 46:16 47:10
deposition (7)	95:19	92:15	48:12, 19, 22 49:19
1:17 93:16 103:2	develops (1)	disclose (2)	51:7 68:11 71:23
117:21 118:3	29:13	72:13 73:24	78:17 83:6 84:2
121:8,9	Diamond (1)	disclosing (1)	85:24 95:11 97:15
depositions (1)	75:25	89:18	102:13 106:21
	<u> </u>		<u> </u>

			1 agc 7
107:11,16 110:4	elicited (2)	equipment (1)	Esquire (1)
115:4, 21	97:24 100:25	34:2	121:13
doors (1)	embodied (1)	equity (1)	essentially (2)
52:11	91:17	98:19	15:17 81:5
doubt (1)	emphasis (1)	Eric (6)	establish (2)
111:14	96:19	9:23 11:18 12:2,13	39:22 53:14
drawing (3)	Empire (1)	99:14 103:11	establishment (1)
79:23, 25 80:5	34:13	escapes (1)	86:24
duly (2)	employed (2)	34:15	estate (23)
5:9 121:8	6:20 9:12	Esq (130)	9:7 14:9 15:4, 19
duties (1)	employment (1)	1:1, 12, 17 2:1, 9, 12	15:22, 23, 24, 24, 25
95:20	7:21	2:21 3:1,6 4:1	15:25 16:2 21:15
	encountered (1)	5:1,8 6:1 7:1 8:1	22:3 26:6, 11
E	82:10	9:1 10:1 11:1	36:22 45:19 46:5
E (14)	endeavors (1)	12:1 13:1 14:1	46:15 50:14 51:15
2:2, 2 3:2, 2 4:2, 2	65:19	15:1 16:1 17:1	58:22 103:14
5:3,3 119:4,4,4	enforce (3)	18:1 19:1 20:1	estates (1)
120:2 121:1,1	11:22 23:12 63:3	21:1 22:1 23:1	19:7
e-mail (1)	enforcement (5)	24:1 25:1 26:1	estimate (1)
23:9	8:5 10:25 62:22	27:1 28:1 29:1	114:14
e-mails (1)	82:9 88:10	30:1 31:1 32:1	ethical (1)
21:2	engaged (1)	33:1 34:1 35:1	95:20
earlier (3)	78:17	36:1 37:1 38:1	event (1)
36:5 56:24 62:14		39:1 40:1 41:1	29:4
	engagement (1)		
Early (1)	108:9	42:1 43:1 44:1	evidence (13)
37:19	engaging (1)	45:1 46:1 47:1	4:3 5:16 56:5
easily (1)	63:10	48:1 49:1 50:1	64:13 70:13 76:17
24:3	English (1)	51:1 52:1 53:1	81:19 82:3 87:22
east (2)	85:13	54:1 55:1 56:1	90:14 109:4, 15
26:20 28:24	entail (1)	57:1 58:1 59:1	110:13
education (4)	22:20	60:1 61:1 62:1	exact (4)
43:8 55:14 61:15	entails (1)	63:1 64:1 65:1	19:21 45:13 67:15
61:19	8:14	66:1 67:1 68:1	69:25
educational (6)	enter (3)	69:1 70:1 71:1	exactly (3)
55:9, 9, 13 61:21	9:3 56:4 64:12	72:1 73:1 74:1	22:22 73:12 91:25
68:5,6	entered (1)	75:1 76:1 77:1	examination (4)
efforts (9)	5:19	78:1 79:1 80:1	3:10 6:10, 17 73:3
21:12, 19 23:11	entering (1)	81:1 82:1 83:1	examined (1)
24:16, 20 25:6, 21	9:6	84:1 85:1 86:1	5:9
62:3 88:12	enterprise (2)	87:1 88:1 89:1	example (9)
either (9)	15:18 68:10	90:1 91:1 92:1	18:9, 21 21:22 22:3
26:9 36:10 37:3	entertainment (6)	93:1 94:1 95:1	28:12 34:5, 21
41:2 62:7 69:6	16:13 29:11 57:15	96:1 97:1 98:1	45:24 46:7
82:19 92:8 107:4	57:19, 20 58:2	99:1 100:1 101:1	examples (8)
eldest (1)	entertainment-r	102:1 103:1 104:1	17:9 18:21, 22 26:8
9:22	29:6	105:1 106:1 107:1	29:10 32:10 66:15
element (2)		108:1 109:1 110:1	
24:18 111:6	entitled (2)		85:20
	4:5 13:8	111:1 112:1 113:1	excess (2)
elements (1)	entity (1)	114:1 115:1 116:1	20:5 37:24
80:14	23:21	117:1 118:1 119:1	exchange (1)
elicit (1)	entrance (1)	119:11 120:1, 24	115:24
6:11	52:10	121:7	excuse (2)

			Tuge 0
17:23 38:5	explain (4)	fashion (1)	4:19 5:13 8:21
	explain (4)		
executive (4)	7:15 62:18 69:17	91:8	13:20 14:4, 14, 15
7:5, 13 10:2 11:19	89:8	FCRR (1)	14:19 24:5 37:11
executives (2)	explained (2)	121:23	37:17 39:15 55:10
9:17 11:13	18:24 61:14	feature (3)	55:20 56:25 59:22
exemplify (1)	explanation (2)	73:18 79:16 80:6	65:13 67:3 77:19
31:14	103:25 106:10	featured (1)	77:20,23 87:8
exhausted (1)	extend (1)	65:9	91:10
117:5	52:23	features (1)	floor (1)
exhibit (47)	extensively (1)	38:4	53:8
		I	
4:5, 9, 12, 15, 18	39:7	February (1)	flooring (1)
13:5, 7, 13 14:22	extent (1)	75:15	52:25
38:16, 17, 21, 22	72:10	Federal (2)	flow (1)
41:7 42:5 45:5	extolling (1)	1:20 121:4	109:16
56:5, 6, 15, 17 57:2	111:15	feel (4)	focused (1)
57:3 59:8 60:17	extremely (3)	38:22 101:5 114:20	36:20
60:20 64:13, 13, 14	25:3 88:10 94:21	116:22	following (3)
64:20 65:6 66:9		field (1)	106:7 120:5,6
66:15, 22, 22 67:2	F	83:8	follows (1)
67:7 68:13,14	F (4)	Fifth (3)	5:10
69:12 79:3, 3, 7, 9	3:2 4:2 119:4	13:3 26:17 40:21	font (7)
79:11 86:18 91:4	121:1	figure (1)	40:16, 22 50:25
91:18	face (2)	40:12	56:23 65:11 66:4
exhibits (5)	58:9, 11	file (3)	66:5
3:15 5:14 70:13, 15	fact (1)	63:12 64:11 78:20	for-profit (1)
90:11	25:3	filed (21)	102:14
exist (1)	factors (1)	63:9 71:12 72:5	formal (1)
109:10	22:25	74:8 75:14 76:24	5:19
exists (1)	factory (2)	77:5, 6, 13, 17 81:3	formative (3)
30:5	88:25 89:2	86:2, 4, 21 87:12	28:13 31:21 35:18
expand (1)	facts (1)	95:13 97:11 99:13	formed (2)
24:16	76:16	103:9 106:17	14:7, 20
expanded (1)	factual (1)	107:2	former (2)
15:21	81:13	filing (9)	60:24 106:25
expect (1)	fairly (1)	11:4 63:6, 9, 24	forms (1)
52:7	95:6	65:4 72:7 86:7	22:24
expenses (2)	faith (1)	90:4 98:10	forth (2)
25:15, 18	89:5	filings (2)	80:19 121:8
	fall (1)	63:18, 19	forward (1)
experience (1)	1 a 11 (1) 35:13		
82:10		financial (1)	50:20
experiences (1)	familiar (16)	21:7	found (2)
47:25	20:12, 13 21:6	fine (2)	73:24 102:19
expert (1)	25:22 36:14 85:3	90:13 109:21	foundation (2)
108:13	85:15 90:7 93:6	finish (1)	70:15 86:23
Experts (1)	94:19 95:15,17	54:15	fragrance (4)
50:15	96:11 102:3	fired (1)	34:9, 10, 13, 15
Expiration (1)	108:14, 16	76:13	fragrances (3)
121:25	family (6)	firm (3)	17:15 34:4,7
expired (1)	9:16, 19, 21 11:23	76:19 78:11 106:25	fraud (12)
74:23	28:16 115:16	firms (2)	94:13 96:15 97:24
expires (1)	famous (2)	11:11 62:25	107:19 111:8, 25
119:21	108:4 111:13	first (23)	112:3, 7, 12, 15, 21
,		55 (25)	, , , , , , , , , , , , ,
	<u> </u>	<u> </u>	<u> </u>

			rage 7
113:15	54:1 55:1 56:1,10	106:9 111:3	gotten (1)
free (3)	57:1 58:1 59:1	given (3)	116:22
6:7,8 38:23	60:1 61:1 62:1	74:4 102:25 121:10	governed (1)
frequently (4)	63:1 64:1, 18 65:1	giving (3)	5:22
60:13, 15 68:19, 22		43:11 46:8 113:3	
	66:1 67:1 68:1		grab (2)
front (10)	69:1 70:1, 23 71:1	go (13)	46:2 48:17
12:4 17:8 29:11	72:1 73:1 74:1	18:22 23:10 26:3	gradient (1)
40:20 41:3, 3 50:7	75:1 76:1 77:1	36:12 38:13 39:13	66:13
52:11 79:11, 12	78:1 79:1 80:1	50:11 54:17 78:19	grading (1)
Fross (1)	81:1 82:1 83:1	90:15 91:3 112:23	58:16
76:18	84:1 85:1 86:1, 25	116:14	great (1)
full (2)	87:1 88:1 89:1,8	goes (3)	23:11
69:15, 16	90:1, 12 91:1 92:1	21:18 25:13 98:14	gross (1)
full-blown (1)	92:16 93:1 94:1	going (28)	19:11
63:10	94:12 95:1 96:1	20:20 27:19 38:14	growing (1)
furnishing (1)	97:1 98:1,15 99:1	68:21 70:19 81:12	24:17
35:5	100:1, 19 101:1, 15	84:12 86:17,18	guess (4)
furnishings (3)	102:1 103:1 104:1	87:18 88:16 89:5	14:14 16:9 17:25
17:18 34:20, 23	104:13 105:1,5,6	89:16 96:5 97:18	23:7
further (2)	105:10, 20 106:1, 6	99:4 100:11, 13, 18	guidance (2)
70:10 121:15	107:1 108:1 109:1	104:4 109:16	25:9 61:24
	110:1, 20 111:1, 6	112:18 114:15,17	guy (2)
G	112:1 113:1, 10	117:20, 24 118:2, 7	35:25 54:4
G (2)	114:1, 4 115:1	gold (47)	
5:3 119:4	116:1, 21 117:1, 2	40:8, 9, 15, 16, 21	Н
gain (1)	117:6 118:1,8	41:4, 18 42:12, 22	H (1)
39:23	119:1, 11 120:1, 24	46:20 47:18, 18	4:2
game (1)	121:7	48:21, 23 49:10, 13	hall (1)
85:16	Garten's (1)	51:19, 21, 25 52:2	53:9
gamut (3)	104:25	52:10, 20, 20 53:2	hand (3)
9:9 55:6 63:5	gears (1)	53:3, 5, 6, 6, 10, 11	25:10 66:21 121:20
garner (1)	62:14	53:12, 12 56:11, 23	handed (3)
106:18	general (15)	57:11 58:14, 17	38:21 56:14 64:19
Garten (156)	7:5, 11, 13, 18 20:11	59:7, 15 60:15, 19	handling (2)
1:1, 12, 17 2:1 3:1	53:21 55:15 71:4	66:7,9 69:14	75:20 76:6
3:6 4:1 5:1,8 6:1	74:10 75:22 78:21	73:17, 18 74:2	hands (4)
6:4, 7, 19 7:1 8:1	93:7 99:12 103:10	Goldman (1)	98:5, 9, 13, 19
9:1 10:1 11:1	103:11	101:21	happened (1)
12:1 13:1, 12 14:1	generally (9)	golf (19)	96:24
15:1 16:1 17:1	10:7 12:18 21:13	16:3 19:7 21:16	harassing (1)
18:1 19:1 20:1	36:9 53:18 66:7		104:18
21:1 22:1 23:1	72:3 75:12 81:11	27:7, 8, 10, 11, 13	Hard (2)
24:1 25:1 26:1		27:15, 16 33:9, 11	23:20 84:25
27:1 28:1 29:1	generated (4)	33:15, 19, 23 34:2	
30:1 31:1 32:1	19:13 20:8 21:3	59:11, 15 66:11	hats (2)
	24:9	golf-related (1)	33:12 34:2
33:1 34:1 35:1	gentleman (2)	17:16	Hawaii (1)
36:1 37:1 38:1	74:18 75:24	good (1)	60:9
39:1 40:1 41:1	give (14)	89:5	heading (2)
42:1 43:1 44:1	17:9 18:21 26:8	goods (9)	4:18 67:3
45:1 46:1 47:1	28:12 29:10 32:10	15:12 19:13 21:9	headquarters (1)
48:1 49:1 50:1	34:5, 21 47:8, 15	23:17 26:2 61:16	50:8
51:1 52:1 53:1	100:21 103:24	83:3 89:10 113:11	Hello (1)

		I	1
70:23	50:22 51:4, 8, 14	in-house (3)	interests (3)
hereinbefore (1)	51:19 56:11 57:25	62:24 63:2,23	12:11 66:8, 12
121:8	58:3,5,10 59:2,6	include (2)	internal (4)
hereunto (1)	61:18, 25 62:10	7:24 25:15	10:11 11:9 23:11
121:19	65:15 66:19 68:8	includes (2)	75:3
Hi (1)	68:18 81:4 83:18	11:2 50:20	internally (2)
70:24	83:19 94:13, 15	including (8)	63:25 88:22
highlighted (1)	107:12, 21	8:2 10:5 20:25	international (16)
59:7	http://www.trum	57:22 58:7 66:2	19:6, 8, 17 27:22
	4:20 67:4	92:21 115:12	
highlights (1)	I		28:2, 8 58:21, 21
58:17	Hubbard (5)	independently (2)	58:25 59:3, 21, 23
highly (1)	1:18 2:4 11:11	80:20 81:2	60:2, 5, 8, 11
65:10	62:25 121:11	Index (1)	internationally
history (1)	Huesen (1)	3:15	24:5, 16 27:16
94:2	32:25	indicate (2)	31:10 40:14
hold (1)	huge (1)	42:19 47:16	Internet (5)
117:16	28:22	individual (1)	23:8 67:16 68:2
holding (1)	Hughes (5)	95:21	91:19,22
116:12	1:18 2:4 11:11	industrial (1)	Interrogatories
home (9)	62:25 121:11	15:6	93:19
17:18 21:14 34:19	hundreds (5)	informal (1)	introduce (2)
34:23 35:2, 5, 9, 10	18:19 19:9 20:2	43:8	70:12 90:13
35:13	73:3, 14	information (4)	invest (1)
home-related (1)	,	91:16 92:5 114:24	88:11
34:25	<u> </u>	116:25	investment (2)
hopefully (1)	idea (1)	infringe (2)	102:14 103:15
113:7	31:11	83:24 89:6	Investor (1)
host (4)	identical (3)	infringement (4)	45:20
16:8 31:5, 7, 10	40:22 50:6 114:11	11:4 32:5 63:11	involve (1)
hotel (24)	identification (5)	87:20	8:18
16:2 17:3 19:6	13:10 38:19 56:8	initial (2)	involved (21)
27:19, 23, 23, 24, 25	64:16 67:5	110:8, 18	8:15, 24 9:22 10:22
	identified (1)	Initiative (1)	10:23 11:14, 20, 24
28:2, 8, 22 57:8	5:15	102:10	
58:25 59:5, 21, 23	identifier (2)		12:3, 5, 11, 16 15:9
60:2, 5, 6, 8, 11, 25		insight (1)	16:17 20:23 22:14
61:4,5	27:8,9	54:19	22:16 25:2,17
hotels (4)	identify (5)	instinct (1)	30:19 45:2
33:17 60:4, 7, 10	58:18 59:9 60:21	53:17	involving (1)
house (74)	67:7 102:9	instruct (1)	82:19
8:2 16:9 17:10	identity (1)	105:5	irrelevant (9)
18:5, 18, 18, 20, 23	76:2	instructing (2)	97:25 100:17 101:3
18:25 19:3, 14	image (3)	88:2 104:6	102:16 103:17
21:10 25:25 26:9	4:15 62:11 64:15	intellectual (10)	104:17 112:22
27:3 31:21 32:11	images (4)	7:21, 24 8:7 10:17	113:5, 16
32:12, 13 34:6, 22	4:9, 12 38:18 56:7	10:19 11:8,22	Israel (3)
35:18 36:6 37:2,9	imagine (4)	12:4 64:10 68:25	32:4, 6, 6
39:7, 11, 16, 21	33:22 34:3 84:15	intending (1)	issue (2)
41:16 42:7, 15, 20	86:14	83:14	64:7 99:18
43:17, 21 44:8, 13	important (2)	interest (1)	issued (2)
45:8, 12, 21, 24	102:19 111:6	72:19	5:23 78:24
46:11, 17 47:6	improper (1)	interested (2)	issues (3)
48:9 49:3, 16, 20	87:23	55:16 121:18	9:3 36:21 62:7
1217 1713, 13, 23			
	<u> </u>	I	I

iTrump (2)	23:6 24:10, 22, 24	15:18	36:2 39:13 41:7
89:10 90:19	25:2 26:22 27:3	largest (1)	42:5 45:5 57:13
Ivanka (5)	27:19, 25 28:25	33:2	87:11 91:3 98:17
9:23, 24 11:24	30:11 31:6, 7, 17	Las (5)	103:24 112:23
34:14, 16	31:18 33:20 34:2	20:22 21:22 27:24	lettering (35)
34.14, 10	34:9 36:23, 25	58:25 59:5	40:9, 16, 22 41:4, 18
J	37:13, 14, 18 38:15		
J (11)		laughing (2)	42:13, 22 46:3, 21
	39:5, 12, 24 40:8, 8	112:19, 20	48:17 49:9,22
1:4 48:12, 22 49:19	40:13, 24 41:21, 22	law (11)	50:3, 4, 4, 23, 25
51:7 71:23 78:17	41:22, 23 42:3, 22	2:16 76:18 81:10	51:10, 12, 19, 21
95:11 97:15	43:25 45:2 46:5	81:14, 16 98:7, 17	52:11 56:12 57:10
106:21 110:4	46:14, 24 47:15, 17	106:25 108:13	58:17 59:4,7
jail (1)	47:19 48:3, 17, 18	110:21 111:7	60:15, 19 65:9, 11
107:2	50:9 51:12,16	lawsuit (2)	65:17 68:16, 16
James (2)	52:4, 5, 10, 15, 17	72:7 103:8	69:14
77:13 78:6	52:22 53:17 54:5	lawyer (4)	letters (7)
Jeffrey (1)	55:7, 12 58:10	93:7 95:20 109:20	11:2 28:25 43:22
101:21	59:6 60:12 61:25	117:19	46:2 47:17 56:22
job (2)	63:10 67:17 68:3	lawyers (2)	63:6
12:12, 14	68:4, 7, 19 69:9	10:13 109:11	liable (2)
Joseph (1)	70:2 71:2 73:19	laying (1)	102:14, 19
74:19	74:25 76:4, 10, 13	15:5	license (4)
Jr (2)	79:14, 17, 21, 24, 25	learn (2)	9:3, 4 34:11 102:15
9:23 11:24	80:4 82:4 83:9, 13	61:22 103:14	licensed (4)
judge (2)	84:5, 6, 7 85:18	Learning (1)	17:13 34:12 35:3
102:7, 13	88:16, 19 89:15	44:19	71:5
judgment (1)	91:9, 10, 13, 15, 20	leave (1)	licensees (2)
107:5	91:21, 22 93:3, 4, 6	41:2	21:8 23:15
Julian (1)	93:10, 13, 16, 18, 19	lectures (3)	licensing (20)
2:19	94:4,8 98:4 100:4	62:5, 12 70:7	8:19, 23, 25 9:6, 7, 8
Justice (1)	102:6, 7 104:3	Leerhsen (2)	11:15, 25 12:7, 7
102:4	106:12 108:11,21	49:20 51:8	16:2,7 17:3,8
justify (1)	108:24 110:13	left (1)	20:12, 17 22:2
89:16	111:11,18 112:8	78:7	34:17 46:6 113:12
	114:5, 8, 12 116:10	legal (20)	life (8)
K	116:23, 25 117:18	7:20 8:8 9:5,6	43:9, 12, 14, 20 44:3
keep (3)	known (4)	10:5, 15 20:23	46:15 53:25 54:6
46:7 57:14 95:18	28:17 47:20 52:17	72:20 81:23 82:16	likeness (1)
Kern (2)	70:8	87:19 89:17, 25	34:18
102:5,7		90:2 92:24 98:25	likes (2)
Kick (3)	L	99:18 109:18	54:8,8
43:20 44:5, 25	labels (1)	110:25 111:12	limited (2)
kind (3)	28:25	legal-related (1)	81:5 83:7
40:15 54:11 62:13	lack (2)	8:11	line (9)
kinds (1)	70:15 86:23	legally (1)	35:2 60:4 69:5
21:14	language (2)	25:6	96:6 97:19 101:10
know (152)	25:12 85:13	Lena (2)	112:20 113:8
8:2, 9, 16 10:12, 15	large (2)	2:12 78:14	120:7
11:3 12:9 14:2,6	40:8 42:12	Lessons (1)	lines (1)
15:16, 19 16:16, 23	largely (2)	45:19	68:21
18:9, 13 19:16	37:15 46:3	let's (14)	link (3)
20:3, 3, 10 21:14	larger (1)	22:2 23:15 26:3	56:19 57:17 59:10
	J-: (.,		
	1		

1 1 (4)	44 44 44 40 40 5	20.0	
Links (1)	41:14 44:13 48:5	33:3	5:14 13:10, 13
27:17	55:21, 22 66:12	manufactures (1)	38:18 56:7 64:15
lintels (1)	77:3 88:11	33:7	64:19 67:5
52:21	lots (1)	manufacturing (1)	market (2)
list (5)	8:16	32:18	62:11 66:19
37:22 38:11 86:20	loudly (1)	marble (1)	marketing (24)
87:11 88:14	69:10	53:3	21:12, 19, 20, 24
listed (1)	lower (1)	March (2)	22:8, 15, 19, 20
13:23	27:25	4:7 13:9	23:3, 12, 16, 17
lists (2)	M	Marcraft (1)	25:4, 5, 9, 11, 20
37:3 39:12		33:6	42:24 58:4, 7 62:3
lit-up (1)	M (1) 3:2	mark (140)	69:4 70:3 83:8
59:7		4:6 8:3 13:8, 17	marketplace (2)
litigated (1)	Macy's (1) 33:4	14:8, 12, 15 16:9	81:21 82:5
32:6		17:10, 13 18:5, 6, 8	marks (33)
litigation (15)	magazines (1) 23:4	18:18, 18, 20, 24, 25	8:2,3 16:25 19:9
7:21 8:9, 10 63:11	23:4 Mahal (5)	19:2, 3, 14 20:13	27:5 35:4, 19, 23
74:11, 14 75:20 78:17, 22 94:20	28:6 57:8, 23 58:5	20:16 21:10 22:2 22:6 25:25 26:9	63:9, 12, 14 69:11 73:4, 14, 17 74:2
95:24 96:6 100:20	58:11	26:10 27:3, 15	75:5 80:4 82:5
100:25 101:2	mail (2)	28:13, 22 29:24	83:7 84:3 85:25
little (1)	96:14 107:18	30:7, 9, 12 31:8, 21	
99:18	main (1)	32:11, 12, 13, 14	108:25 109:6
LLP (3)	13:2	34:6, 6, 8, 22, 22	111:24 112:7, 11
1:19 2:4 121:12	Makaeff (2)	35:3, 18 36:6 37:2	114:7, 10
location (1)	97:4,7	37:9 38:16 39:7	marriage (1)
27:9	maker (1)	39:11, 16, 21 41:10	121:17
logo (6)	34:10	41:17 42:7, 16, 20	materials (1)
59:21, 25 60:21, 23	making (4)	43:17, 21 44:7, 8	42:24
65:5 69:16	25:12 88:6 93:23	44:10, 13 45:8, 12	matter (5)
logos (1)	100:2	45:21, 24 46:11, 17	
16:25	man (2)	47:6 48:9 49:4, 16	95:23 121:18
long (7)	71:2 111:14	49:20 50:22 51:4	matters (10)
6:24 61:10 91:21	manage (1)	51:8, 14, 15, 19	7:20 8:8, 15, 17, 18
98:17 99:24	74:14	56:11 57:25 58:3	8:23 10:6, 15, 19
108:21,24	management (9)	58:6, 10 59:2, 6	95:25
longer (3)	7:25 8:5 10:25	61:18, 25 62:10	mattresses (2)
31:25 97:3 114:15	11:14, 16, 18 16:2	64:24 65:15 66:19	35:6, 10
look (8)	29:21, 22	67:23 68:8, 18	McIver (4)
26:13 38:23 67:9	managing (1)	69:2, 13, 15 73:18	41:14 46:16 47:11
78:4, 19 79:7	12:6	74:20, 22 79:23	48:13
88:14 100:4	Manhattan (1)	80:2, 15 81:4, 21	mean (15)
looks (5)	27:25	82:11 83:4, 11, 18	14:13 18:25 19:3,5
40:4 45:11 49:12	manner (2) 92:22 106:22	83:19 86:7,8	26:12, 21 37:6
49:20 56:20 lose (1)	mantra (2)	89:10 91:7,11,13 91:17 92:23 94:2	74:13 84:6,15 93:23 98:16 102:6
110:23	54:20 70:6	94:13, 15 97:22	109:10 113:7
lot (22)	manufactured (8)	98:10 107:12, 21	means (7)
10:24 21:11, 18	28:23 32:19, 23, 24	107:24 108:22	71:4 81:10, 16, 18
22:23, 25 24:15	32:25 33:5 34:10	107:24 100:22	98:5 108:11, 24
33:13, 25 34:24	35:7	113:11 114:3, 11	media (3)
37:8, 14, 21 40:2, 3	manufacturers (1)	marked (8)	23:8 68:3 99:7
37.13, 1.1, 21 10.12, 0			
	1	1	1

member (4) 20:24 21:17 101:23				rage 13
20:24 21:17 101:23 115:15 members (5) 9:16,18, 21 10:12 11:23 memberships (1) 21:16 memorize (1) 81:3 memory (2) 80:22 86:19 memtioned (19) 8:12 10:4 25:24 26:6 28:10 29:8 29:20, 25 31:18 32:9 34:4, 19 36:3 36:5 51:18,23 55:19 56:10 73:2 Meredith (4) 41:14 46:16 47:11 48:13 methods (1) 68:3 million (3) 20:6 37:25 55:25 millions (4) 20:2 23:23 24:4, 23 minutes (1) 88:20 mirroring (1) 63:3 19;8 motion (2) 17:21 118:2 motivational (1) 68:3 motion (1) 7:15 23:5 41:23 106:19 motion (1) 86:3 117:21 118:2 motion (1) 86:3 117:21 118:2 motion (2) 117:21 118:2 motion (1) 68:3 meredith (4) 41:14 46:16 47:11 48:13 methods (1) 68:3 million (3) 20:6 37:25 55:25 millions (4) 20:2 23:23 24:4, 23 minutes (1) 88:20 mirroring (1) 53:4 miseperseentati 103:12 miseperseentati 103:12 miseperseentati 110:21 missing (4) 17:3, 21 26:25 33:23 34:12, 15, 17 35:14 68:9 misestates (2) 73:7, 8 Model Is (1) 68:8, 8, 18, 99 13 102:4 15:6 8:9 9:13 102:4 16:62:62 65:7, 8) 99:18 100:6, 16 101:21 00:4 17:3, 21 26:25 33:23 34:12, 15, 17 36:14, 22:2 65:3, 3, 14, 4 60:ject (1) 72:9 72:19 modern (1) 86:8, 8, 18, 29 17:18 motion (2) 19:7 27:7, 10, 10, 15 59:11, 15 nature (4) 7:15 23:5 41:23 110:40:23 114:11 188C (1) 83:18 necessarily (1) 94:6 (2) 15:21 18:21 10:4 67:10 100:4 10:11 100:4 10:12 10:4 10:13 10:4 10:13 10:4 10:13 10:4 10:13 10:4 10:13 10:4 10:13 10:4 10:13 10:4 10:13 10:4 10:13 10:4 10:13 23:14 10:4 53:7 10:4 53:7 10:6 3:7 10:6 3:7 10:6 3:7 10:6 3:7 10:6 3:7 10:6 3:7 10:6 3:7 10:6 3:7 10:6 3:7 10:6 3:7 10:6 3:7 10:6 3:7 10:6 3:7 10:6 3:7 10:6 3:7 10:6 3:7 10:6 3:7 10:6 3:7 10:10:0 10:0 10:10:0 10:	member (1)	116.15	95.21	1.21 110.10 121.5
members (5) 9:16,18,21 10:12 11:23 memberships (1) 21:16 81:3 memory (2) memory (2) 80:22 86:19 mentioned (19) 81:21 10:4 25:24 26:6 28:10 29:8 29:20, 25 31:18 32:9 34:4,19 36:3 36:5 51:18,23 55:19 56:10 73:2 Meredith (4) 41:14 46:16 47:11 48:13 methods (1) 68:3 million (3) 20:6 37:25 55:25 millions (4) 20:2 23:23 24:4,23 minutes (1) 88:20 minutes (1) 88:20 minutes (1) 88:20 minimutes (1) 88:20 misileading (1) 103:12 missing (4) 11:6 16:24 18:11 103:12 missing (4) 17:3, 21 26:25 misstates (2) 73:7, 8 Model (2) 29:20 modern (1) 86:8 99:13 102:4 108:4 Moredi (1) 11:6 63:7, 20 narrative (1) 55:2 Natasha (2) Natasha (2) 119:7 27:7, 10, 10, 15 59:11, 15 nature (4) 75:15 23:5 41:23 114:11 national (7) 1national				
members (5) 9:16,18,21 10:12 11:23 memberships (1) 21:16 memorize (1) 81:3 memory (2) 80:22 86:19 mentioned (19) 8:12 10:4 25:24 26:6 28:10 29:8 29:20,25 31:18 32:9 34:4, 19 36:3 36:5 51:18,23 55:19 56:10 73:2 Meredith (4) 41:14 46:16 47:11 48:13 methods (1) 68:3 million (3) 20:2 63:23 24:4, 23 millions (4) 20:2 0:2 32:32 24:4, 23 minutes (1) 88:20 millions (4) 88:20 misleading (1) 103:12 103:13 103:13 10				
9:16,18, 21 10:12 d3:18, 19 monitoring (1) d3:18 months (1) momberships (1) d3:18 months (1) momberships (2) memory (2) memory (2) memory (2) mother (5) mother (6) mother (
memberships (1) 21:16 memorize (1) 81:3 memory (2) 81:2 10:4 25:24 26:6 28:10 29:8 29:20, 25 31:18 32:9 34:4, 19 36:3 36:5 51:18, 23 55:19 56:10 73:2 Meredith (4) 41:14 46:16 47:11 48:13 methods (1) 68:3 millions (4) 20:2 23:23 24:4, 23 minutes (1) 88:20 mirroring (1) 53:4 misleading (1) 103:12 missing (4) 17:3, 21 26:25 missing (4) 17:4 17:4 17:4 18:2 18:20 17:10:21 18:21 18:21 18:21 19:7 17:1, 15 19:7 77:7, 10, 10, 15 18:21 114:11 18:11 18:11 18:11 18:11 18:11 19:3 23:10:21 114:11 18:11 18:11 19:3 23:10:21 114:11 18:11 18:11 18:11 19:3 23:10:21 114:11 118:11 11				
memberships (1) 21:16 months (1) 16:18 months (1) 16:18 months (1) 16:18 months (1) 16:18 months (1) 19:27:7, 10, 10, 15 mational (7) 19:727:7, 10, 10, 15 mational (7) 19:729:7, 10:10:10 10:10:10 10:10:10 10:10:10 10:10:10 10:10				
21:16 memorize (1) 81:3 memory (2) 80:22 86:19 mentioned (19) 8:12 10:4 25:24 26:6 28:10 29:8 29:20, 25 31:18 32:9 34:4, 19 36:3 36:5 51:18, 23 55:19 56:10 73:2 Meredith (4) 41:14 46:16 47:11 48:13 methods (1) 68:3 million (3) 20:6 37:25 55:25 millions (4) 20:2 23:23 24:4, 23 minutes (1) 88:20 mirroring (1) 53:4 misslead (1) 68:9 missing (4) 10:21 missing (4) 11:3:2 110:21 missing (4) 17:3, 21 26:25 35:16 missing (4) 17:3, 21 26:25 35:16 missing (4) 17:3, 21 26:25 35:16 misstates (2) 77:7, 10, 10, 15 59:11, 15 nature (4) 77:15 23:54:123 114:11 18:11 NBC (1) 18:23 114:11 18:11 18:20 motivational (1) 62:9 Multipage (4) 4:9, 12 38:17 56:6 multitude (2) 15:21 18:21 N N N N N N N N N 10:18 Mortgage (1) 106:23 107:6 motic (2) 117:21 118:2 motivation (1) 72:19 move (2) 70:12 113:7 needs (1) 10:13 102:23 115:8 116:23 needs (1) 36:23 needs (1) 36:23 needs (1) 36:23 needs (1) 36:23 negotiate (1) 36:23 negotiating (3) 31:15 43:14 54:11 Never (2) 47:8, 15 new (35) 119:4, 4 05:20 119:8 05:24 46:9 65:3 noun (2) 119:13 52:4 1221 110:13 102:23 115:8 116:23 116:18 80:17, 10 88:10 110:21 110:31	1			
Mortgage (1) 15:18 Mortgage (1) 19:8 mother (5) 19:8 mother (5) 103:25 106:10, 21 106:23 107:6 motion (2) 20:22 33:18 23:9 34:4, 19 36:3 36:5 51:18, 23 55:19 56:10 73:2 motivational (1) 68:3 motivational (1) 72:19 motivational (1) 68:3 motivational (1) 62:9 motivational (1) 68:3 motivational (1) 62:9 motivational (1) 68:3 motivational (1) 68:3 motivational (1) 68:3 motivational (1) 70:12 13:7 motivational (1) 62:9 motivational (1) 62:9 motivational (1) 62:9 motivational (1) 68:3 motivational (1) 70:12 13:7 motivational (1) 62:9 motivational (1) 62:9 motivational (1) 68:3 motivational (1) 62:9 motivational (1) 70:12 13:7 70:12 70:12 70:12 70:12 70:12 70:12 70:12 70:12 70:12 7				
81:3 memory (2) 80:22 86:19 methioned (19) 8:12 104 25:24 motion (2) 103:25 106:10,21 106:23 107:6 motion (2) 117:21 118:2 motivation (1) 82:20, 25 31:18, 23				
memory (2) 80:22 86:19 mortioned (19) 8:12 10:4 25:24 26:6 28:10 29:8 29:20, 25 31:18, 23 36:5 51:18, 23 36:5 51:18, 23 36:5 51:18, 23 36:5 51:18, 23 36:5 51:18, 23 36:5 51:18, 23 36:5 51:18, 23 mortivation (1) 72:19 mortivational (1) 68:3 million (3) 20:6 37:25 55:25 millions (4) 20:2 23:23 24:4, 23 million (3) 20:6 37:25 55:25 millions (4) 20:2 23:23 24:4, 23 minutes (1) 88:20 mirroring (1) 53:4 misleading (1) 103:12 misrepresentati 110:21 misrepresen				
80:22 86:19 mentioned (19) 8:12 10:4 25:24 26:6 28:10 29:8 29:20, 25 31:18 32:9 34:4, 19 36:3 36:5 51:18, 23 55:19 56:10 73:2 Meredith (4) 41:14 46:16 47:11 48:13 methods (1) 68:3 millions (3) 20:6 37:25 55:25 millions (4) 20:2 23:23 24:4, 23 minutes (1) 88:20 mirroring (1) 53:4 68:9 misleading (1) 103:12 miselading (1) 103:12 miserpresentati 110:21				
mentioned (19) 8:12 10:4 25:24 26:6 28:10 29:8 29:20,25 31:18 32:9 34:4,19 36:3 36:5 51:18,23 55:19 56:10 73:2 Meredith (4) 48:13 methods (1) 68:3 million (3) 20:6 37:25 55:25 millions (4) 20:2 23:23 24:4, 23 minutes (1) 88:20 mirroring (1) 53:4 88:20 misleading (1) 103:12 103:12 106:23 107:6 motivation (1) 70:12 118:2 motivation (1) 68:3 millions (4) 20:2 23:23 24:4, 23 misnets (1) 88:20 mirroring (1) 53:4 88:20 misleading (1) 103:12 103:12 103:12 103:13 114:11 NBC (1) 31:8 necessarily (1) 94:6 necessary (1) 12:14 need (7) 46:14 67:10 100:4 101:13 102:23 115:8 116:23 needs (1) 86:25 negotiate (1) 36:23 negotiate (1) 36:23 negotiate (1) 38:14 Never (2) 47:8, 15 new (35) 119:4, 4 0° clock (1) 38:14 Never (2) 47:8, 15 new (35) 119:4, 4 0° clock (1) 38:14 Never (2) 47:8, 15 19:9, 22:7, 7 5:5, 5 13:3, 3 119:4, 4 0° clock (1) 38:14 112:11 114:11 106:23 113:8 necessarily (1) 94:6 10:21 113:13 5:4 12:11 114:11 10:223 115:8 116:23 115:8 116:23 115:8 116:23 115:8 116:23 115:8 116:23 115:8 116:23 115:8 116:23 115:8 116:23 115:15:11 10:13 102:23 115:8 116:23 115:8 116:23 115:15:8 116:23 115:8 116:23 115:15:8 116:23 115:8 116:23 115:15:8 116:23 115:8 116:23 115:8 116:23 115:15:1 110:24 112:14 114:11 114:11 115:15 114:11 114:11 115:15 114:11 114:11 115:15 114:11 114:11 115:15 114:11 114:11 115:15 114:11 114:11 115:15 114:11 114:11 114:11 115:15 114:11 114:11 115:15 114:11 114:11 115:15 115:21 113:15 112:14 112:14 112:14 112:14 112:14 112:14 112:14 112:14 113:10:23 115:8 116:23 115:8 116:23 115:8 116:23 115:8 116:23 115:8 116:23 115:8 116:23 115:8 116:23 115:8 116:23 115:8 116:23 115:11 114:11 114:11 115:10 12:14 114:11 114:11 114:11 115:10 12:14 112:14 114:14 101:13 5:4 12:14 101:13 5:4 12:11 114:11 114:11 114:11 114:11 115:10 12:14 114:11 114:11 114:11 1				
8:12 10:4 25:24 26:6 28:10 29:8 29:20, 25 31:18 32:9 34:4, 19 36:3 36:5 51:18, 23 55:19 56:10 73:2 Meredith (4) 41:14 46:16 47:11 48:13 methods (1) 68:3 million (3) 20:2 23:23 24:4, 23 millions (4) 20:2 23:23 24:4, 23 minutes (1) 88:20 mirroring (1) 53:4 mislead (1) 68:9 mislead (1) 103:12 misstates (2) 73:7, 8 Model (2) 73:7, 8 Models (1) 73:7 Models (1) 29:20 Models (1) 30:84 31:8 31:8 83:12 84:24 November (3) 11:3 5:4 121:11 number (2) 19:21 37:2 19:21 13:7 mecessary (1) 100:4 100:13 100:23 119:4 100:13 102:23 119:4 100:13 100:23 119:4 100:15 100:17 100:4 100:4 100:4 100:4 100:4 100:4 100:4 100:4 100:4 100:4 100:4 100:4 100:4 100:4 100:4 100:14 100:13 100:23 119:21 100:14 100				
26:6 28:10 29:8 29:20, 25 31:18 32:9 34:4, 19 36:3 36:5 51:18, 23 55:19 56:10 73:2 Motivational (1) 72:19 motivational (1) 68:3 motivation (3) 20:6 37:25 55:25 millions (4) 20:2 23:23 24:4, 23 minutes (1) 88:20 mirroring (1) 53:4 mislead (1) 68:9 mislead (1) 68:9 mislead (1) 103:12 missing (4) 103:12 missing (4) 103:12 missing (4) 103:12 missing (4) 17:3, 21 26:25 35:16 missing (4) 17:3, 21 26:25 35:16 misstates (2) 73:7, 8 Model (2) 29:22 model ing (1) 29:20 modern (1) 29:20 modern (1) 29:20 modern (1) 29:20 modern (1) 28:14 79:25 83:17 modern (1) 86:8 99:13 102:4 108:4 13:9 4:7 109:18 36:29 120:0 109:18 36:29 120:0 109:18 36:29 120:0 109:18 36:29 120:0 109:18 36:29 120:0 109:18 36:29 120:0 109:18 36:29 120:0 109:18 36:29 120:0 109:18 36:29 120:0 109:18 36:29 120:0 109:18 36:29 120:0 109:18 36:29 120:0 109:18 36:29 120:0 109:18 36:29 120:0 109:18 36:29 120:0 109:29:29:20 modern (1) 88:4				
29:20, 25 31:18 32:9 34:4, 19 36:3 36:5 51:18, 23 55:19 56:10 73:2 Meredith (4) 41:14 46:16 47:11 48:13 methods (1) 68:3 million (3) 20:6 37:25 55:25 millions (4) 20:2 23:23 24:4, 23 minutes (1) 88:20 mirroring (1) 53:4 mislead (1) 68:9 misleading (1) 103:12 misselad (1) 68:9 misleading (1) 10:21 10:21 10:21 10:21 10:21 10:21 10:21 10:21 10:21 10:21 10:21 10:21 10:21 10:21 10:21 28:14 10:23 115:8 116:23 1				
32:9 34:4, 19 36:3 36:5 51:18, 23 55:19 56:10 73:2 Meredith (4) 41:14 46:16 47:11 48:13 methods (1) 68:3 millions (4) 20:2 23:23 24:4, 23 minutes (1) 88:20 mirroring (1) 53:4 mislead (1) 103:12 missead (1) 103:12 misrepresentati 110:21 missing (4) 17:3, 21 26:25 35:16 46:21 48:14, 20, 22 47:7, 7, 23, 24 17:9 70:12 113:21 17:9 18:10:21 17:9 18:10:21 19:21 37:2 110:13 102:23 115:8 116:23 115:1 4 110:21 11:11 10:24 12:12 18 113:10 12:12				
36:5 51:18, 23 55:19 56:10 73:2 Motivational (1) 62:9 move (2) 70:12 113:7 methods (1) 68:3 millions (4) 20:2 23:23 24:4, 23 millions (4) 20:2 23:23 24:4, 23 mirroring (1) 53:4 misleading (1) 103:12 misselading (1) 103:12 misselading (1) 103:12 misselading (4) 17:3, 21 26:25 35:16 46:21 48:14, 20, 22 misstates (2) 73:7, 8 Model (2) 29:22 modeling (1) 29:22 Models (1) 29:20 Model (1) 23:7 Model (2) 29:22 Model (1) 29:20 Model (1) 23:7 Model (2) 29:22 Model (1) 29:20 Model (1) 23:7 Model (2) 29:20 Model (1) 29:24 39:12 Model (1) 29:24 39:12 Model (1) 29:24 39:12 Model (2) 29:27 Model (1) 29:24 39:12 Model (2) 29:24 39:73 Model (2) 29:24 Model (2) 29:25 Model (1) 29:24 39:12 Model (2) 29:24 Model (2) 29:25 Model (1) 29:24 39:12 Model (2) 29:24 Model (2) 29:25 Model (1) 29:24 39:12 Model (2) 29:24 Model (2) 29:25 Model (1) 29:24 39:12 Model (2) 29:24 Model (2) 29:25 Model (2) 29:26 Model (2) 29:27 Model (2) 29:29 Model (2) 29:27				
Signature Motivational (1) 62:9 Motivational (1) 62:9 Move (2) 70:12 113:7 methods (1) 68:3 million (3) 20:6 37:25 55:25 Millions (4) 20:2 23:23 24:4, 23 minutes (1) 88:20 mirroring (1) 55:34 19:3 22:9 3:2, 2, 2 4:2 5:3 119:4, 4 mislead (1) 103:12 misrepresentati 110:21 misrepresentati 110:21 misstates (2) 73:7, 8 Model (2) 29:22 73:7, 8 Model (2) 29:21, 22 Models (1) 29:20 Models (1) 29:20 Models (1) 86:8 9 Models (1) 86:9 Models (1) 66:8, 18, 23 69:21 72:20 Modelon (1) 86:9 Motivational (1) 62:9 Motivational (1) 62:9 Motivational (1) 62:9 Move (2) 70:12 113:7 medded (7) 46:14 67:20 46:14 10:13 102:23 115:8 116:23 nneeds (1) 36:23 nneeds (1) 36:23 nnegotiating (3) 31:15 43:14 54:11 Never (2) 47:8, 15 nnew (35) 1:19, 19, 22 2:7, 7 5:5, 5 13:3, 3 26:12 29:23 31:10 37:22, 23 33:10	36:5 51:18, 23		necessary (1)	
Meredith (4) 41:14 46:16 47:11 62:9 move (2) need (7) 46:14 67:10 100:4 100:4 100:4 101:13 102:23 115:8 116:23 115:2 115:21 115:8 113:12 115:8 113:12 115:8 113:12 115:8 113:12 115:8 113:12 115:8 113:12 115:8 113:12 115:8 113:12 115:8 113:12 115:12 115:12 115:12 115:12 115:12 115:12 115:12 115:12 115:12 115:12 115:12 115:12 115:12 115:12 115:12 115:12 115:12 115:12 11				nuts (1)
## ## ## ## ## ## ## ## ## ## ## ## ##		62:9		
methods (1) mp3rod@aol.com (1) 115:8 116:23 0 68:3 Million (3) 20:6 37:25 55:25 Multipage (4) 36:25 millions (4) 20:2 23:23 24:4, 23 multitude (2) 15:21 18:21 36:23 119:4, 4 minutes (1) N N N (9) 11:5 43:14 54:11 Negotiating (3) 31:15 43:14 54:11 38:14 0°clock (1) 112:18 112:18 112:18 112:18 112:18 112:18 112:18 112:18 112:18 112:18				
68:3 million (3) 20:6 37:25 55:25 millions (4) 20:2 23:23 24:4, 23 minutes (1) 88:20 mirroring (1) 53:4 2:2, 9 3:2, 2, 2 4:2 5:3 119:4, 4 name (58) misleading (1) 103:12 misrepresentati 10:21 misring (4) 17:3, 21 26:25 35:16 46:21 48:14, 20; 22 49:7, 9, 23, 24 73:7, 8 Model (2) 29:22 Multipage (4) 4:9, 12 38:17 56:6 multitude (2) 15:21 18:21 N (9) 15:21 18:21 N (9) 17:3, 23:18 26:14 28:14 29:18 32:20 33:23 34:12, 15, 17 38:5 39:10 41:16 42:10, 18 44:15, 16 35:16 46:21 48:14, 20, 22 49:7, 9, 23, 24 73:7, 8 Model (2) 29:21, 22 Models (1) 86:25 negotiating (3) 31:15 43:14 54:11 Never (2) 47:8, 15 new (35) 1:19, 19, 22 2:7, 7 5:5, 5 13:3, 3 26:12 29:23 31:10 37:22, 23 38:10 55:24 71:5 98:25 99:18 100:6, 16 101:2 102:10, 10 103:8, 11 105:2 106:14, 21:2, 3, 6, 12, 24 121:2,				121:12
Multipage (4) A:9, 12 38:17 56:6 multitude (2) 15:21 18:21 Si:25 misslead (1) Si:25 Si:24 Si:25 misslead (1) Si:25 Si:24 Si:25 S				0
20:6 37:25 55:25 millions (4) 20:2 23:23 24:4, 23 minutes (1) 88:20 mirroring (1) 53:4 mislead (1) 68:9 misleading (1) 103:12 miserpresentati 110:21 missing (4) 17:3, 21 26:25 435:16 35:16 46:21 48:14, 20, 22 misstates (2) 73:7, 8 Model (2) 29:22 Model ing (1) 29:20 Models (1) 29:20 Models (1) 20:2 23:23 24:4, 23 millions (4) 20:2 23:23 24:4, 23 millions (4) 15:21 18:21 megotiating (3) 31:15 43:14 54:11 Never (2) 47:8, 15 new (35) 11:9, 12 2:7, 7 5:5, 5 13:3, 3 119:4, 4 object (10) 72:9 87:15, 18 89:23 96:5 100:17 105:11 110:24 00:clock (1) 38:14 00ject (10) 72:9 87:15, 18 89:23 96:5 100:17 105:11 110:24 103:12 12:18 113:19 00jected (1) 72:20 0jection (2) 00jection (3) 00jecti				
millions (4) 20:2 23:23 24:4, 23 multitude (2) 15:21 18:21 15:21 43:14 54:11 88:20 N mirroring (1) N (9) 53:4 2:2, 9 3:2, 2, 2 4:2 mislead (1) 5:3 119:4, 4 68:9 name (58) misleading (1) 19:3 23:18 26:14 103:12 28:14 29:18 32:20 misrepresentati 28:14 29:18 32:20 110:21 38:5 39:10 41:16 42:10, 18 44:15, 16 42:10, 18 44:15, 16 35:16 46:21 48:14, 20, 22 misstates (2) 49:7, 9, 23, 24 73:7, 8 50:24 51:9, 9, 14 Model (2) 59:16 60:3, 6, 23 65:14, 21 67:20 65:14, 21 67:20 Models (1) 68:8, 18, 23 69:21 29:22 68:8, 18, 23 69:21 Modern (1) 74:19 75:25 83:17 29:20 68:8, 18, 23 69:21 70:20 78:23 86:11 70:14, 22, 23 100:14, 22, 23 100:14, 22, 23 100:14, 22, 23 100:14, 22, 23 100:14, 22, 23				
20:2 23:23 24:4, 23			36:23	119:4,4
minutes (1) N N (9) 31:15 43:14 54:11 38:14 object (10) 53:4 mislead (1) 5:3 119:4,4 name (58) 5:3 119:4,4 name (58) 14:16 16:24 18:11 nosing (4) 1:19,3 23:18 26:14 new (35) 11:19,3 22 2:7,7 name (58) 15:5,5 13:3,3 nobjected (1) 105:11 110:24 nobject (10) 105:11 110:24 nobject (10) 105:11 110:24 new (35) 105:11 110:24 new (35) 11:19,19,22 2:7,7 new (35) 105:11 110:24 new (35) 11:19,5 13:3,3 new (35) 11:19,5 13:3,3 new (35) 11:19,5 13:3,3 new (35) 11:19,5 13:3,3 new (35:12 new (35) 11:19,19,22 2:7,7 new (35) 105:11 110:24 new (35) 11:19,19,22 2:7,7 new (35) 105:11 110:24 new (10 new (10 new (10 n				
mirroring (1) N (9) 47:8, 15 72:9 87:15, 18 mislead (1) 2:2, 9 3:2, 2, 2 4:2 new (35) 1:19, 19, 22 2:7, 7 105:11 110:24 68:9 name (58) 14:16 16:24 18:11 19:3 23:18 26:14 26:12 29:23 31:10 37:22, 23 38:10 0bjected (1) 103:12 33:23 34:12, 15, 17 38:5 39:10 41:16 55:24 71:5 98:25 99:18 100:6, 16 0bjecting (2) missing (4) 38:5 39:10 41:16 42:10, 18 44:15, 16 42:10, 18 44:15, 16 101:2 102:10, 10 0bjection (24) 35:16 46:21 48:14, 20, 22 49:7, 9, 23, 24 106:6 120:3, 4 121:2, 3, 6, 12, 24 71:14 73:7 74:3 Model (2) 59:16 60:3, 6, 23 65:14, 21 67:20 65:14, 21 67:20 68:8, 18, 23 69:21 78:23 86:11 100:14, 22, 23 Models (1) 68:8, 18, 23 69:21 78:23 86:11 79:18 objections (2) 79:20 78:23 86:11 78:23 86:11 70:14 </th <th></th> <th></th> <th>31:15 43:14 54:11</th> <th></th>			31:15 43:14 54:11	
53:4 2:2,9 3:2,2,2 4:2 new (35) 89:23 96:5 100:17 68:9 name (58) 14:16 16:24 18:11 26:12 29:23 31:10 105:11 110:24 misrepresentati 28:14 29:18 32:20 33:23 34:12,15,17 38:5 39:10 41:16 55:24 71:5 98:25 99:18 100:6,16 0bjecting (2) 17:3, 21 26:25 35:16 46:21 48:14, 20,22 49:7,9,23,24 106:6 120:3,4 71:14 73:7 74:3 73:7,8 50:24 51:9,9,14 57:9 59:3, 12, 14 15:23 66:22 106:6 120:3,4 76:16,21 80:21 Model (2) 29:21,22 59:16 60:3,6,23 106:4 62:2 65:7,8 88:6 97:19 98:23 Models (1) 68:8,18,23 69:21 78:23 86:11 100:14,22,23 Models (1) 68:8,18,23 69:21 78:23 86:11 100:14,22,23 Modern (1) 68:8 99:13 102:4 40:7,10,13 13:9 0bjections (2) 100:14,22,23 100:14,22,23 100:14,22,23 100:3 113:21 100:14,22,23 100:3 113:21 29:20 68:8,18,23 69:21 78:23 86:11 100:3 113:21 80:20 65:14, 21 67:20 68:8 99:13 102:4 100:3 13:3:21 80:10 65:10,29:24 39:12 100:3 13:3:21 <th>I</th> <th></th> <th></th> <th></th>	I			
mislead (1) 5:3 119:4,4 name (58) 1:19,19,22 2:7,7 sis, sis, sid name (58) 1:19,19,22 2:7,7 sis, sid name (58) 105:11 110:24 sid name (1) 103:12 sid name (58) 14:16 16:24 18:11 sid name (1) 1:19,19,22 2:7,7 sid name (2) 105:11 110:24 sid name (1) 112:18 113:19 objected (1) 112:18 113:19 objected (1) 12:18 113:19 objection (2) 12:18 113:19 o				
68:9 name (58) 5:5, 5 13:3, 3 112:18 113:19 misleading (1) 14:16 16:24 18:11 26:12 29:23 31:10 72:20 misrepresentati 28:14 29:18 32:20 33:23 34:12, 15, 17 38:5 39:10 41:16 55:5, 5 13:3, 3 112:18 113:19 objected (1) missing (4) 38:5 39:10 41:16 42:10, 18 44:15, 16 46:21 48:14, 20, 22 99:18 100:6, 16 80:17, 18 objection (24) 35:16 46:21 48:14, 20, 22 49:7, 9, 23, 24 106:6 120:3, 4 71:14 73:7 74:3 74:3 Model (2) 57:9 59:3, 12, 14 59:16 60:3, 6, 23 65:14, 21 67:20 65:14, 21 67:20 68:8, 18, 23 69:21 78:23 86:11 100:14, 22, 23 Models (1) 68:8, 18, 23 69:21 74:19 75:25 83:17 86:8 99:13 102:4 Nos (6) 100:3 113:21 objections (2) 100:14, 22, 23 100:14, 22, 23 100:3 113:21 objections (2) 100:3 17:109:18 100:3 13:21 objections (2) 100:3 17:109:18 100:3 17:109:18 100:3 17:109:18 100:3 17:109:18 100:3 17:109:18 100:4 100:3 13:21 100:3 13:21 00:3 13:21 100:3 17:109:18 100:4 100:3 13:21				
misleading (1) 14:16 16:24 18:11 26:12 29:23 31:10 objected (1) 103:12 19:3 23:18 26:14 37:22, 23 38:10 72:20 misrepresentati 28:14 29:18 32:20 55:24 71:5 98:25 objecting (2) 110:21 33:23 34:12, 15, 17 99:18 100:6, 16 80:17, 18 missing (4) 42:10, 18 44:15, 16 101:2 102:10, 10 54:7, 13, 25 70:14 35:16 46:21 48:14, 20, 22 106:6 120:3, 4 71:14 73:7 74:3 misstates (2) 49:7, 9, 23, 24 121:2, 3, 6, 12, 24 76:16, 21 80:21 73:7, 8 50:24 51:9, 9, 14 123:5 88:6 97:19 98:23 Model (2) 57:9 59:3, 12, 14 23:5 100:14, 22, 23 29:21, 22 59:16 60:3, 6, 23 54:4 101:6, 13 102:16 Models (1) 65:14, 21 67:20 68:8, 18, 23 69:21 78:23 86:11 100:3 113:21 29:20 74:19 75:25 83:17 78:23 86:11 100:3 113:21 modern (1) 86:8 99:13 102:4 77:10, 13 13:9 100:3 113:21 23:7 108:4 65:10 29:24 39:12				
103:12 19:3 23:18 26:14 37:22,23 38:10 72:20 misrepresentati 28:14 29:18 32:20 55:24 71:5 98:25 objecting (2) 110:21 33:23 34:12,15,17 99:18 100:6,16 80:17,18 missing (4) 38:5 39:10 41:16 101:2 102:10,10 103:8,11 105:2 35:16 42:10,18 44:15,16 103:8,11 105:2 54:7,13,25 70:14 35:7,8 49:7,9,23,24 106:6 120:3,4 71:14 73:7 74:3 73:7,8 50:24 51:9,9,14 121:2,3,6,12,24 81:22 86:23 87:25 Model (2) 57:9 59:3,12,14 23:5 88:6 97:19 98:23 29:21, 22 59:16 60:3,6,23 nonstop (1) 10:14,22,23 Models (1) 65:14,21 67:20 68:8,18,23 69:21 78:23 86:11 100:14,22,23 Models (1) 68:8,9:13 102:4 78:23 86:11 0bjections (2) 100:3 113:21 0bjections (2) 100:14,02,23 100:14,22,23 100:14,22,23 100:14,22,23 100:14,22,23 100:16,13 102:16 103:17 109:18 100:13:17 109:18 100:13:17 109:18 100:3 113:21 100:10:10:10:10:10:10:10:10:10:10:10:10:				
misrepresentati 28:14 29:18 32:20 55:24 71:5 98:25 objecting (2) 110:21 33:23 34:12,15,17 99:18 100:6,16 80:17,18 missing (4) 38:5 39:10 41:16 101:2 102:10,10 0bjection (24) 17:3, 21 26:25 42:10,18 44:15,16 103:8,11 105:2 54:7,13,25 70:14 35:16 46:21 48:14, 20, 22 106:6 120:3,4 71:14 73:7 74:3 misstates (2) 49:7,9,23,24 121:2,3,6,12,24 76:16,21 80:21 73:7,8 50:24 51:9,9,14 newspapers (1) 81:22 86:23 87:25 88:6 97:19 98:23 88:6 97:19 98:23 100:14,22,23 100:14,22,23 100:14,22,23 101:6,13 102:16 103:17 109:18 103:17 109:18 103:17 109:18 105:2 100:3 113:21 100:3 113:21 106:6 120:3,4 101:6,13 102:16 103:17 109:18 106:6 120:3,4 100:14,22,23 100:14,22,23 106:6 120:3,4 100:14,22,23 100:14,22,23 100:14,22,23 100:14,22,23 100:14,22,23 106:6 120:3,4 100:14,22,23 100:14,22,23 106:6 120:3,4 100:14,22,23 100:14,22,23 106:				
110:21 33:23 34:12,15,17 99:18 100:6,16 80:17,18 missing (4) 38:5 39:10 41:16 101:2 102:10,10 54:7,13,25 70:14 17:3,21 26:25 42:10,18 44:15,16 103:8,11 105:2 54:7,13,25 70:14 35:16 46:21 48:14,20,22 106:6 120:3,4 71:14 73:7 74:3 misstates (2) 49:7,9,23,24 121:2,3,6,12,24 76:16,21 80:21 73:7,8 50:24 51:9,9,14 newspapers (1) 81:22 86:23 87:25 88:6 97:19 98:23 88:6 97:19 98:23 29:21,22 59:16 60:3,6,23 nonstop (1) 100:14,22,23 model ing (1) 65:14,21 67:20 68:8,18,23 69:21 78:23 86:11 100:317 109:18 29:20 74:19 75:25 83:17 Nos (6) 100:3 113:21 modern (1) 86:8 99:13 102:4 4:7,10,13 13:9 6:10 29:24 39:12				
missing (4) 38:5 39:10 41:16 101:2 102:10,10 objection (24) 17:3,21 26:25 42:10,18 44:15,16 103:8,11 105:2 54:7,13,25 70:14 35:16 46:21 48:14,20,22 106:6 120:3,4 71:14 73:7 74:3 misstates (2) 49:7,9,23,24 121:2,3,6,12,24 76:16,21 80:21 73:7,8 50:24 51:9,9,14 10:2 10:2 10.10 76:16,21 80:21 86:24 51:9,9,14 57:9 59:3,12,14 10:2 10:2 10.10 76:16,21 80:21 86:24 51:9,9,14 57:9 59:3,12,14 10:2 10:2 10.10 76:16,21 80:21 86:9:16 60:3,6,23 10:4 62:2 65:7,8 10:4 62:2 65:7,8 88:6 97:19 98:23 86:8,18,23 69:21 78:23 86:11 10:6,13 102:16 86:8,18,23 69:21 78:23 86:11 10:3 17 109:18 86:8 99:13 102:4 4:7,10,13 13:9 10:3 13:21 86:8 99:13 102:4 4:7,10,13 13:9 10:10:2 10:2 10:2 10:2 10:2 10:2 10:2 10				
17:3, 21 26:25 42:10, 18 44:15, 16 103:8, 11 105:2 54:7, 13, 25 70:14 35:16 46:21 48:14, 20, 22 106:6 120:3, 4 71:14 73:7 74:3 misstates (2) 49:7, 9, 23, 24 121:2, 3, 6, 12, 24 76:16, 21 80:21 73:7, 8 50:24 51:9, 9, 14 newspapers (1) 81:22 86:23 87:25 Model (2) 59:16 60:3, 6, 23 nonstop (1) 100:14, 22, 23 29:21, 22 59:16 60:3, 6, 23 normstop (1) 101:6, 13 102:16 29:22 65:14, 21 67:20 normal (2) 78:23 86:11 Models (1) 74:19 75:25 83:17 Nos (6) 100:3 113:21 29:20 86:8 99:13 102:4 4:7, 10, 13 13:9 0bjections (2) 100:3 113:21 0bviously (5) 6:10 29:24 39:12				
35:16 46:21 48:14, 20, 22 106:6 120:3, 4 71:14 73:7 74:3 misstates (2) 49:7, 9, 23, 24 121:2, 3, 6, 12, 24 76:16, 21 80:21 73:7, 8 50:24 51:9, 9, 14 newspapers (1) 81:22 86:23 87:25 Model (2) 59:16 60:3, 6, 23 nonstop (1) 100:14, 22, 23 29:21, 22 59:16 60:3, 6, 23 nonstop (1) 100:14, 22, 23 Models (1) 65:14, 21 67:20 normal (2) 100:14, 22, 23 Models (1) 68:8, 18, 23 69:21 78:23 86:11 nojections (2) 29:20 74:19 75:25 83:17 Nos (6) 100:3 113:21 modern (1) 86:8 99:13 102:4 4:7, 10, 13 13:9 obviously (5) 23:7 38:18 56:7 6:10 29:24 39:12				
misstates (2) 49:7,9,23,24 121:2,3,6,12,24 76:16,21 80:21 73:7,8 50:24 51:9,9,14 81:22 86:23 87:25 Model (2) 57:9 59:3,12,14 23:5 88:6 97:19 98:23 29:21,22 59:16 60:3,6,23 nonstop (1) 100:14,22,23 model ing (1) 65:14,21 67:20 normal (2) 101:6,13 102:16 29:22 68:8,18,23 69:21 78:23 86:11 objections (2) 74:19 75:25 83:17 76:16,21 80:21 81:22 86:23 87:25 88:6 97:19 98:23 100:14,22,23 101:6,13 102:16 100:14,22,23 100:14,22,23 100:3 17 109:18 100:14,22,23 100:3 17 109:18 100:3 17 109:18 100:3 113:21 100:3 113:21 100:3 113:21 100:3 113:21 100:3 12:4 100:3 12:4 100:3 12:4 100:3 12:4 100:3 12:4 100:3 12:4 100:3 12:4 100:3 12:4 100:14,22,23 100:14,22,23 100:3 17 109:18 100:14,22,23 100:3 13:21 100:3 13:21 100:15,13 100:3 13:21 100:3 13:21 100:16,13 100:3 13:21 100:3 13:21 100:16,13		,		
73:7,8 50:24 51:9,9,14 newspapers (1) 81:22 86:23 87:25 Model (2) 57:9 59:3,12,14 23:5 88:6 97:19 98:23 29:21,22 59:16 60:3,6,23 nonstop (1) 100:14,22,23 30:24 65:14,21 67:20 normal (2) 103:17 109:18 Models (1) 68:8,18,23 69:21 78:23 86:11 normal (2) 29:20 74:19 75:25 83:17 Nos (6) 100:3 113:21 modern (1) 86:8 99:13 102:4 4:7,10,13 13:9 obviously (5) 23:7 38:18 56:7 6:10 29:24 39:12	1		· ·	
Model (2) 57:9 59:3, 12, 14 23:5 88:6 97:19 98:23 29:21, 22 59:16 60:3, 6, 23 nonstop (1) 100:14, 22, 23 modeling (1) 61:4 62:2 65:7, 8 54:4 101:6, 13 102:16 29:22 68:8, 18, 23 69:21 78:23 86:11 0bjections (2) Models (1) 78:23 86:11 0bjections (2) 29:20 74:19 75:25 83:17 Nos (6) 100:3 113:21 modern (1) 86:8 99:13 102:4 4:7, 10, 13 13:9 0bviously (5) 23:7 108:4 38:18 56:7 6:10 29:24 39:12				
29:21, 22 59:16 60:3, 6, 23 nonstop (1) 100:14, 22, 23 modeling (1) 61:4 62:2 65:7, 8 54:4 101:6, 13 102:16 29:22 65:14, 21 67:20 normal (2) 103:17 109:18 Models (1) 68:8, 18, 23 69:21 78:23 86:11 objections (2) 29:20 74:19 75:25 83:17 Nos (6) 100:3 113:21 modern (1) 86:8 99:13 102:4 4:7, 10, 13 13:9 obviously (5) 23:7 108:4 38:18 56:7 6:10 29:24 39:12				
29:22 65:14, 21 67:20 normal (2) 103:17 109:18 Models (1) 68:8, 18, 23 69:21 78:23 86:11 objections (2) 29:20 74:19 75:25 83:17 Nos (6) 100:3 113:21 modern (1) 86:8 99:13 102:4 4:7, 10, 13 13:9 obviously (5) 23:7 108:4 38:18 56:7 6:10 29:24 39:12	29:21,22		nonstop (1)	
Models (1) 68:8, 18, 23 69:21 78:23 86:11 objections (2) 29:20 74:19 75:25 83:17 Nos (6) 100:3 113:21 modern (1) 86:8 99:13 102:4 4:7, 10, 13 13:9 obviously (5) 23:7 38:18 56:7 6:10 29:24 39:12		,		
29:20 74:19 75:25 83:17 86:8 99:13 102:4 100:3 113:21 modern (1) 86:8 99:13 102:4 108				
modern (1) 86:8 99:13 102:4 4:7, 10, 13 13:9 obviously (5) 23:7 38:18 56:7 6:10 29:24 39:12				
23:7 108:4 38:18 56:7 6:10 29:24 39:12				
Notary (4) 40:13 02:2	1			
	moment (1)	Hailieu (1)	Notary (4)	40:10 02:2

	1	1	
offer (5)	80:13 113:14	overseen (1)	10:14
6:14 13:5 20:18	opposer (4)	82:8	pardon (1)
61:17 86:18	1:5, 18 2:5 71:24	oversight (2)	80:8
1		25:5 77:7	Park (8)
offered (7)	opposer's (1)		
4:3 5:15 15:13	6:5	owned (2)	1:19 2:6 26:20, 20
28:21 67:19,22	opposing (7)	9:15 61:23	28:2 60:24 61:3
116:20	63:11 64:24 74:4	owner (6)	121:12
offering (6)	75:6 76:22 79:15	16:19 29:16 35:17	part (25)
15:9 19:13 21:13	79:22	73:14 110:22	8:25 41:5,5 44:8
50:19 115:9 117:2	opposition (9)	115:23	44:14, 15, 21 46:18
offhand (7)	1:6 64:11 76:25	owns (4)	46:21 47:14 48:15
33:8 71:16 73:19	77:4, 13 89:9 90:4	35:17 57:21 73:3	48:15, 22 50:24
83:9 84:7 91:12	90:25 98:2	108:4	51:9 52:6 55:8
114:12	oppositions (3)	P	58:4 59:14 60:4
office (6)	11:4 63:9 71:12		62:3, 18 80:6
1:2 5:25 13:17	options (1)	P (4)	85:16 108:5
53:7 82:18 87:13	71:21	2:2, 2 5:3 119:4	particular (5)
offices (3)	order (2)	p.m (3)	48:6 58:24 60:17
1:18 13:2 121:11	5:20, 23	107:9,9 119:5	64:4 75:14
0h (1)	Oregon (1)	P. 0 (1)	particularly (1)
17:6	97:9	2:18	69:14
		page (36)	
okay (26)	organization (33)		parties (7)
6:16 17:22 45:4	6:23, 25 7:4, 8 9:13	3:11, 12, 15 4:19	5:13, 19, 21 23:13
47:3 56:3 70:18	9:14 10:5 12:24	41:7 42:5, 14 43:3	48:2 121:16, 16
72:25 73:15, 20	13:2, 18 14:4, 16	43:16, 18 45:5, 15	parts (1)
74:5 79:13 80:11	15:8, 10, 13, 17	46:9 47:4 48:8	55:14
81:8 82:17 85:8	16:17, 19, 20, 21	49:2, 15 56:25	party (3)
86:16 97:14	19:12 23:15 29:17	57:3, 4, 6, 10, 13, 14	
104:22 105:14	50:8 53:10 58:23	57:15 58:18, 19°	Patent (3)
109:21, 25 111:5	59:19 61:17 65:12	59:8, 9, 20 60:17	1:2 5:24 13:17
		60:20 67:3 68:14	Pause (25)
111:23 118:10,11	68:11,22 75:21		
119:2	101:24	69:16 120:7	36:13 38:6, 24
once (1)	original (1)	pageants (2)	48:25 67:11 70:20
106:25	65:4	29:15 30:21	72:24 77:9 82:2
ones (2)	outcome (1)	pages (4)	84:20 92:7 94:9
88:15, 16	121:18	38:23 39:19 59:20	98:21, 24 99:6, 11
online (1)	outside (9)	67:9	101:19 103:23
33:15	11:10 24:12 62:24	paid (2)	105:7 112:17
operates (2)	63:2, 17, 21 64:2	103:13 111:17	113:24 114:9, 13
53:15 57:21	81:25 88:23	Palm (1)	114:25 115:20
		27:10	
operating (1)	outstanding (1)		pay (1)
102:14	87:3	Panama (1)	111:15
opinion (10)	overall (1)	28:8	pending (2)
72:18 82:15,16	70:6	panel (1)	87:4 99:5
83:20, 21, 22 84:13	overcome (1)	53:11	people (19)
102:23 103:10	42:3	panes (1)	10:22 22:17 25:4,9
116:9	oversee (5)	52:24	31:6 41:2 50:18
opportunity (1)	10:15 12:12 21:20	papers (3)	52:7 55:13, 16, 17
110:17	21:23 74:10	77:3 79:19 80:19	55:17 61:22 82:25
	overseeing (7)	paralegal (3)	84:15 102:9
oppose (3)			
63:15 88:16 89:4	7:19 9:5 10:23, 24	10:18, 20, 21	103:13 111:15
opposed (2)	11:20 12:3 25:10	paralegals (1)	112:15
	l		

, , , , , ,	00.40.440.0.44	, , ,	
percentage (2)	92:18 110:8,16	predecessor (2)	probably (11)
24:6,7	117:8	76:3,4	19:23 20:3,8 24:11
perfectly (1)	plaintiff's (1)	predominantly (1)	75:25 86:11,12
90:13	92:17	15:19	95:15 100:9
perform (1)	planning (3)	preference (1)	108:12 114:16
10:8	15:4,20 35:24	115:3	problem (1)
period (3)	play (1)	prepare (1)	71:3
6:5 92:18 112:3 person (5)	92:13	12:19	procedures (1)
	playoff (1)	prepared (1)	22:10
12:2 40:11 102:3	83:18	87:17 presence (1)	proceed (2)
104:19, 20	Plaza (5)		101:16 113:22
personal (1)	1:19 2:6 26:19	121:13	proceeding (5)
51:16 personally (10)	28:5 121:12	present (1)	5:22 64:8 98:2
	pleas (2)	115:25	101:4 104:14
35:22 71:13 74:7	76:23 77:5	president (2)	process (3)
82:22, 23 89:21	please (7)	86:13 92:21	22:12 25:23 88:18 produce (1)
90:3 102:7, 13	47:4 49:3 51:3	President/Found	
109:8 perspective (2)	79:8 101:16	44:19	93:14
	105:17 106:5	pretty (6)	produced (2)
9:2,5	plus (1)	21:6 22:7 23:10	30:2, 15
petition (2)	18:12	24:17 25:22 33:20 prevail (7)	producer (3)
78:3 103:22	point (5)		16:15 29:12 30:6
Philadelphia (2)	67:17 74:24 78:7	43:13 44:2 46:25	produces (2)
27:12 59:12	78:10 99:8	54:8,9,21 107:4	16:14 29:13
Phillips-Van (1)	police (5)	prevailed (1)	producing (1)
32:25 phone (1)	22:5, 18 23:12	47:25	89:12
	62:19 63:4	previously (2)	product (5)
83:2	policing (5)	29:20 74:19	9:8 16:6 17:3,8
phoned (2)	11:5 62:16, 16, 22	primary (1)	22:24
82:18, 23	113:13	8:22	production (5)
phrase (1)	popular (1)	principal (4)	30:11,19,19 31:3,4 productions (8)
98:5	55:20	4:6 13:8 29:16	
pick (1)	portfolio (8)	106:25	16:14 29:12, 25
88:15	8:4 10:24 11:15, 18	principally (6)	30:4,6,7,10,14
picked (2)	11:21 12:7, 13	9:14 10:22 11:20	products (22)
86:12, 15 picture (7)	82:8 portion (2)	12:3, 14 61:23	16:8 17:9, 14, 17
37:5 38:4 58:13, 24	19:18 107:24	printed (1) 91:21	18:2, 4, 16 20:18 21:13, 15 22:15
61:4 64:22 91:8 pictures (1)	position (3)	printout (1)	28:21 31:23 33:14
	7:3,7 9:24	91:18	33:21 35:5,11
41:2	possession (1)	prior (6)	46:6 51:15, 15, 16
pieces (2)	109:11	45:11, 14 75:22	66:20
52:21,22	possibly (1)	76:9 77:5, 16	program (3)
pillars (1)	33:22	private (3)	61:21,21 68:6
53:15	potentially (1)	21:16 101:21,25	project (4)
pinpoint (1)	63:15	privilege (6)	22:5 24:20 54:12
23:20	power (3)	6:12 72:22 115:18	58:12
Place (1)	41:22 52:3 53:16	115:23,24 116:2	projects (8)
26:23	practicing (1)	privileged (2)	21:4, 21 22:16
places (2)	94:22	6:12 72:11	34:25 46:5 48:6, 7
27:18 33:4	predates (1)	pro (1)	66:19
plaintiff (4)	75:19	33:18	prominent (13)
			-

			rage 10
29.25.29.4.40.21	74 . 10 . 107 . 10		(1)
28:25 38:4 40:21	76:12 107:13	R	reasons (1)
41:18 42:12 43:22	109:5 111:7	R (6)	120:6
45:25 46:21 47:17	119:19 121:5	2:2 5:3 119:4	rebuttal (2)
48:17 49:8, 22	publicity (1)	120:2, 2 121:1	110:13, 17
51:11	106:18	racketeering (2)	recall (9)
prominently (20)	publicly (2)	108:9, 11	64:25 71:16 74:9
16:24 18:11 36:6	57:21 100:6	radio (1)	77:20, 23 78:6
37:2, 4 40:17, 18	published (1)	23:4	80:20 90:5,8
42:19 43:21 44:25	37:18	Rainoff (3)	receive (1)
45:22 46:20 48:14	Puerto (1)	1:20 121:4, 23	21:2
48:21 50:22 52:12	60:9	ran (3)	received (4)
58:4 59:3, 14 65:9	pull (1)	16:16 30:25 31:8	49:7, 12 50:14 83:2
promote (3)	75:9	read (25)	Recess (2)
18:3 21:9 62:11	purpose (1)	15:2 39:15 41:8	61:11 107:9
promoted (1)	107:22	42:6, 14 43:2, 6, 16	recognize (6)
7:13	purposes (3)	45:7, 16 46:10	13:12 38:22,25
promotion (1)	35:24 55:9,13	47:5 48:8 49:2, 15	56:14 64:18 85:19
58:5	pursuant (2)	51:3 69:15 79:19	recollection (2)
proper (2)	34:11 115:25	80:24 95:2 102:17	77:11 87:9
25:7,12	put (2)	102:21, 24 106:5, 8	record (20)
properties (10)	19:20 116:18	reader (1)	12:25 15:3 71:13
15:7 26:11, 14 40:3		39:23	71:17, 20 72:6
41:20 50:5 51:23	Q	reader's (2)	76:7,8 78:8 80:25
51:24 56:11 66:11	qualified (1)	46:2 48:18	88:7 90:11 94:10
property (10)	31:17		94:24 112:24
7:22, 24 8:7 10:18	question (38)	readers (1) 43:25	113:4 116:15, 16
10:19 11:8, 22	6:8 24:21 39:18		116:18 121:9
12:4 64:10 68:25	72:12 74:6 80:7	reading (2)	records (3)
protect (3)	81:24 84:9, 14, 19	56:25 85:5	73:22 76:12 100:7
11:21 22:5 63:4	85:2, 10, 11 87:3, 8	reads (1)	redundant (1)
protected (1)	96:10 104:5, 10, 14	57:14	113:6
111:8	104:25 105:5, 6, 15	real (23)	Reed (131)
protection (1)	105:17, 18, 24	9:7 14:9 15:4, 19	1:19 2:4,9 3:11
88:9	106:2, 5, 7, 14, 15	15:22, 23, 24, 24, 25	5:11, 18 6:1, 3, 16
protections (1)	111:22 112:9, 11	15:25 16:2 21:15	6:18 7:1 8:1 9:1
25:13	115:10 116:6, 21	22:3 26:6, 11	10:1 11:1, 11 12:1
protective (2)	117:23	36:22 45:19 46:5	13:1, 5, 11 14:1
5:20, 23	questioning (7)	46:15 50:14 51:15	15:1 16:1 17:1
protocols (1)	96:6 97:19 100:14	58:22 103:14	18:1 19:1 20:1
75:4	101:10, 11 112:21	reality (1)	21:1 22:1 23:1
proved (1)	113:8	30:15	24:1 25:1 26:1
96:21	questions (23)	really (11)	27:1 28:1 29:1
provide (3)	70:11 72:17 81:13	12:2 44:24 70:25	30:1 31:1 32:1
53:20 90:14 114:23	92:22 97:23	85:4, 9 86:10 90:5	33:1 34:1 35:1
provided (1)	100:19, 24 101:17	100:11 104:4	36:1 37:1 38:1, 16
6:10	104:18, 20 114:22	112:8 113:6	38:20 39:1 40:1
providing (2)	115:10 116:5, 11	Realtime (2)	41:1 42:1 43:1
25:8 43:25	116:12 117:4, 6, 7	1:21 121:5	44:1 45:1 46:1
PTO (3)	117:10, 16, 25	Realty (4)	47:1 48:1 49:1
4:5 13:8 63:8	118:5,8	19:8 58:21, 22 59:4	50:1 51:1 52:1
public (9)	quite (1)	reason (8)	53:1 54:1 55:1,3
1:21 40:12 73:22	32:15	82:12 120:9, 11, 13	
1.21 40.12 /3.22	02.10	120:15, 17, 19, 21	56:1, 4, 9 57:1
	<u> </u>		

			1 480 17
58:1 59:1 60:1	regardless (1)	74:16	77:5
61:1, 9, 12 62:1	116:6	Reporter (3)	rich (4)
	1	1:21 106:4 121:5	
63:1 64:1, 12, 17	Register (2)		39:9 40:8, 16 41:12
65:1 66:1, 21 67:1	4:6 13:9	representative (1)	Rico (5)
67:6 68:1 69:1	registered (11)	39:4	60:9 96:15 107:19
70:10, 16 71:14	14:8, 11, 18 19:10	represents (3)	108:8 113:15
72:9 73:7 74:3,6	60:3 73:16 74:2	6:6 14:2 68:24	right (14)
76:16, 21 77:18	74:20 83:7 84:3	requests (4)	26:16 29:14, 21
78:11 81:22 86:22	84:21	78:18, 24 93:10, 13	33:24 41:3 51:12
87:18 88:4 90:10	registration (6)	required (1)	51:20 93:3 97:8
96:5 97:18 98:23	11:5 13:16, 19	102:15	98:9 107:16 109:7
100:13, 23 101:8	74:20 85:4,5	requirements (1)	109:23 115:6
101:15 102:16	registrations (2)	22:7	rightfully (1)
			72:20
103:17 104:11, 22	63:20 113:13	research (3)	
105:11, 14 106:4	reiterate (3)	111:2, 10, 11	rights (4)
106:13 107:7	97:18 100:13 101:9	residential (4)	11:22 83:25 89:6
109:18 110:24	relate (1)	15:6_26:10_60:25	92:12
112:18, 25 113:3	61:15	61:5	rigorous (1)
113:18, 23 116:8	related (4)	resigned (2)	22:7
116:14, 19 119:2	53:24 62:7 100:20	78:7, 10	ripoff (1)
121:12	121:15	resolved (1)	68:25
reed@hugheshubb	relates (3)	90:22	RMR (1)
2:11	7:23 96:3 97:20	Resorts (4)	121:23
refer (3)	relating (1)	57:15, 19, 20 58:2	Rod (3)
14:17 81:24 103:18	61:18	respect (2)	2:16, 21 121:13
referring (6)	relation (1)	65:21 113:15	role (1)
56:23, 24 95:9 97:7	110:22	respond (1)	62:15
102:8 103:9	1	88:3	room (2)
	relevancy (7)		
refers (1)	54:7, 13, 25 70:15	respondent (1)	29:3 88:19
15:17	71:14 96:7 98:23	65:4	ruled (1)
reflect (1)	relevant (1)	response (1)	102:13
65:12	99:25	116:23	run (4)
reflection (1)	remains (1)	responsibilitie	9:15, 19 22:12 63:5
53:14	55:23	7:16 10:4,8 62:19	running (2)
reflective (1)	remember (14)	responsible (2)	30:6 31:9
54:19	73:12 77:2 83:3	7:18, 19	runs (3)
refresh (2)	87:2 90:19, 22, 24	rest (1)	9:8 31:10 55:6
86:19 87:9	96:9 98:7, 20, 22	24:12	
refresher (1)	102:18 105:23, 25	restate (1)	S
86:25	remind (1)	73:10	S (3)
refuse (1)	101:13	revenue (1)	2:2 4:2 102:4
104:14	repeatedly (1)	24:8	sale (1)
refusing (1)	113:20	revenues (2)	31:25
118:4	rephrase (8)	19:12 21:3	sales (15)
regarded (1)	17:24 104:10, 11, 11	review (9)	19:19 21:5, 11, 19
55:11	104:25 105:4,16	25:5 63:25 64:2	21:20, 23 22:8, 14
regarding (14)	106:14	76:23 77:3, 16, 19	22:19 23:3, 16
72:17 73:11, 16	rephrasing (1)	78:23 86:4	42:23 58:7 62:3
80:12 81:10, 16, 21	104:9	reviewed (5)	70:3
82:4, 5 91:17	replaced (2)	12:21 77:12, 20, 22	Saltos (2)
92:22 95:7 99:2	78:8, 11	77:24	2:12 78:14
100:19	report (1)	reviewing (1)	saltos@hugheshu
	l	l	l

			Tago To
2.14	+:\\ (1)	107.7	22.20
2:14	selective (1)	107:7	33:20
sample (1)	89:3	shots (1)	skills (2)
39:4	sell (4)	67:13	31:15, 15
San (6)	18:2 20:18, 22 32:4	show (10)	slightly (1)
94:18 97:12,13	seller (4)	16:15 30:23 31:7,8	97:5
100:6, 15, 25	37:22, 24 38:11	31:12, 16 45:2	Small (1)
sanctioned (1)	55:25	80:24 87:8 90:12	45:20
104:18	selling (1)	showing (1)	snapshot (6)
sanctions (2)	69:3	91:4	56:18 57:7,17
105:9, 19	sending (2)	shown (1)	58:20, 24 59:11
sat (2)	11:2 63:5	91:8	so-called (2)
38:14 103:4	senior (1)	shows (10)	79:23 111:16
saw (1)	11:13	16:14 29:14 30:15	social (3)
82:18	sentence (3)	30:16 57:6,16	21:17 23:8 68:3
says (13)	85:12 106:9, 19	58:19, 24 59:9, 21	software (1)
13:21, 25 14:23	separate (4)	side (7)	89:11
15:4 30:9 43:6,8	10:20 23:21 34:17	8:9 15:22, 23 26:24	Soho (1)
44:18 53:9 68:14	114:6	27:19 28:3 54:9	27:25
69:20, 23 103:20	series (5)	sign (1)	sold (8)
	29:14 32:15 35:4	52:14	31:25 32:2 33:10
schedule (1)	46:23 67:12		33:14 34:9 35:7
97:6		Signature (2)	
Schneiderman (4)	serious (3)	32:21, 22	37:25 56:2
99:12, 14, 15 103:12	95:6, 23, 24	signed (1)	sole (1)
school (3)	seriously (2)	38:15	109:13
98:8, 17 102:15	88:11 95:8	significance (1)	solely (1)
scope (1)	Serta (1)	96:23	10:18
6:9	35:7	signing (2)	somebody (1)
Scotland (1)	Seruga (1)	38:9, 12	86:3
27:17	74:19	similar (5)	son (1)
screen (1)	Service (2)	46:3,8 49:8 59:4	11:19
67:13	4:6 13:8	98:10	sorry (8)
se (1)	services (18)	Simple (1)	17:6 54:17 56:13
92:11	15:6, 7, 9, 12 16:13	105:4	79:6 84:11 86:22
seasons (3)	18:3 19:14 21:9	simply (1)	101:15 103:22
16:16 31:2,9	23:17 26:2 29:7	80:4	sort (94)
	61:16 63:18 67:19		
seats (1)		Single-page (4)	8:6 10:10, 14 11:7
70:19	67:22 83:4 89:11	4:5, 15 13:7 64:14	11:19, 24 12:10, 12
second (2)	113:11	Sir (1)	12:15 14:9 17:4
5:18 65:16	set (3)	102:12	18:7, 17, 24 19:20
(4.4)	80:19 121:8, 19	sit (6)	22:5, 11, 23 23:2
see (14)			
11:3 13:19 14:18	shade (1)	53:8 75:2 78:19	23:21 24:6, 17
11:3 13:19 14:18	shade (1)	53:8 75:2 78:19	23:21 24:6,17 25:8,10,19 28:21 31:11,16 33:2
11:3 13:19 14:18 14:22 43:2 58:13 59:15 68:20 69:9	shade (1) 49:12 shades (1)	53:8 75:2 78:19 89:16 93:22 115:9 sits (1)	25:8, 10, 19 28:21 31:11, 16 33:2
11:3 13:19 14:18 14:22 43:2 58:13 59:15 68:20 69:9 79:20 85:6 111:10	shade (1) 49:12 shades (1) 58:16	53:8 75:2 78:19 89:16 93:22 115:9 sits (1) 53:8	25:8, 10, 19 28:21 31:11, 16 33:2 35:13 36:20 37:3
11:3 13:19 14:18 14:22 43:2 58:13 59:15 68:20 69:9 79:20 85:6 111:10 114:17 117:10	shade (1) 49:12 shades (1) 58:16 Share (1)	53:8 75:2 78:19 89:16 93:22 115:9 sits (1) 53:8 sitting (6)	25:8, 10, 19 28:21 31:11, 16 33:2 35:13 36:20 37:3 37:10 40:9, 10, 16
11:3 13:19 14:18 14:22 43:2 58:13 59:15 68:20 69:9 79:20 85:6 111:10 114:17 117:10 seeking (1)	shade (1) 49:12 shades (1) 58:16 Share (1) 50:15	53:8 75:2 78:19 89:16 93:22 115:9 sits (1) 53:8 sitting (6) 79:17 88:19 89:22	25:8, 10, 19 28:21 31:11, 16 33:2 35:13 36:20 37:3 37:10 40:9, 10, 16 41:4, 5, 17, 21 43:3
11:3 13:19 14:18 14:22 43:2 58:13 59:15 68:20 69:9 79:20 85:6 111:10 114:17 117:10 seeking (1) 55:17	shade (1) 49:12 shades (1) 58:16 Share (1) 50:15 shirts (6)	53:8 75:2 78:19 89:16 93:22 115:9 sits (1) 53:8 sitting (6) 79:17 88:19 89:22 104:2 106:11,23	25:8, 10, 19 28:21 31:11, 16 33:2 35:13 36:20 37:3 37:10 40:9, 10, 16 41:4, 5, 17, 21 43:3 43:9 46:8, 23
11:3 13:19 14:18 14:22 43:2 58:13 59:15 68:20 69:9 79:20 85:6 111:10 114:17 117:10 seeking (1) 55:17 seen (5)	shade (1) 49:12 shades (1) 58:16 Share (1) 50:15 shirts (6) 17:14 32:18,18,19	53:8 75:2 78:19 89:16 93:22 115:9 sits (1) 53:8 sitting (6) 79:17 88:19 89:22 104:2 106:11, 23 situation (2)	25:8, 10, 19 28:21 31:11, 16 33:2 35:13 36:20 37:3 37:10 40:9, 10, 16 41:4, 5, 17, 21 43:3 43:9 46:8, 23 47:19 50:8 52:4, 6
11:3 13:19 14:18 14:22 43:2 58:13 59:15 68:20 69:9 79:20 85:6 111:10 114:17 117:10 seeking (1) 55:17 seen (5) 51:2,11 66:15,18	shade (1) 49:12 shades (1) 58:16 Share (1) 50:15 shirts (6) 17:14 32:18,18,19 33:9,11	53:8 75:2 78:19 89:16 93:22 115:9 sits (1) 53:8 sitting (6) 79:17 88:19 89:22 104:2 106:11, 23 situation (2) 44:21 85:22	25:8, 10, 19 28:21 31:11, 16 33:2 35:13 36:20 37:3 37:10 40:9, 10, 16 41:4, 5, 17, 21 43:3 43:9 46:8, 23 47:19 50:8 52:4, 6 52:14, 15, 22 53:2
11:3 13:19 14:18 14:22 43:2 58:13 59:15 68:20 69:9 79:20 85:6 111:10 114:17 117:10 seeking (1) 55:17 seen (5) 51:2,11 66:15,18 85:17	shade (1) 49:12 shades (1) 58:16 Share (1) 50:15 shirts (6) 17:14 32:18,18,19 33:9,11 shops (1)	53:8 75:2 78:19 89:16 93:22 115:9 sits (1) 53:8 sitting (6) 79:17 88:19 89:22 104:2 106:11, 23 situation (2) 44:21 85:22 situations (1)	25:8, 10, 19 28:21 31:11, 16 33:2 35:13 36:20 37:3 37:10 40:9, 10, 16 41:4, 5, 17, 21 43:3 43:9 46:8, 23 47:19 50:8 52:4, 6 52:14, 15, 22 53:2 53:10, 14, 18, 20, 23
11:3 13:19 14:18 14:22 43:2 58:13 59:15 68:20 69:9 79:20 85:6 111:10 114:17 117:10 seeking (1) 55:17 seen (5) 51:2,11 66:15,18 85:17 select (1)	shade (1) 49:12 shades (1) 58:16 Share (1) 50:15 shirts (6) 17:14 32:18, 18, 19 33:9, 11 shops (1) 33:18	53:8 75:2 78:19 89:16 93:22 115:9 sits (1) 53:8 sitting (6) 79:17 88:19 89:22 104:2 106:11, 23 situation (2) 44:21 85:22 situations (1) 83:23	25:8, 10, 19 28:21 31:11, 16 33:2 35:13 36:20 37:3 37:10 40:9, 10, 16 41:4, 5, 17, 21 43:3 43:9 46:8, 23 47:19 50:8 52:4, 6 52:14, 15, 22 53:2 53:10, 14, 18, 20, 23 54:3, 18 55:6, 12
11:3 13:19 14:18 14:22 43:2 58:13 59:15 68:20 69:9 79:20 85:6 111:10 114:17 117:10 seeking (1) 55:17 seen (5) 51:2,11 66:15,18 85:17	shade (1) 49:12 shades (1) 58:16 Share (1) 50:15 shirts (6) 17:14 32:18,18,19 33:9,11 shops (1)	53:8 75:2 78:19 89:16 93:22 115:9 sits (1) 53:8 sitting (6) 79:17 88:19 89:22 104:2 106:11, 23 situation (2) 44:21 85:22 situations (1)	25:8, 10, 19 28:21 31:11, 16 33:2 35:13 36:20 37:3 37:10 40:9, 10, 16 41:4, 5, 17, 21 43:3 43:9 46:8, 23 47:19 50:8 52:4, 6 52:14, 15, 22 53:2 53:10, 14, 18, 20, 23
11:3 13:19 14:18 14:22 43:2 58:13 59:15 68:20 69:9 79:20 85:6 111:10 114:17 117:10 seeking (1) 55:17 seen (5) 51:2,11 66:15,18 85:17 select (1)	shade (1) 49:12 shades (1) 58:16 Share (1) 50:15 shirts (6) 17:14 32:18, 18, 19 33:9, 11 shops (1) 33:18	53:8 75:2 78:19 89:16 93:22 115:9 sits (1) 53:8 sitting (6) 79:17 88:19 89:22 104:2 106:11, 23 situation (2) 44:21 85:22 situations (1) 83:23	25:8, 10, 19 28:21 31:11, 16 33:2 35:13 36:20 37:3 37:10 40:9, 10, 16 41:4, 5, 17, 21 43:3 43:9 46:8, 23 47:19 50:8 52:4, 6 52:14, 15, 22 53:2 53:10, 14, 18, 20, 23 54:3, 18 55:6, 12

	1	i	ī
58:4, 9, 10, 10, 14	99:17, 23 102:10	sub-businesses (1)	25:6, 12 26:5, 25
58:16 59:16 60:6	113:14 120:3	16:11	30:24 33:7 35:15
60:12, 14, 18 61:21	121:2, 6, 24	subject (3)	36:4 61:9 69:19
62:8, 23 63:10, 12	states (8)	22:8 43:10 53:21	72:4 73:23 76:2
64:2 66:13 68:6			
	1:2 13:17, 20 19:15	submit (1)	76:11 80:7, 9 84:8
70:6 81:24 84:6	20:9 31:5 40:13	86:17	84:18 85:4, 10, 20
84:15, 25 85:9	42:24	submitted (1)	92:15 107:8
86:10 92:11 111:2	statute (3)	87:21	surrounded (2)
115:9 117:14	96:15 107:19 108:8	subpoena (1)	47:18 49:10
sound (1)	steaks (2)	115:25	Survival (1)
89:12	17:15 18:17	Subscribed (1)	51:7
sounds (1)	step (1)	119:14	Surviving (2)
84:13	65:13	subsection (1)	49:18, 23
soup (1)	steps (3)	108:15	sweatshirts (1)
8:6	11:21 63:3 64:11	substance (1)	33:12
Southern (1)	stipulate (1)	97:24	switch (1)
95:13	5:14	subtitle (1)	70:19
speaking (3)	stipulated (3)	49:11	Switching (1)
36:8 62:9 113:20	4:3 5:17 6:2	succeed (10)	62:14
speaks (1)	stipulating (1)	36:21, 22 42:2, 4	sworn (3)
69:10	6:13	43:12, 12 44:2	5:9 119:14 121:9
specific (3)	stipulations (1)	46:25 53:25, 25	synonymous (4)
77:10 85:20 91:19	5:12	succeeded (3)	40:10 48:23 52:7
			65:18
specifically (3)	stop (1)	48:2, 7 50:18	
80:16 83:7 84:4	104:23	Success (4)	system (1)
speech (2)	stops (1)	46:15 47:10 48:4	75:9
113:2,4	116:4	48:12	systems (1)
speeches (1)	stories (3)	successes (1)	75:4
69:7	47:24 50:17, 21	55:22	
spent (3)	straight (1)	successful (10)	
23:15 24:22 25:16	68:24	24:17 32:14, 14	T (5)
spoke (2)	strategies (4)	37:15, 20 50:17	3:2 4:2 120:2
12:22 31:19	45:18 50:15, 19	56:2 70:8 90:25	121:1, 1
standard (2)	70:5	111:14	table (1)
5:22 103:4	street (3)	sufficient (1)	54:10
standing (3)	26:16, 19 41:3	117:13	Taj (5)
100:21,23 101:12	strength (4)	suit (1)	28:6 57:8, 23 58:5
standpoint (1)	41:22 52:4,14	33:2	58:11
25:7	53:16	suits (4)	take (12)
stands (2)	strict (1)	17:14 32:19, 23	38:23 41:2 61:7
40:23 50:2	23:10	33:5	63:3 65:13 67:9
Star (1)	Strike (1)	summary (1)	78:4 83:23 88:9
41:12	17:24	107:4	95:8 98:17 107:7
start (1)	studies (1)	supervising (1)	taken (4)
5:12	48:5	78:22	1:17 62:20 89:17
started (7)	studying (1)	supposed (1)	103:7
7:10 8:21 14:15, 16	98:7	38:13	talk (3)
15:18 75:17 91:10	style (8)	Supreme (2)	19:16 36:2 100:9
starting (1)	50:4, 25 65:10, 17	102:4, 10	talked (3)
55:17	66:4, 5 68:16 70:4	sure (29)	45:23 57:11 61:13
state (11)	styling (1)	7:17 8:20 9:20	talking (13)
1:22 12:23 90:10	56:24	10:9 17:11, 20	17:17 18:16 19:9
1.22 12.23 70:10	00.24	10.7 17.11,20	17.17 13.16 17.7
	1	<u> </u>	<u> </u>

			rage 20
19:22 20:4 23:22	121:10	three (4)	59:6 65:8
24:3 27:6 37:12	testing (1)	7:12 9:22 10:2	topic (1)
99:7 112:4,5	81:14	16:18	53:21
113:14	thank (6)	Three-page (2)	tourist (2)
task (1)	6:15 90:17 100:2	4:18 67:2	40:25 52:18
8:22	101:18 102:2	throw (1)	Tower (22)
tasting (1)	119:2	88:15	13:4 19:6 26:17, 18
29:3	Thanks (1)	Thursday (1)	27:23 28:8 33:10
tax (2)	70:25	5:4	33:16 38:12 40:20
35:24, 25	Thanksgiving (3)	tie (1)	46:7 50:7 51:17
tea (4)	104:2 106:11, 24	33:2	52:9, 12 56:21
17:19 18:16 31:23	thick (18)	ties (4)	59:21, 23 60:2, 6, 9
32:7	40:8, 15, 21 41:18	17:14 32:18, 19, 24	66:2
team (6) 9:6 10:11, 12, 13	42:12, 22 43:22 45:25 46:21 47:17	time (10) 14:19 21:2,2 38:23	trade (1) 69:11
11:9 23:12	48:17 49:8, 22	62:4, 5 67:10	traded (1)
techniques (1)	50:22 51:11 59:7	77:23 110:8 119:5	57:21
103:15	60:14, 19	times (6)	trademark (37)
technology-base	thin (1)	37:22, 24 38:11	1:2, 2 5:23, 25 8:4
23:7	99:14	55:25 86:6 92:2	10:21 11:4, 15, 15
Teen (1)	thing (2)	tiny (1)	11:18, 20 12:7
30:21	48:16 96:23	99:18	13:17 15:10,14
television (4)	things (4)	tips (1)	17:25 45:17 60:3
16:14, 15 23:4	23:5, 9 63:22 72:18	44:2	62:16 63:11 64:6
29:14	think (43)	title (30)	64:9 65:2 71:9, 10
tell (13)	17:2 26:20 27:13	37:10 39:11, 16, 20	71:21 75:20 81:10
9:18 10:7 12:18	28:18 32:8, 20	41:8 42:6, 15	81:14, 16 82:8
13:23 30:22 54:3 59:22 83:16 85:14	34:16 35:12 37:19 37:20 40:11 42:17	43:16, 19 44:4, 9	84:21 87:12 92:12 110:22 111:7
87:14 88:8,9	43:19 44:5, 12, 24	44:14, 22 45:7, 16 45:22 46:10, 13, 18	110:22 111:7
107:5	45:19 44:3, 12, 24	47:5, 14 48:8, 15	trademarks (3)
tens (3)	48:4 51:25 55:6	48:20 49:2, 7, 15	8:6, 19 63:19
23:23 24:4, 22	59:17 62:13 76:20	49:23 50:25 51:3	trades (1)
term (2)	79:3 84:5, 6, 10, 12	titles (1)	98:11
27:4 81:9	85:12 87:15 99:7	18:12	traditional (1)
terminated (1)	109:9 111:20	today (9)	23:3
76:14	112:2, 10 115:7	5:15 6:4 7:23 8:24	traditionally (2)
terms (6)	116:4, 5, 7 117:15	15:16 33:14 50:10	24:13, 19
11:24 24:5 62:16	118:5	93:22 94:3	transactional (4)
80:13 81:14 96:7	third (4)	today's (1)	8:12, 15, 17, 18
test (1) 80:22	20:17 22:4 26:19 34:10	12:19	transactions (2) 7:20 8:22
testified (4)	thought (2)	told (1) 38:10	TRANSCRIPT (1)
5:10 62:15 75:3	81:12 82:19	ton (1)	1:12
108:3	thousand (1)	8:23	tremendous (1)
testify (1)	38:15	tools (2)	29:4
115:4	thousands (1)	93:7, 18	trial (7)
testifying (1)	82:24	top (15)	1:2 5:23 74:16
6:4	thread (1)	22:17 43:22 44:17	96:20 107:5
testimony (7)	39:6	49:6, 19, 24 50:6	109:22 110:2
6:5 12:20 73:8	threatening (3)	50:14, 23 51:12	tried (3)
74:3 76:21 102:25	105:9, 19, 21	52:23 57:15 59:5	32:4 89:4 92:9

trim (2)	47:6, 8, 10, 14, 19	72:7 82:8 103:14	U.S.C (2)
53:6 58:15	47:24 48:9, 11, 13	109:13	96:4 108:15
true (9)	48:14, 19, 22 49:5	Trumps (3)	ultimately (3)
73:6,25 75:7	49:9, 18, 19, 20, 23	36:7 84:22,23	25:11,13 107:4
102:12 103:16	50:7, 8, 9, 13, 21	Trumpyourcompet	unaware (2)
111:18,20 114:6	51:6, 7, 14, 17, 21	67:14	93:22, 25
121:9	52:9, 12 53:8, 9	try (5)	unclean (4)
trump (391)	54:2 56:11, 19, 19	104:9 114:23 115:9	98:5, 9, 12, 19
1:4,7 6:23,24 7:4	56:21 57:8, 9, 14	117:3,10	Underhill (101)
7:8,25 8:2 9:12	57:18, 20, 23, 24, 25	trying (6)	2:16, 21 3:12 5:17
9:14, 15, 15, 23	58:3,5,21,21,23	17:2 63:12 69:11	6:2, 6, 13 54:7, 13
10:5 11:18 12:2, 5	58:25 59:2,3,5,5	85:9,10 92:13	54:17, 25 61:10
12:11, 13, 23 13:2	59:11,12,13,14,16	turn (8)	70:1, 14, 16, 22
13:3, 18, 18 14:4, 5	59:18,21,22 60:2	41:7 42:5 45:5	71:1 72:1 73:1, 9
14:16 15:8, 10, 13	60:4,5,5,8,10,11	57:4,13 59:8,20	74:1, 5 75:1 76:1
15:17 16:9, 12, 13	60:23,25 61:3,4	69:12	77:1 78:1 79:1
16:17, 20, 20, 22, 24	61:17, 18, 20, 23, 25	Turnbury (1)	80:1 81:1 82:1
17:10, 12, 18, 19	62:4, 10, 21, 23	27:17	83:1 84:1 85:1
18:4, 5, 6, 8, 11, 12	64:6, 22 65:7, 8, 11	Turned (2)	86:1 87:1,5,10,24
18:13, 13, 16, 17, 17	65:12, 14, 15, 21	47:9 48:3	88:1 89:1 90:1,11
18:20, 23, 25 19:3	66:2, 7, 18 67:13	turning (1)	90:17,18 91:1,3,6
19:4, 6, 6, 7, 7, 8, 14	67:19, 22 68:7, 8	57:14 TV (2) 30:15,16 two (10) 16:18 44:12 46:17 47:13 50:11 82:5	92:1 93:1 94:1,11
21:10 22:2 23:18	68:10, 11, 13, 15, 17		95:1 96:1,8 97:1
25:25, 25 26:9, 14	68:22 69:7, 14, 20		98:1,3 99:1 100:1
26:15, 17, 18, 18, 20	69:21 71:24 72:14		100:18 101:1,5,12
26:20, 21, 23 27:2	72:18 73:3 74:22		101:16,18,20
27:7, 9, 10, 15, 16	75:11, 15, 21 78:17		102:1 103:1 104:1
27:22, 23, 24, 24	79:15, 22 80:13	88:19 106:9 109:6	104:6, 12, 24 105:1
28:2, 2, 5, 6, 7, 12	81:4, 5 82:20 83:6	114:6	105:8 106:1, 13
28:14, 15, 17, 18, 20	83:11, 18, 18, 19	two-minute (1)	107:1, 8, 10 108:1
28:22, 23 29:2, 3, 5	84:2, 2, 4 85:13, 15	61:8	109:1 110:1 111:1
29:8, 12, 15, 18, 20	85:16, 18, 24, 25	two-sentence (1)	112:1, 23 113:1, 2
29:21, 22, 24, 25	86:4, 8, 9, 21 89:20	103:24	113:17, 19 114:1, 2
30:4, 6, 10, 14, 18	92:3 94:12, 18	twofold (1)	114:16 115:1, 2
31:6, 21, 22, 23, 24	95:7, 11, 17, 18	10:10	116:1, 17 117:5, 20
32:2, 11, 12, 13, 20	97:15, 21 99:2, 17	type (4)	117:24 118:7
32:21, 22 33:9, 10	100:5, 15, 19	8:13 50:3 55:4	121:13
33:11, 11, 12, 15, 16	101:23 102:9,13	65:10	Underhill's (1)
33:17, 23 34:6, 8	102:18 103:12	typed (2)	106:5
34:11, 14, 22 35:2	106:21 107:11,11	79:23,25	understand (21)
35:3, 6, 9, 9, 14, 18	107:16,23,23	types (5)	71:23 72:16 80:7,9
35:19, 20, 21, 22	108:2,2,8,25	8:10,17 23:7 36:17	81:9,15 83:10
36:2, 5, 10 37:9, 10	109:16 110:5	62:19	84:8,18 92:16
38:12 39:6, 8, 8, 10 39:11, 16, 21 40:7 40:11, 19 41:9, 11 41:14, 16, 19 42:9 42:18, 20, 20 43:10	111:13, 24 112:5, 6 112:6, 15 113:10 114:3, 7, 10 115:4 115:14, 22 118:6 121:14	U.S (12) 5:24 11:12 19:19 20:7 23:19 24:2,5	93:23 95:9 96:9 109:12, 15, 22 110:7, 10, 16 111:22 116:8 understanding (8)
43:21 44:7, 8, 10	Trump's (14) 9:24 11:19 31:13 36:9 40:3 41:23 61:14,24 65:23,25	24:11, 20 32:2	50:16 67:21,24
44:15, 17, 18, 22, 24		108:17, 19	77:25 81:6,17
45:12, 18, 21, 24		U. Sbased (2)	96:2 105:2
46:7, 13, 16, 19, 25		24:14, 19	United (7)

	1	1	1
1:2 13:16 19:15	V	116:2	67:14 92:3
20:9 31:5 40:13		walk (2)	week (4)
	v (2)		
42:24	1:6 102:9	52:19 53:9	38:3, 8, 12 100:10
units (2)	Vaguely (1)	Wall (1)	weeks (2)
20:22 21:15	90:21	26:15	37:25 55:25
Universe (4)		want (17)	Weinberger (2)
16:19 29:16 30:20	valuable (1)	5:11 6:3 54:14	77:14 78:6
30:20	88:13	56:4 63:15 70:12	well-known (4)
	variety (2)		
universities (1)	17:13 26:2	70:16 75:5 79:7	55:11, 24 65:25
55:10	various (8)	80:23 87:8, 15	69:6
university (16)	12:10 28:15 35:18	104:22 114:20	went (6)
16:12 61:20 69:8	46:4 53:22 56:11	116:11, 21 117:9	14:9, 20 42:12
94:18 97:22 99:2		wanted (2)	53:19 74:23
99:17, 19 100:5, 15	57:22 66:19	104:3 106:12	106:25
	Vegas (5)		
100:20 107:23	20:22 21:23 27:24	wanting (1)	weren't (1)
108:3 111:16	58:25 59:5	61:22	99:8
114:3,7	verb (6)	wants (1)	west (3)
unlicensed (1)	83:11 84:4, 16, 24	116:17	26:24 28:3,3
99:22		wasn't (2)	WHEREOF (1)
unnecessarily (1)	85:13, 22	99:2 108:5	121:19
117:15	version (1)	watch (1)	wide (1)
	45:11		
upper (2)	versus (5)	30:8	17:13
26:23 28:3	95:10 97:15 106:21	water (3)	willing (3)
upper-left (2)	107:16 108:7	17:15 31:22 32:7	115:17, 22, 24
57:10 59:17	vice-president (2)	waterfall (1)	win (1)
upwards (1)	7:5, 13	53:4	43:13
20:5		way (32)	window (1)
USA (2)	vice-presidents	20:14 39:24 40:2,6	
	10:3	41:21 42:21 45:13	wine (5)
30:20, 21	view (1)		
usage (3)	98:6	46:3 48:12 49:5	17:6 28:23 29:3
83:10 91:17 92:23	vineyards (2)	52:13, 16 65:16, 21	31:19 32:7
use (43)	28:11,13	65:24 66:10,18	winery (7)
13:20 16:24 18:4	violation (2)	68:13, 17 77:2, 11	17:6, 7 28:16, 18, 18
18:18 20:13 22:6		82:21 85:23 90:5	28:20 29:5
24:9 26:8, 14 27:2	92:12 96:3	91:15 92:2,10	wines (2)
27:14 32:10 34:5	Virginia (1)		
	28:17	94:13, 15, 23	28:10, 13
34:22 37:9 39:6	virtually (3)	102:20 121:17	winning (1)
41:9 44:13 51:21	7:19 8:10 50:23	ways (8)	107:3
55:14 56:10 57:24	vodka (5)	37:3 44:12 46:17	WIPO (1)
60:10, 11 63:4, 18	17:15 18:17 31:24	47:13 63:16 91:14	63:6
70:2 82:11 83:14	32:2,7	91:23 98:14	wire (4)
83:17 84:23 86:21	J2.2, /	We'll (1)	96:14 97:24 107:19
89:12 92:9 94:2,4		18:22	113:15
94:8 97:21 108:25		we're (2)	wish (3)
	W-I-P-0 (1)		
111:24 112:7, 10	63:7	91:23 112:4	117:6, 7 120:5
113:10	wait (1)	we've (7)	witness (21)
uses (2)	117:17	10:12, 12, 13, 18	3:5 54:14 61:7
29:24 94:5	waive (2)	45:23 51:2 113:11	70:17 87:7 91:5
usually (5)	115:17, 24	website (13)	104:8 109:13
27:8 36:11 37:5,5	waived (1)	23:8 33:15 56:19	110:2, 4 114:14, 19
65:14		56:20 57:7, 18, 19	116:10 117:9, 22
	6:12	58:8, 15, 20 59:11	118:4, 8, 10 121:7
	waiving (1)	00.0, 10, 20 07.11	110.7,0,10 121:7
	I	I	l

85:18, 19 86:9, 21 89:10 96:19 99:19 words (3) 70:2 80:5 87:16 work (13) 6:22 8:14 10:14 11:10 12:13 20:16 20:24 25:3 40:19 63:2 82:25 114:21 115:12 worked (8) 6:24 8:23 20:15 24:24, 25 25:20 48:6 108:17 working (3) 54:2, 12 75:17 works (3) 54:4 92:19, 20 world (17) 11:12 26:18 27:14 33:3 35:8 36:22 40:12 42:25 46:6 47:21 52:8, 17 53:16 55:16 62:6 65:19 86:3 worldwide (7) 19:17, 25 23:18, 25 24:4 40:25 41:6 wouldn't (2) 73:19 78:22 39:20 40:6 43:5, 7 47:22 53:23 55:18 55:21 79:10 81:7 19:15 98:11 99:10 115:15 year (5) 13:23 20:4 23:16 23:23 86:5 year—to—year (2) 13:23 20:4 23:16 23:23 86:5 year—to—year (2) 13:12 19:10 48:11 11:00 (1)	4:7 13:9 4:3 61:2 2 (11) 4:9 38:16, 17, 21 41:7, 7 42:5 45:6 57:4, 10 66:15 20 (5) 16:23, 23 26:13 36:17 37:14 20-plus (1) 68:19 20-some-odd (1) 37:13 2005 (1) 75:15
	2015 (5) 1:13 5:4 119:15 121:11, 20 212.837.6000 (1) 2:8 212.837.6494 (1) 2:13 212.837.6847 (1) 2:10 26th (1) 53:8 3 3 (10) 4:12 42:5 56:6, 15 57:2, 3, 13 59:8 60:17, 20 3095214 (1) 74:21 31 (1) 13:25 35, 000 (1) 103:14 37 (1) 15:7 38 (1) 4:9 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4
90:4 100	• •
	4
1 44 00 == 0 (0 44)	4 (4=)
1702 (0)	
Y 1,495 (1) 70:4 (3)	
	4:20 15:19 66:9 68:13 69:12
10.20	4:/U U:17 UU:/ UU:/U U/:/L

		Page 24
79:3, 4, 7, 9, 11 91:4, 18 40 (1) 26:15 400 (1) 20:5 451463/2013 (1) 102:11 5 5 (6)	92 (1) 37:19 92036-1238 (1) 2:19	
4:18 66:22 67:2 68:14 70:13 75:15 5,000 (1) 103:13 50 (1) 37:24 50-plus (1) 55:25 50% (1) 16:19 56 (1)		
4:12 6 (1) 3:11 619.540.0631 (1) 2:20 63rd (1) 26:19 64 (1) 4:15		
65 (3) 20:7 24:6, 10 67 (1) 4:18 7 70 (2) 3:12 20:8 70% (3) 20:8 24:6, 11 725 (1)		
725 (1) 13:3 8 9 90's (1) 37:19 91217618 (1) 1:7		